#### SCHULMAN A INC

Form 4

January 02, 2008

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

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**OMB APPROVAL** 

3235-0287

January 31,

2005

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Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* KARMAN JAMES A

2. Issuer Name and Ticker or Trading

Issuer

Symbol SCHULMAN A INC [SHLM]

(Zip)

(Check all applicable)

(First) (Middle) (Last)

(Street)

(State)

3. Date of Earliest Transaction

(Month/Day/Year) 12/31/2007

X\_ Director 10% Owner Officer (give title Other (specify

5. Relationship of Reporting Person(s) to

110 SEASPRAY AVENUE

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

PALM BEACH, FL 33480

(City)

(Instr. 3)

1.Title of 2. Transaction Date 2A. Deemed Security

4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Code Disposed of (D) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

> Reported (A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise (Instr. 3) Price of

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if (Month/Day/Year)

5. Number 4 Transaction Derivative Code Securities (Instr. 8) Acquired

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of 8. Price **Underlying Securities** (Instr. 3 and 4)

(9-02)

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#### Edgar Filing: SCHULMAN A INC - Form 4

	Derivative Security			(A) or Dispose (D) (Instr. 3 and 5)						
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock	<u>(2)</u>	12/31/2007	A		60.01		(3)	(3)	Common	60.01

Stock

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

KARMAN JAMES A

110 SEASPRAY AVENUE X
PALM BEACH, FL 33480

### **Signatures**

Units (1)

/s/ Aaron S. Berke as attorney in fact for James A.

Karman

01/02/2008

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Pursuant to the A. Schulman, Inc. Directors Deferred Units Plan, a non-employee director may elect, prior to the first day of any calendar year, to defer all or a portion of his or her director fees in that calendar year. Deferred director fees for each calendar quarter are aggregated and credited to an account for each participating director until the last day of each quarter (the "Valuation Date"). In addition,

- the director's account is credited with the amount of any dividends that would have been paid to the director had he or she actually owned shares of Common Stock equal to the number of Phantom Stock Units in the account at the time of the dividend payment. On each Valuation Date, all amounts credited to the account are converted to Phantom Stock Units by dividing the amount in the account by the closing price of a share of Common Stock on the Valuation Date. The Phantom Stock Units reflected in this Report were issued with respect to dividends.
- (**2**) 1-to-1
- (3) Phantom Stock Units are payable in cash upon the earlier of a director's separation from service as a director, a director's disability, or a change in control of the Company.
- (4) The price is the closing price on December 31, 2007, the last business day of the calendar quarter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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