

HOOK THOMAS J  
Form 4  
March 08, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HOOK THOMAS J

2. Issuer Name and Ticker or Trading Symbol  
GREATBATCH, INC. [GB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

9645 WEHRLE DRIVE

03/06/2007

President & CEO

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

CLARENCE, NY 14031

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| Common                          | 03/06/2007                           |  | A                              | (A) or (D)<br>Amount<br>12,592<br>(1)                             | \$ 0 84,091   | D  |                                   |
| Common                          |                                      |  |                                |   | 1,140.541   | I  | By 401(k)                         |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

## Edgar Filing: HOOK THOMAS J - Form 4

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Employee stock options                     | \$ 16.7  | 03/06/2007                           |  | A                              | 12,000  | 12/31/2004 <sup>(2)</sup> 09/01/2014                     | Common  | 12,000                     |
| Employee stock options                     | \$ 24.62   | 03/06/2007                           |  | A                              | 120   | 12/31/2005 <sup>(3)</sup> 05/24/2015                     | Common  | 120                        |
| Employee stock options                     | \$ 25.5  | 03/06/2007                           |  | A                              | 31,481  | 12/31/2007 <sup>(4)</sup> 03/06/2017                     | Common  | 31,481                     |

## Reporting Owners

| Reporting Owner Name / Address                           | Relationships |           |                 |       |
|--|---------------|-----------|-----------------|-------|
|  | Director      | 10% Owner | Officer         | Other |
| HOOK THOMAS J<br>9645 WEHRLE DRIVE<br>CLARENCE, NY 14031 | X             |           | President & CEO |       |

## Signatures

/s/ Christopher J. Thome as attorney-in-fact for Thomas J. Hook 03/08/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Grant of restricted stock pursuant to issuer's Stock Incentive Plan consisting of 12,592 shares of restricted stock over a four-year period,  
(1) 50% after the first two years, 25% after the third year and 25% after the fourth year. The vesting will occur on the last calendar day of the appropriate year.

On September 1, 2004, the reporting person was granted an option to purchase 50,000 shares of common stock. The option vests in partial  
(2) installments beginning December 31, 2004, subject to satisfaction of certain performance criteria by the issuer. The performance criteria for 12,000 shares has been met resulting in the vesting of those shares subject to possible recalculation.

On May 24, 2005, the reporting person was granted an option to purchase 500 shares of common stock. The option vests in partial  
(3) installments beginning December 31, 2005, subject to satisfaction of certain performance criteria by the issuer. The performance criteria for 120 shares has been met resulting in the vesting of those shares subject to possible recalculation.

(4) These non-qualified options vest in four equal installments beginning with 25% on December 31, 2007; 25% on December 31, 2008; 25% on December 31, 2009; and 25% on December 31, 2010.

## Edgar Filing: HOOK THOMAS J - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.