Edgar Filing: CAPITAL SENIOR LIVING CORP - Form 4

CAPITAL SENIOR LIVING CORP Form 4 November 17, 2006 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Nee Victor W Issuer Symbol CAPITAL SENIOR LIVING CORP (Check all applicable) [CSU] (Last) (First) (Middle) 3. Date of Earliest Transaction X_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) below) 14160 DALLAS 11/15/2006 PARKWAY, SUITE 300 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting DALLAS, TX 75254 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 1.Title of 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership (Instr. 4) (Instr. 4) Following Reported (A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D) Common 11/15/2006 M 2,000А \$4.85 3,000 D Stock Common 11/15/2006 1,200 D M Α \$ 3.02 4,200 Stock Common \$ 3.7 11/15/2006 Μ 3,000 Α 7,200 D Stock Common S D D 11/15/2006 500 6,700 Stock 10.02 Common 11/15/2006 S 5.700 D \$10 1,000 D Stock

Common Stock						1,000	Ι	Held by spouse			
Reminder: Ro	eport on a sepa	rrate line for each clas	s of securities benefic	Persons informa required	s who respon tion containe d to respond s a currently	nd to the col ed in this for unless the f	m are not orm	SEC 1474 (9-02)			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number poof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of 3 Underlying Securities (Instr. 3 and 4)			
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Common Stock Option (right to purchase)	\$ 4.85	11/15/2006		М	2,000	<u>(1)</u>	05/19/2014	Common Stock	2,000		
Common Stock Option (right to purchase)	\$ 3.02	11/15/2006		М	1,200	<u>(3)</u>	05/22/2013	Common Stock	1,200		
Common Stock (right to purchase)	\$ 3.7	11/15/2006		М	3,000	<u>(4)</u>	05/16/2012	Common Stock	3,000		

Reporting Owners

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
Nee Victor W 14160 DALLAS PARKWAY SUITE 300 DALLAS, TX 75254	Х					

8. D S (I

Signatures

Victor W. Nee, by David R. Brickman, Attorney-in-Fact

11/16/2006

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vested on May 10, 2005.
- (2) Granted pursuant to the Capital Senior Living Corporation 1997 Omnibus Stock and Incentive Plan.
- (3) Options vested on May 19, 2004.
- (4) Options vested on May 22, 2003.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.