

CLOROX CO /DE/  
Form SD  
May 27, 2016

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

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**FORM SD**

**Specialized Disclosure Report**

**THE CLOROX COMPANY**

(Exact name of registrant as specified in its charter)

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Delaware  
(State or other jurisdiction of  
incorporation)

1-07151  
(Commission File Number)

31-0595760  
(I.R.S. Employer  
Identification No.)

**1221 Broadway, Oakland, California 94612-1888**  
(Address of principal executive offices) (Zip code)

**Laura Stein**  
**Executive Vice President    General Counsel and Corporate Affairs**  
**(510) 271-7000**

(Name and telephone number, including area code, of the person to contact in connection with this report)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

☒ Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2015.

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## **Section 1 Conflict Minerals Disclosure**

### **Item 1.01 Conflict Minerals Disclosure and Report**

#### **Conflict Minerals Disclosure**

This Form SD of The Clorox Company (the Company or Clorox) is filed pursuant to Rule 13p-1 promulgated under the Securities Exchange Act of 1934, as amended (Rule 13p-1), for the reporting period from January 1, 2015, to December 31, 2015.

Rule 13p-1 requires disclosure of certain information when a company manufactures or contracts to manufacture products for which the minerals specified in Rule 13p-1 are necessary to the functionality or production of those products. The specified minerals are columbite-tantalite (coltan), cassiterite, gold, and wolframite, including their derivatives, which are limited to tantalum, tin, and tungsten (the Covered Minerals). The Covered Countries for the purposes of Rule 13p-1 and this Form SD are the Democratic Republic of the Congo and countries that share an internationally recognized border with the Democratic Republic of the Congo (the Republic of the Congo, the Central African Republic, South Sudan, Uganda, Rwanda, Burundi, Tanzania, Zambia and Angola).

Very few of the Company's products, whether the Company manufactures them or contracts for their manufacture, contain Covered Minerals that are necessary to the functionality or production of those products. The Company has designed and conducted a good faith reasonable country of origin inquiry to determine whether any of these Covered Minerals originated in a Covered Country or might be from recycled or scrap sources.

Based on this reasonable country of origin inquiry, the Company has determined that it either (i) believes the Covered Minerals necessary to the functionality or production of its products were from recycled or scrap sources or (ii) has no reason to believe that the Covered Minerals may have originated in the Covered Countries.

#### ***Overview***

The Company manufactures and markets consumer and professional products, selling its products primarily through mass retail outlets, e-commerce channels, wholesale distributors and medical supply distributors. Clorox brand names include its namesake bleach and cleaning products, Pine-Sol® cleaners, Liquid-Plumr® clog removers, Poett® home care products, Fresh Step® cat litter, Glad® bags, wraps and containers, Kingsford® charcoal, Hidden Valley® dressings and sauces, Brita® water-filtration products and Burt's Bees® natural personal care products. The Company also markets brands for professional services, including Clorox Healthcare® and Clorox Commercial Solutions®. Covered Minerals are necessary to the functionality or production of very few of these products.

#### ***Description of Reasonable Country of Origin Inquiry***

The Company designed its reasonable country of origin inquiry based on a review of the relevant due diligence guidance approved by the Organisation for Economic Co-operation and Development, the Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas. The Company's inquiry is described below.

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### *Governance and Management Systems*

The Company maintains standards for its suppliers, which are set forth in its Business Partner Code of Conduct (the "Business Partner Code") and can be found at <http://www.thecloroxcompany.com/downloads/clorox-business-partner-code-of-conduct.pdf>. Among other things, the Business Partner Code requires the Company's suppliers to implement programs to ensure that products do not contain restricted, banned, or unlawfully taken or traded materials, to disclose to the Company the supplier's due diligence efforts to determine whether its supply chain is free of minerals or materials that finance conflict in the Democratic Republic of the Congo and surrounding countries, or from other countries designated as part of a conflict region, and to provide relevant documentation to the Company upon its request.

In conducting its reasonable country of origin inquiry, the Company used a cross-functional team and designated a single Company contact to coordinate and communicate with its suppliers. The Company also has internal management and record-keeping systems for its Covered Minerals inquiries to facilitate the review and assessment of the supplier responses.

### *Risk Assessment*

The Company's preliminary risk assessment included a survey of all purchased raw materials, contract manufacturing arrangements, licensees and joint ventures to identify materials and products with a risk of containing Covered Minerals. After identifying the categories of products that might contain Covered Minerals, the Company sent questionnaires to the suppliers in these product categories.

This questionnaire was based on a form created by the Electronic Industry Citizenship Coalition-Global e-Sustainability Initiative. The Company's survey included questions relating to:

- confirmation of whether the supplier supplied Covered Minerals to the Company;
- whether the supplier deemed the Covered Minerals to be necessary to the functionality of the product(s) provided to the Company by the supplier;
- whether such Covered Minerals were from recycled or scrap sources;
- whether such Covered Minerals originated from the Covered Countries;
- which country/countries such Covered Minerals originated from and what diligence the supplier performed to ensure Covered Minerals do not directly or indirectly finance or benefit armed groups in the Covered Countries; and
- whether the supplier had a conflict-free sourcing policy.

During the supplier survey period, suppliers received up to eight reminder notifications from the Company's survey management system, as well as email and telephone follow-ups by the Company's sourcing contact for that supplier. The Company reviewed and cataloged the responses to the questionnaires as well as other information it received from suppliers regarding their due diligence efforts to identify the countries of origin of any Covered Minerals, as contemplated by the Business Partner Code.

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***Results of Reasonable Country of Origin Inquiry***

A very small number of suppliers responded that the supplies they sold to the Company contained Covered Minerals. Of these suppliers, all were able to confirm, with supporting documentation and/or explanation, that such Covered Minerals did not originate from the Covered Countries or were from recycled or scrap sources. To further test and assess these results, the Company followed up via both email and telephone calls with these suppliers. All of the other suppliers that responded to the questionnaires advised the Company that the materials or products they sold to the Company did not contain Covered Minerals.

Based on the Company's reasonable country of origin inquiry described above, the Company determined that, with respect to the Covered Minerals necessary to the functionality or production of products that the Company manufactures or contracts for manufacture, the Company either (i) believes that the Covered Minerals were from recycled or scrap sources or (ii) has no reason to believe that the Covered Minerals may have originated in the Covered Countries.

The information contained in this report is publicly available at  
[http://www.thecloroxcompany.com/downloads/Clorox\\_Conflict\\_Minerals\\_Disclosure.pdf](http://www.thecloroxcompany.com/downloads/Clorox_Conflict_Minerals_Disclosure.pdf).

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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

THE CLOROX COMPANY  
(Registrant)

Date: May 27, 2016 By: /s/ Laura Stein  
Laura Stein  
Executive Vice President General Counsel and Corporate Affairs

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