

NOMURA HOLDINGS INC
Form 6-K
January 11, 2018
Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of January 2018

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Table of Contents

Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from December 1, 2017 to December 31, 2017

Table of Contents

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: January 11, 2018

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

Table of Contents

*[Translation of Share Buyback Report for the reporting month from December 1, 2017 to December 31, 2017
filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on January 11, 2018]*

Class of Shares: Common Stock**1. Status of repurchase**

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

as of December 31, 2017

	Number of Shares	Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (October 30, 2017)		
(Period of repurchase: from November 15, 2017 to March 30, 2018 (excluding the ten business days following the announcement of each quarterly financial results))	70,000,000	50,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date)	
	December 1	2,310,000
	December 4	2,159,100
	December 5	2,310,000
	December 6	2,310,000
	December 7	2,099,200
	December 8	1,840,000
	December 11	1,840,000
	December 12	1,840,000

Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

	December 13	1,840,000	1,232,501,160
	December 14	1,840,000	1,211,341,350
	December 15	1,690,000	1,130,863,110
	December 18	1,690,000	1,141,259,500
	December 19	1,690,000	1,144,746,090
	December 20	1,690,000	1,136,722,560
	December 21	1,690,000	1,135,353,760
	December 22		
Total		31,328,300	20,971,310,510
Aggregate shares repurchased as of the end of this reporting month		59,149,400	38,905,455,470
Progress of share repurchase (%)		84.5	77.8

Table of Contents**2. Status of disposition**

as of December 31, 2017

		Number of shares disposed in the reporting month	Aggregate amount of disposition (JPY)
Treasury shares disposed subject to procedures for issuance of new shares	(Date)		
Subtotal			
Treasury shares canceled	(Date)		
	December 18	179,000,000	111,941,337,400
Subtotal		179,000,000	111,941,337,400
Treasury shares transferred upon merger, share exchange or demerger	(Date)		
Subtotal			
Other (upon request for purchasing less-than-a-full-unit shares)	(Date)		
Subtotal			
Other (exercise of stock acquisition rights)	(Date)	152,800	1,043,800
	December 1	20,000	20,000
	December 4	51,500	51,500
	December 5	379,600	379,600
	December 6	35,600	332,600
	December 7	51,500	1,239,500
	December 8	5,400	899,400
	December 11	4,600	302,600
	December 12	251,000	251,000
	December 13	4,000	1,194,000
	December 14	15,800	909,800
	December 15	6,800	6,800
	December 18	42,100	42,100
	December 19	8,100	8,100

Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

	December 20	23,200	23,200
	December 21	117,900	1,306,900
	December 22	1,000	298,000
	December 26	3,400	3,400
	December 28	96,600	96,600
	December 29		
Subtotal		1,270,900	8,408,900
Total		180,270,900	111,949,746,300

3. Status of shares held in treasury

as of December 31, 2017

As of the end of the reporting month	Number of Shares
Total number of issued shares	3,643,562,601
Number of shares held in treasury	242,910,441