

NTT DOCOMO INC  
Form SD  
May 27, 2016

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

**FORM SD**  
**SPECIALIZED DISCLOSURE REPORT**

**Kabushiki Kaisha NTT DOCOMO**  
**(Exact name of the registrant as specified in its charter)**

**NTT DOCOMO, INC.**  
**(Translation of registrant's name into English)**

**JAPAN**  
**(State or other jurisdiction of  
incorporation or organization)**

**1-31221**  
**(Commission  
File Number)**

**(IRS Employer  
Identification No.)**

**Sanno Park Tower 11-1, Nagata-cho 2-chome Chiyoda-ku, Tokyo**  
**100-6150 Japan**  
**(Address of principal executive offices)**

**(Zip code)**

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**KATSUYUKI TAKAGI**

**Sanno Park Tower 11-1, Nagata-cho 2-chome**

**Chiyoda-ku, Tokyo 100-6150 Japan TEL: +81-3-5156-1111**

**(Name and telephone number, including area code, of the  
person to contact in connection with this report.)**

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

- Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2015.

## **Introduction**

NTT DOCOMO is a joint stock corporation organized under the Companies Act of Japan. NTT DOCOMO was incorporated and registered in August 1991 under the name of NTT Mobile Communications Planning Co., Ltd., and, in April 1992, we were renamed NTT Mobile Communications Network, Inc. We changed our name to NTT DoCoMo, Inc. on April 1, 2000 (NTT DOCOMO, INC. since June 2010).

NTT DOCOMO's parent company is NIPPON TELEGRAPH AND TELEPHONE CORPORATION ( NTT ), the holding company of NTT group. NTT group is one of the world's leading telecommunications operators.

NTT DOCOMO currently provides mobile telephone services over our LTE and W-CDMA networks. As of March 31, 2016, NTT DOCOMO has approximately 70,964 thousand subscribers and a domestic market share\* of 45.3%.

\* Domestic PHS services subscription and the like are not included in the market share calculations.

NTT DOCOMO, its 124 subsidiaries and 27 affiliates (as of March 31, 2016) constitute the NTT DOCOMO group and operate its business.

The reportable business segments of NTT DOCOMO are the telecommunications business, the smart life business and the other businesses. The telecommunications business includes mobile phone services (LTE(Xi) services and FOMA services), optical-fiber broadband service, satellite mobile communications services, international services and the equipment sales related to these services. The smart life business includes video and music distribution, electronic books and other services offered through DOCOMO's dmarket portal, as well as finance/payment services, shopping services and various other life-related services. The other businesses primarily includes Mobile Device Protection services, as well as development, sales and maintenance of systems.

## **Product Categories**

NTT DOCOMO Group offers the following category of products for sale to customers:

n Mobile devices:

Products: Mobile phones, smartphones and other devices.

## **Policy on Non-use of Conflict Minerals**

NTT DOCOMO Group's policy on non-use of conflict minerals is as follows.

NTT DOCOMO Group's Approach to Conflict Minerals

Some minerals originating in areas affected by conflict, such as the Democratic Republic of the Congo and adjoining countries (the **Covered Countries**), have been suspected of serving as a source of financing for violent armed groups, fomenting conflict and committing serious human rights abuses.

In this context, Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the **Act**), signed into law in the United States in July 2010, requires companies listed in the United States to disclose certain information regarding conflict minerals, including the extent to which they use conflict minerals originating in the Covered Countries in their products. Under the Act, **Conflict Minerals** includes tantalum, tin, gold, tungsten and other minerals designated by the Secretary of State (**conflict minerals**).

To continue to be socially responsible with respect to this issue, NTT DOCOMO Group is working with its suppliers to conduct procurement in a way that improves supply chain transparency and, in consideration of the Act, is taking steps to avoid the use of conflict minerals, the proceeds from which could finance armed groups. At the same time, in view of the fact that areas affected by conflict also produce minerals that do not finance armed groups, NTT DOCOMO Group will work to implement its approach to socially responsible procurement without hindering the use of these minerals in its products.

NTT DOCOMO Group asks for the understanding of its suppliers and their cooperation with its surveys. NTT DOCOMO Group also asks that its suppliers endeavor to promote socially responsible procurement of minerals that are used in NTT DOCOMO Group's products, together with the NTT DOCOMO Group.

A copy of NTT DOCOMO Group's policy on non-use of conflict minerals is available at:

<https://www.nttdocomo.co.jp/english/corporate/procure/policy/index.html#p01>

(English)

[https://www.nttdocomo.co.jp/corporate/disclosure/procure/policy/csr\\_procurement/index.html](https://www.nttdocomo.co.jp/corporate/disclosure/procure/policy/csr_procurement/index.html)

(Japanese)

## Section 1 Conflict Minerals Disclosure

### Item 1.01 Conflict Minerals Disclosure and Report

Pursuant to its policy of non-use of conflict minerals, NTT DOCOMO Group surveyed the usage status of conflict minerals in its products and manufactured goods during 2015. As a result of this survey, NTT DOCOMO Group had manufactured and contracted to manufacture products for which **conflict minerals** were necessary to the functionality or production of such products (such minerals, **necessary conflict minerals**).

NTT DOCOMO Group conducted a reasonable country of origin inquiry, which employed a combination of measures to determine whether the necessary conflict minerals in NTT DOCOMO Group's products originated from the Covered Countries. NTT DOCOMO Group's primary means of determining the country of origin of necessary conflict minerals was to conduct a supply chain survey with tier 1 suppliers using the CFSI Conflict Minerals Reporting Template.

NTT DOCOMO Group surveyed all products manufactured or contracted to be manufactured by NTT DOCOMO Group during 2015 that were intended to be sold to its customers.

NTT DOCOMO Group adopted the above described reasonable country of origin inquiry and received responses from tier 1 suppliers. The response rate (based on the aggregate number of suppliers) for NTT DOCOMO Group's tier 1 suppliers was 100%.

Based on its reasonable country of origin inquiry, NTT DOCOMO Group has determined that a portion of the conflict minerals (tantalum, tin, gold and tungsten) that are necessary to the functionality or production of its products may have originated in the Covered Countries and further determined that such necessary conflict minerals may not be from recycled or scrap sources.

In accordance with Rule 13p-1 of the Securities Exchange Act of 1934, NTT DOCOMO will file this Specialized Disclosure Form (Form SD) and the attached Conflict Minerals Report. Both Form this SD and the Conflict Minerals Report will be publicly available on NTT DOCOMO's website at <https://www.nttdocomo.co.jp/english/corporate/ir/library/sec/index.html>

Item 1.02 Exhibit

The Conflict Minerals Report is provided as Exhibit 1.01 to this Form SD.

**Section 2 Exhibits**

Item 2.01 Exhibits

Exhibit 1.01 Conflict Minerals Report as required by Item 1.01 and 1.02 of this Form.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

NTT DOCOMO, INC.  
(Registrant)

By: /s/ Kaoru Kato  
Name: Kaoru Kato  
Title: President and Chief Executive Officer

May 27, 2016