

SUNCOR ENERGY INC
Form 425
January 04, 2016

Filed by: Suncor Energy Inc.

Pursuant to Rule 425 under the Securities Act of 1933, as amended

Subject Company: Canadian Oil Sands Limited

Form F-80 File No.: 333-207268

[The link provided in the advertisements above connects to a web site including the advisories reproduced below.]

The information on and accessible through this website and videos and advertisements prepared by Suncor Energy Inc. (Suncor) that have linked you to this website (collectively, the Information) relates to an exchange offer (the Offer) commenced by Suncor for all the outstanding shares of Canadian Oil Sands Limited (COS). Please read the important notices below.

NOTICE TO U.S. HOLDERS

The Offer is being made for the securities of a Canadian issuer by a Canadian issuer that is permitted, under a multijurisdictional disclosure system adopted by the United States, to prepare the Offer documents in accordance with the disclosure requirements of Canada. COS Shareholders in the United States should be aware that such requirements are different from those of the United States. The financial statements included or incorporated by reference in the Offer documents have been prepared in accordance with International Financial Reporting Standards, and are subject to Canadian auditing and auditor independence standards, and thus may not be comparable to financial statements of U.S. companies.

COS Shareholders in the United States should be aware that the disposition of their shares in COS (Shares) and the acquisition of Suncor common shares by them as described in the Offer documents may have tax consequences both in the United States and in Canada. Such consequences for COS shareholders who are resident in, or citizens of, the United States may not be described fully in the Offer documents.

The enforcement by COS shareholders of civil liabilities under U.S. federal securities laws may be affected adversely by the fact that each of Suncor and COS are incorporated under the laws of Canada, that some or all of their respective officers and directors may be residents of a foreign country, that some or all of the experts named in the Offer documents may be residents of a foreign country and that all or a substantial portion of the assets of Suncor and COS and said persons may be located outside the United States.

THE SUNCOR COMMON SHARES OFFERED AS CONSIDERATION IN THE OFFER DOCUMENTS HAVE NOT BEEN APPROVED OR DISAPPROVED BY THE UNITED STATES SECURITIES AND EXCHANGE COMMISSION (SEC) OR ANY U.S. STATE SECURITIES COMMISSION NOR HAS THE SEC OR ANY U.S. STATE SECURITIES COMMISSION PASSED UPON THE ACCURACY OR ADEQUACY OF THE OFFER DOCUMENTS. ANY REPRESENTATION TO THE CONTRARY IS A CRIMINAL OFFENSE.

COS Shareholders should be aware that, during the period of the Offer, Suncor or its affiliates, directly or indirectly, may bid for or make purchases of Suncor common shares or Shares, or certain related securities, as permitted by applicable law or regulations of the United States, Canada or its provinces or territories.

Suncor has filed a registration statement on Form F-80, as it may be amended from time to time, which includes the Offer documents, with the SEC in respect of the Offer. The Information is not a substitute for such registration statement or any other documents that Suncor has filed or may file with the SEC or send to shareholders in connection with the Offer. INVESTORS AND SHAREHOLDERS ARE URGED TO READ THE REGISTRATION STATEMENT ON FORM F-80 AND ALL OTHER RELEVANT DOCUMENTS FILED OR TO BE FILED WITH THE SEC IN CONNECTION WITH THE OFFER AS THEY BECOME AVAILABLE, AS WELL AS ANY AMENDMENTS OR SUPPLEMENTS TO THOSE DOCUMENTS, BECAUSE THEY CONTAIN OR WILL CONTAIN IMPORTANT INFORMATION. You will be able to obtain a free copy of the registration statement on Form F-80, as well as other filings containing information about Suncor, at the SEC's website (www.sec.gov).

NOT AN OFFER

Except as provided in the next sentence, the Information does not constitute an offer to buy or sell, or an invitation or a solicitation of an offer to buy or sell any securities of COS or Suncor. The Offer is made exclusively by means of, and subject to the terms and conditions set out in, the Offer and Circular, dated October 5, 2015, as amended from time to time, and the related Letter of Transmittal and Notice of Guaranteed Delivery. While the Offer will be made to all holders of COS shares, the Offer will not be made or directed to, nor will deposits of shares be accepted from or on behalf of, holders of shares in any jurisdiction in which the making or acceptance of the Offer would not be in compliance with the laws of such jurisdiction. However, Suncor may, in its sole discretion, take such action as it may deem necessary to extend the Offer to holders of COS shares in any such jurisdiction.

Forward-Looking Statements

The Information contains certain forward-looking statements within the meaning of the United States Private Securities Litigation Reform Act of 1995 and forward-looking information within the meaning of applicable Canadian securities legislation (collectively, forward-looking statements), including statements about the Offer including statements about the Offer (including the proposed transaction summary (consideration, premiums, key metrics, pro forma ownership and key conditions and timing) and the expected COS shareholder potential benefits and expected pro forma benefits); Suncor's expected future growth projects; synergies, savings, and commitments to the Syncrude project; Suncor's anticipated future production growth; reserve life for each entity; capital expenditures; the NYMEX CL Light Sweet Crude Oil Futures contract settlement prices; and expectations with respect to dividends, share repurchases and future oil exposure, which are based on Suncor's current expectations, estimates, projections and assumptions that were made by Suncor in light of its experience and its perception of historical trends. Some of the forward-looking statements may be identified by words such as estimates, plans, goal, strategy, expects, continue, may, will, outlook, and similar expressions. In addition, all other statements and other information that address the Offer (including satisfaction of the Offer conditions, those relating to the tax treatment of shareholders, the expected timing of the consummation of the Offer, and certain strategic and financial benefits

and operational and cost efficiencies expected to result from the consummation of the Offer) are forward-looking statements. Forward-looking statements are not guarantees of future performance and involve a number of risks and uncertainties, some that are similar to other oil and gas companies and some that are unique to Suncor. Users of this information are cautioned that actual results may differ materially as a result of, among other things, assumptions regarding expected synergies and reduced operating expenditures; volatility of and assumptions regarding oil and gas prices; assumptions regarding timing of commissioning and start-up of capital projects; fluctuations in currency and interest rates; product supply and demand; market competition; risks inherent in marketing operations (including credit risks); imprecision of production and reserves estimates and estimates of recoverable quantities of oil, natural gas and liquids from Suncor's properties; the ability to access external sources of debt and equity capital; the timing and the costs of well and pipeline construction; assumptions regarding the timely receipt of regulatory and other approvals; the ability to secure adequate product transportation; changes in royalty, tax, environmental and other laws or regulations or the interpretations of such laws or regulations; applicable political and economic conditions; the risk of war, hostilities, civil insurrection, political instability and terrorist threats; assumptions regarding OPEC production quotas; and risks associated with existing and potential future lawsuits and regulatory actions.

Although Suncor believes that the expectations represented by such forward-looking statements are reasonable, there can be no assurance that such expectations will prove to be correct. Suncor's management's discussion and analysis (MD&A) for the period ended September 30, 2015 (the Third Quarter MD&A) and dated October 28, 2015, 2014 Annual Report and its most recently filed Annual Information Form/Form 40-F and other documents it files from time to time with securities regulatory authorities describe the risks, uncertainties, material assumptions and other factors that could influence actual results and such factors are incorporated herein by reference. Copies of these documents are available without charge from Suncor at 150 6th Avenue S.W., Calgary, Alberta T2P 3E3, by calling 1-800-558-9071, or by email request to info@suncor.com or by referring to the company's profile on SEDAR at www.sedar.com or EDGAR at www.sec.gov. Except as required by applicable securities laws, Suncor disclaims any intention or obligation to publicly update or revise any forward-looking statements, whether as a result of new information, future events or otherwise. Suncor's actual results may differ materially from those expressed or implied by its forward looking statements, so readers are cautioned not to place undue reliance on them.

The financial and operating performance of Suncor and its reportable operating segments and the timing and terms upon which the Offer may be consummated, if at all, may be affected by a number of factors. Many of these risk factors and other assumptions related to Suncor's forward-looking statements and information are discussed in further detail throughout the Offer Documents, the Third Quarter MD&A, and in Suncor's 2014 annual MD&A, 2014 Annual Information Form and Form 40-F on file with Canadian securities commissions at www.sedar.com and the United States Securities and Exchange Commission at www.sec.gov, and readers should refer to such risk factors and other statements in evaluating the forward-looking statements contained on this website.

pt"> % of Class UBS WM USA

Omni Account M/F

Attn: Department Manager

Special Custody Account for the

Exclusive Benefit of Customer

1000 Harbor Blvd.

Weehawken, NJ 07086-6761 2,048,823 6.12% Class C JP Morgan Securities LLC

For the Exclusive Benefit of Customers

4 Chase Metrotech Center

Brooklyn, NY 11245-0001 872,029 7.87% LPL Financial

Omnibus Customer Account

Attn: Mutual Fund Trading

4707 Executive Dr.

San Diego, CA 92121-3091 731,302 6.60% Morgan Stanley Smith Barney

Harborside Financial Center
Plaza II, 3rd Floor
Jersey City, NJ 07311 3,543,563 31.97%

National Financial Services LLC

For the Exclusive Benefit of Our Customers

Attn: Mutual Funds Dept.

499 Washington Blvd., 4th Floor

Jersey City, NJ 07310

612,906 5.53% Pershing LLC
P.O. Box 2052
Jersey City, NJ 07303-2052 2,069,339 18.67% Raymond James
Omnibus for Mutual Funds
House Account Firm
Attn: Courtney Waller
880 Carillon Parkway
St. Petersburg, FL 33716-1102 1,091,536 9.85% UBS WM USA
Omni Account M/F
Attn: Department Manager
Special Custody Account for the
Exclusive Benefit of Customer
1000 Harbor Blvd.
Weehawken, NJ 07086-6761 899,395 8.11%

K-10

Name and Address	Number of Shares of Class	% of Class
Advisor Class		
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	24,561,719	8.01 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	25,542,860	8.33 %
MLPF&S for the Sole Benefit of its Customers Attn Fund Admin 4800 Deer Lake Dr E Fl 2 Jacksonville, FL 32246-6484	20,373,791	6.65 %
Morgan Stanley Smith Barney		
Harborside Financial Center Plaza II, 3 rd Floor Jersey City, NJ 07311	52,924,341	17.26 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310-1995	43,756,672	14.27 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	22,960,879	7.49 %
Raymond James Omnibus for Mutual Funds House Account Firm Attn: Courtney Waller 880 Carillon Parkway St. Petersburg, FL 33716-1102	17,348,273	5.66 %

UBS WM USA
Omni Account M/F
Attn: Department Manager
Special Custody Account for the
Exclusive Benefit of Customer
1000 Harbor Blvd.,
Weehawken, NJ 07086-6761

35,266,119 11.50%

K-11

AB Intermediate Bond Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr. East, 2nd Floor Jacksonville, FL 32246-6484	2,864,732	13.82 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	1,872,013	9.03 %
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market St. Saint Louis, MO 63103-2523	1,486,895	7.17 %
Class B		
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr. East, 2nd Floor Jacksonville, FL 32246-6484	7,136	13.05 %
Class C		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	71,452	6.11 %
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr. East, 2nd Floor Jacksonville, FL 32246-6484	108,732	9.30 %

Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	95,518	8.17 %
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National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310 K-12	95,209	8.14 %
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Name and Address	Number of Shares of Class	% of Class
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	195,416	16.71 %
UBS WM USA Omni Account M/F Attn: Department Manager 1000 Harbor Blvd. Weehawken, NJ 07086-6761	66,017	5.65 %
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market St. Saint Louis, MO 63103-2523	263,935	22.57 %
Advisor Class		
First Hope Bank Investment and Trust Division 161 Newton Sparta Rd Newton, NJ 07860-2771	549,736	7.35 %
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr. East, 2nd Floor Jacksonville, FL 32246-6484	2,440,687	32.64 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	677,736	9.06 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310	595,815	7.97 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	451,743	6.04 %

Raymond James
Omnibus for Mutual Funds
House Account Firm
Attn: Courtney Waller
880 Carillon Parkway
St. Petersburg, FL 33716-1102

450,813 6.03 %

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Name and Address	Number of Shares of Class	% of Class
UBS WM USA Omni Account M/F Attn: Department Manager Special Custody Account for the Exclusive Benefit of Customer 1000 Harbor Blvd. Weehawken, NJ 07086-6761	550,145	7.36 %
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market St. Saint Louis, MO 63103-2523	872,121	11.66 %
Class R		
Capital Bank & Trust Co TTEE F River Garden 401K PSP C/O Fascore LLC 8515 E Orchard Rd #2T2 Greenwood Village, CO 80111-5002	37,924	14.32 %
First Colonial Family Practice TTEE First Colonial Family Practice 401K c/o Fascore LLC 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	116,889	44.13 %
George Maynard TTEE FBO Spencer Research Inc. 401K PSP c/o Fascore LLC 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	34,937	13.19 %
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr. East, 2nd Floor Jacksonville, FL 32246-6484	21,209	8.01 %
Class K		
Ascensus Trust Co. FBO International Integrated Solutions	33,530	5.36 %

LTD 401(k) Profit Sharing Plan and Trust
P.O. Box 10758
Fargo, ND 58106-0758

Ascensus Trust Co. FBO
Stepbrand Enterprises
401(k) PS Plan & Trust 42,450 6.78 %
P.O. Box 10758
Fargo, ND 58106-0758
K-14

Name and Address	Number of Shares of Class	% of Class
Great-West Trust Company LLC TTEE C Minnesota Surgical Associates 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	190,008	30.35%
Great-West Trust Company LLC TTEE C TAP & Affiliates 401(k) 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	113,526	18.14%
Great-West Trust Company LLC TTEE FBO Urology Centers of Alabama P.C. 401K Profit Sharing Retirement Plan 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	42,262	6.75 %
Great-West Trust Company LLC TTEE C Varitronics Inc Employees PSP 8515 E Orchard Rd 2T2 Greenwood Village, CO 80111-5002	36,149	5.77 %
Class I		
Ascensus Trust Company FBO Kerns Frost & Pearlman Retirement Plan P.O. Box 10758 Fargo, ND 58106-0758	19,812	7.26 %
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	54,273	19.90%
Mid Atlantic Trust Co FBO Latex Co US East LLC 401 K Profit S 1251 Waterfront Pl Ste 525 Pittsburgh, PA 15222-4228	34,348	12.59%
Nationwide Trust Company FSB c/o IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-2029	159,247	58.38%

Class Z

NFS LLC FEBO
FIIOC Agent FBO
Qualified Employee
Plans (401K) FINOPS-IC Funds 43,233 8.04 %
100 Magellan Way# KWIC
Covington, KY 41015-1987
K-15

Name and Address	Number of Shares of Class	% of Class
Saxon & Co. FBO VI Omnibus Account VICA P.O. Box 7780-1888 Philadelphia, PA 19182-0001	408,332	75.98%

AB Limited Duration High Income Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	268,273	14.70%
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	113,844	6.24 %
MLPF&S		
For the Sole Benefit of Its Customers		
Attn: Fund Admin. 4800 Deer Lake Dr. East, 2 nd Floor Jacksonville, FL 32246-6484	127,173	6.97 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3 rd Floor	231,384	12.68%

Jersey City, NJ 07311

National Financial Services LLC

For the Exclusive Benefit of Our Customers

Attn: Mutual Funds Dept.	232,763	12.75 %
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499 Washington Blvd., 4th Floor

Jersey City, NJ 07310

Pershing LLC

P.O. Box 2052	266,661	14.61 %
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Jersey City, NJ 07303-2052

Raymond James

Omnibus for Mutual Funds

House Account Firm

Attn: Courtney Waller	111,084	6.09 %
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880 Carillon Parkway

St. Petersburg, FL 33716-1102

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Name and Address	Number of Shares of Class	% of Class
UBS WM USA Omni Account M/F Attn: Department Manager Special Custody Account for the Exclusive Benefit of Customer 1000 Harbor Blvd. Weehawken, NJ 07086-6761	144,664	7.92 %
Class C		
MLPF&S For the Sole Benefit of Its Customers		
Attn: Fund Admin. 4800 Deer Lake Dr. East, 2 nd Floor Jacksonville, FL 32246-6484	330,763	15.40%
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3 rd Floor Jersey City, NJ 07311	804,179	37.45 %
National Financial Services LLC For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept. 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310	134,453	6.26 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	144,761	6.74 %
Raymond James Omnibus for Mutual Funds	158,192	7.37 %

House Account Firm
Attn: Courtney Waller
880 Carillon Parkway
St. Petersburg, FL 33716-1102

UBS WM USA
Omni Account M/F
Attn: Department Manager 178,343 8.31 %
1000 Harbor Blvd.
Weehawken, NJ 07086-6761

Advisor Class

Morgan Stanley Smith Barney

Harborside Financial Center 2,381,491 11.03 %

Plaza II, 3rd Floor

Jersey City, NJ 07311

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Name and Address	Number of Shares of Class	% of Class
UBS WM USA		
Omni Account M/F		
Attn: Department Manager		
Special Custody Account for the	1,714,791	7.95 %
Exclusive Benefit of Customer		
1000 Harbor Blvd., 5 th Floor		
Weehawken, NJ 07086-6761		

Class R

AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Avenue White Plains, NY 10601-1712	1,004	99.98 %
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Class K

AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Avenue White Plains, NY 10601-1712	1,004	99.98 %
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Class I

AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Avenue White Plains, NY 10601-1712	943	99.98 %
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AB Municipal Bond Inflation Strategy

Name and Address	Number of Shares of Class	% of Class
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Class A

Edward D. Jones & Co.
For the Benefit of Customers
Attn: Terrance Spencer
12555 Manchester Rd.
Saint Louis, MO 63131-3729

379,087 5.32 %

LPL Financial
Omnibus Customer Account
Attn: Mutual Fund Trading
4707 Executive Dr.
San Diego, CA 92121-3091

516,178 7.24 %

Morgan Stanley Smith Barney

Harborside Financial Center

1,833,729 25.71 %

Plaza II, 3rd Floor

Jersey City, NJ 07311
K-18

Name and Address	Number of Shares of Class	% of Class
National Financial Services, LLC		
For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept.	374,506	5.25 %
499 Washington Blvd., 4 th Floor		
Jersey City, NJ 07310		
Pershing, LLC		
P.O. Box 2052	430,926	6.04 %
Jersey City, NJ 07303-2052		
Wells Fargo Clearing Services, LLC		
Special Custody Acct. for the Exclusive Benefit of Customer	2,168,616	30.41 %
2801 Market St.		
Saint Louis, MO 63103-2523		
Class C		
LPL Financial		
Omnibus Customer Account		
Attn: Mutual Fund Trading	57,076	5.06 %
4707 Executne Dr		
San Diego, CA 92121-3091		
MLPF&S		
For the Sole Benefit of Its Customers		
Attn: Fund Admin.	73,897	6.55 %
4800 Deer Lake Dr., East, 2 nd Floor		
Jacksonville, FL 32246-6484		
Morgan Stanley Smith Barney	218,978	19.42 %
Harborside Financial Center		
Plaza II, 3 rd Floor		

Jersey City, NJ 07311

National Financial Services, LLC

For the Exclusive Benefit of Our Customers

Attn: Mutual Funds Dept.	68,594	6.08 %
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499 Washington Blvd., 4th Floor

Jersey City, NJ 07310

Pershing, LLC

P.O. Box 2052	85,896	7.62 %
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Jersey City, NJ 07303-2052

Raymond James

Omnibus for Mutual Funds

House Account Firm

Attn: Courtney Waller	111,656	9.90 %
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880 Carillon Parkway

St. Petersburg, FL 33716-1102

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Name and Address	Number of Shares of Class	% of Class
UBS WM USA Omni Account M/F Attn: Department Manager Special Custody Account for the Exclusive Benefit of Customer 1000 Harbor Blvd. Weehawken, NJ 07086-6761	70,015	6.21 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market St. Saint Louis, MO 63103-2523	245,656	21.79%
Advisor Class		
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	2,381,406	11.39%
Morgan Stanley Smith Barney		
Harborside Financial Center Plaza II, 3 rd Floor Jersey City, NJ 07311	2,421,154	11.58%
Pershing, LLC P.O. Box 2052 Jersey City, NJ 07303-2052	7,858,035	37.60%
Reliance Trust Co. FBO Intrust Non-EB C/R P.O. Box 28004 Atlanta, GA 30358-0004	1,214,347	5.81 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market St. Saint Louis, MO 63103-2523	1,115,579	5.34 %

AB Tax-Aware Fixed Income Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
Morgan Stanley Smith Barney		
Harborside Financial Center		
Plaza II, 3 rd Floor	138,170	25.87%
Jersey City, NJ 07311		
K-20		

Name and Address	Number of Shares of Class	% of Class
RBC Capital Markets, LLC		
Mutual Fund Omnibus Processing Omnibus		
Attn: Mutual Fund Operations Manager	276,517	51.77 %
60 S.6 th Street, MSC P08		
Minneapolis, MN 55402-4413		
Class C		
LPL Financial		
Omnibus Customer Account		
Attn: Mutual Fund Trading	12,697	16.50 %
4707 Executive Dr.		
San Diego, CA 92121-3091		
Morgan Stanley Smith Barney		
Harborside Financial Center		
	58,924	76.57 %
Plaza II, 3 rd Floor		
Jersey City, NJ 07311		
National Financial Services, LLC		
For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept.	4,332	5.63 %
499 Washington Blvd., 4th Floor		
Jersey City, NJ 07310		
Advisor Class		
AllianceBernstein L.P.		
Attn: Brent Mather-Seed Account	902,605	16.00 %
1 N. Lexington Avenue		
White Plains, NY 10601-1712		
Charles Schwab & Co.		
For the Exclusive Benefit of Customers		
Mutual Fund Operations	1,838,146	32.58 %
211 Main Street		
San Francisco, CA 94105-1905		

AB CAP FUND, INC.**AB All China Equity Portfolio (as of July 25, 2018)**

Name and Address	Number of Shares of Class	% of Class	
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Class A

AllianceBernstein L.P. Attn: Brett Mather-Seed Account 1 N. Lexington Avenue White Plains, NY 10601-1712	1,002	100	%
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Advisor Class

AllianceBernstein L.P. Attn: Brett Mather-Seed Account 1 N. Lexington Avenue White Plains, NY 10601-1712	199,002	100	%
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AB All Market Alternative Return Portfolio

Name and Address	Number of Shares of Class	% of Class	
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Class A

AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,197	100.00	%
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Class C

AllianceBernstein L.P. Attn: Brent Mather-Seed Account	1,189	100.00	%
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1 N. Lexington Ave.
White Plains, NY 10601-1712

Advisor Class

AB All Market Total Return
1345 Avenue of the Americas 16,158,196 79.10 %
New York, NY 10105-0302

AB Conservative Wealth Strategy
1345 Avenue of the Americas 2,111,527 10.34 %
New York, NY 10105-0302

AllianceBernstein L.P.
Attn: Brent Mather-Seed Account 2,157,361 10.56 %
1 N. Lexington Ave.
White Plains, NY 10601-1712

AB All Market Income Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	46,545	8.17%
National Financial Services LLC		
For The Exclusive Benefit Of Our Customers		
Attn: Mutual Funds Dept. 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310	33,305	5.85%
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052 K-22	32,688	5.74%

Name and Address	Number of Shares of Class	% of Class
Sanford Bernstein & Co. LLC 1 N. Lexington Ave. White Plains, NY 10601-1712	208,521	36.62%
Sanford Bernstein & Co. LLC 1 N. Lexington Ave. White Plains, NY 10601-1712	215,110	37.77%
Class C		
Ascensus Trust Company C/F M.J.M. FBO M.J.M. Coverdell ESA Murrieta, CA 92562-2504	4,244	7.21 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	34,343	58.31%
National Financial Services LLC		
For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept. 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310	4,027	6.84 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	11,169	18.96%
Advisor Class		
AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	983,569	8.55 %
	1,234,327	10.73%

LPL Financial
Omnibus Customer Account
Attn: Mutual Fund Trading
4707 Executive Dr.
San Diego, CA 92121-3091

SEI Private Trust Co.
C/O Heartland Bank
Attn: Mutual Funds
One Freedom Valley Drive
Oaks, PA 19456-9989

1,382,684 12.02%

K-23

AB Concentrated Growth Fund

Name and Address	Number of Shares of Class	% of Class
Class A		
Morgan Stanley Smith Barney		
Harborside Financial Center		
Plaza II, 3 rd Floor	202,628	27.11 %
Jersey City, NJ 07311		
National Financial Services LLC		
For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept.	96,049	12.85 %
499 Washington Blvd., 4 th Floor		
Jersey City, NJ 07310		
Pershing LLC		
P.O. Box 2052	171,024	22.88 %
Jersey City, NJ 07303-2052		
UBS WM USA		
Omni Account M/F		
Attn: Department Manager		
Special Custody Account for the	71,947	9.63 %
Exclusive Benefit of Customer		
1000 Harbor Blvd.		
Weehawken, NJ 07086-6761		
Class C		
MLPF&S for the Sole Benefit of its Customers		
Attn: Fund Admin		
4800 Deer Lake Drive East	84,268	15.91 %
2nd Floor		
Jacksonville, FL 32246-6484		

Morgan Stanley Smith Barney
Harborside Financial Center
Plaza II, 3rd Floor
Jersey City, NJ 07311

274,209	51.78%
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National Financial Services LLC
For the Exclusive Benefit of Our Customers
Attn: Mutual Funds Dept.
499 Washington Blvd., 4th Floor
Jersey City, NJ 07310

29,044	5.48 %
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Pershing LLC
P.O. Box 2052
Jersey City, NJ 07303-2052

K-24

Name and Address	Number of Shares of Class	% of Class
UBS WM USA Omni Account M/F Attn: Department Manager SPEC CDY A/C EBOC UBSFSI 1000 Harbor Boulevard, 5th Floor Weehawken, NJ 07086-6761	26,983	5.10 %
Advisor Class		
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	1,622,235	15.63 %
Class K		
Great-West Trust Company, LLC TTEE FBO Certified Services LLC 401(K) Retirement Savings Plan 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	1,681	10.64 %
Great-West Trust Company, LLC TTEE FBO Innovim LLC 401(K) Profit Sharing Plan 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	1,046	6.62 %
Great-West Trust Company, LLC TTEE FBO Philadelphia Eye Associates LTD 401(K) Profit Sharing Plan 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	1,049	6.64 %
Great-West Trust Company, LLC TTEE FBO Sterling Ruby Studio Inc. Profit Sharing Plan & Trust 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	4,178	26.44 %
Great-West Trust Company, LLC TTEE FBO Varda Holdings LLC 401K Profit Sharing Plan 8515 East Orchard Road, #2T2	834	5.28 %

Greenwood Village, CO 80111-5002

P./G./E. K.

FBO Kunhardt Films LLC Incentive

48 Wheeler Ave

Pleasantville, NY 10570-3046

6,115

38.70%

K-25

Name and Address	Number of Shares of Class	% of Class
Class I		
AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Avenue White Plains, NY 10601-1712	406	64.01 %
Mid Atlantic Trust Co FBO Platinum CFO Group Ltd 401K Profit 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	225	35.38 %
Class Z		
TD Ameritrade FBO Voya Institutional Trust Company as Cust. PSP for Employee of AllianceBernstein L.P. FBO Bertand Ginsberg Beverly Hills, CA 90212-3662	19,109	84.38 %
TD Ameritrade FBO Voya Institutional Trust Company as Cust. PSP for Employee of AllianceBernstein L.P.		
FBO Darren Menaker 1999 Avenue of the Stars, 21 st Floor Los Angeles, CA 90067-6059	2,790	12.32 %

AB Concentrated International Growth Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		

Morgan Stanley Smith Barney

Harborside Financial Center

7,139 27.65 %

Plaza II, 3rd Floor

Jersey City, NJ 07311

M.A.N.

TOD/DE

1,692 6.55 %

Franklin, MA 02038-5216

Pershing LLC

P.O. Box 2052

3,199 12.39 %

Jersey City, NJ 07303-2052

K.C.S. & C.S.

JT TEN TOD/DE

2,629 10.18 %

Marietta, GA 30062-4813

K-26

Name and Address	Number of Shares of Class	% of Class
Sixth Avenue Baptist Church 1101 Martin Luther King Jr. Drive SW Birmingham, AL 35211-2895	3,385	13.11 %
M.P.T. San Clemente, CA 92673-3523	1,987	7.70 %
Class C		
AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Avenue White Plains, NY 10601-1712	1,000	6.63 %
R.J.B. & S.M.B. TENCOM Bowling Green, OH 43402-9589	4,095	27.15 %
Charles Schwab & Co. Inc. Special Custody Acct FBO Customers Attn: Mutual Funds 211 Main Street San Francisco, CA 94105-1905	988	6.55 %
A.K. & A.K. JTWROS Roseville, MN 55113-4462	1,427	9.46 %
Pershing LLC P.O. Box 2052 Jersey City, NY 07303-2052	7,574	50.21 %
Advisor Class		
SEI Private Trust Co. c/o Dubuque Bank 1 Freedom Valley Drive Oaks, PA 19456-9989	3,587,575	92.35 %

AB Emerging Markets Core Portfolio

Name and Address

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Number % of
of Class
Shares
of Class

Class A

AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Avenue White Plains, NY 10601-1712	1,017	100.00%
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K-27

Name and Address	Number of Shares of Class	% of Class
Class C		
AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Avenue White Plains, NY 10601-1712	1,007	100.00%

Advisor Class

AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Avenue White Plains, NY 10601-1712	428,598	100.00%
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AB Emerging Markets Multi-Asset Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	330,781	30.53 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Drive San Diego, CA 92121-3091	71,552	6.60 %
National Financial Services LLC For the Exclusive Benefit of Our Customers 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310	79,990	7.38 %

Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	55,198	5.09 %
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Sanford Bernstein & Co LLC 1 N. Lexington Ave. White Plains, NY 10601-1712	79,460	7.33 %
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Name and Address	Number of Shares of Class	% of Class
Class C		
Charles Schwab & Co. Inc. Special Custody Acct FBO Customers Attn: Mutual Funds 211 Main Street San Francisco, CA 94105-1905	163,883	58.18 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	22,689	8.06 %
National Financial Services LLC		
For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept. 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310	25,291	8.98 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	23,831	8.46 %
Raymond James Omnibus for Mutual Funds House Acct. Firm Attn: Courtney Waller 880 Carillon Parkway St. Petersburg, FL 33716-1102	14,590	5.18 %
Advisor Class		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	923,118	7.22 %
	1,703,571	13.33 %

National Financial Services LLC
For the Exclusive Benefit of Our Customers
Attn: Mutual Funds Dept.
499 Washington Blvd., 4th Floor
Jersey City, NJ 07310-1995

Raymond James
Omnibus for Mutual Funds
House Acct Firm
Attn: Courtney Waller
880 Carillon Parkway
St. Petersburg, FL 33716-1102

2,170,225	16.98 %
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K-29

Name and Address	Number of Shares of Class	% of Class
Class R		
Ascensus Trust Company FBO James Frank Companies 401K PS Plan P.O. Box 10577 Fargo, ND 58106-0577	17,224	48.52%
Ascensus Trust Company FBO Valley Eye Specialists PS Plan P.O. Box 10758 Fargo, ND 58106-0758	8,176	23.03%
FIIOC FBO Metz Wood Harder, Inc. 401k Profit Sharing Plan 100 Magellan Way (KW1C) Covington, KY 41015-1987	1,944	5.48 %
Matrix Trust Company Cust. FBO		
ETC Companies LLC 717 17 th St., Suite 1300 Denver, CO 80202-3304	3,555	10.01%
Class K		
Ascensus Trust Company FBO Kingham Insurance Agency of Beaufort P.O. Box 10758 Fargo, ND 58106-0758	5,523	15.71%
Ascensus Trust Company FBO Lawrence P. Lotzof DDS APC Retirement Savings 401K Plan P.O. Box 10758 Fargo, ND 58106-0758	9,253	26.32%
Great-West Trust Company LLC TTEE Cust. FBO Sterling Ruby Studio Inc.	3,413	9.71 %

Profit Sharing Plan & Trust
8515 East Orchard Road, #2T2
Greenwood Village, CO 80111-5002

Great-West Trust Company LLC TTEE C
Stoner Albright & Company Retirement Plan 10,175 28.95%
8515 East Orchard Road, #2T2
Greenwood Village, CO 80111-5002

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Name and Address	Number of Shares of Class	% of Class
Class I		
AllianceBernstein L.P. Attn: Brent Mather-Seed Acct 1 N. Lexington Ave. White Plains, NY 10601-1712	1,032	5.82 %
TD Ameritrade FBO Voya Institutional Trust Company PSP For Emp of AllianceBernstein L.P. FBO William Marsalise Syosset, NY 11791-1213	13,788	77.79%
TD Ameritrade FBO Voya Institutional Trust Company PSP For Emp of AllianceBernstein L.P. FBO Benjamin M. Stein New York, NY 10031-5303	1,083	6.11 %
Nationwide Trust Co FSB c/o IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-20	1,311	7.40 %
Class Z		
AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Avenue White Plains, NY 10601-1712	1,019	99.97%
AB Wealth Appreciation Strategy APPVM 1345 Avenue of the Americas New York, NY 10105-0302	1,159,544	18.92 %
SCB Overlay A Portfolio DAAADA 1345 Avenue of the Americas New York, NY 10105-0302	1,366,308	22.29 %
SCB Tax-Aware Overlay A Portfolio DAATADA 1345 Avenue of the Americas	2,847,947	46.46%

New York, NY 10105-0302

AB Tax-Managed Wealth Appreciation

TMAPPRA

1345 Avenue of the Americas

New York, NY 10105-0302

609,624 9.95 %

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AB FlexFee Core Opportunities Portfolio

Name and Address	Number of Shares of Class	% of Class
Advisor Class		
AllianceBernstein L.P. Attn: Brent Mather-Seed Acct 1 N. Lexington Ave. White Plains, NY 10601-1712	100,000	100.00%

AB FlexFee Emerging Markets Growth Portfolio

Name and Address	Number of Shares of Class	% of Class
Advisor Class		
AllianceBernstein L.P. Attn: Brent Mather-Seed Acct 1 N. Lexington Ave. White Plains, NY 10601-1712	498,000	99.95%

AB FlexFee International Strategic Core Portfolio

Name and Address	Number of Shares of Class	% of Class
Advisor Class		
AllianceBernstein L.P. Attn: Brent Mather-Seed Acct 1 N. Lexington Ave. White Plains, NY 10601-1712	300,000	100.00%

AB FlexFee Large Cap Growth Portfolio

Name and Address	Number of Shares of Class	% of Class
Advisor Class		
AllianceBernstein L.P. Attn: Brent Mather-Seed Acct 1 N. Lexington Ave. White Plains, NY 10601-1712	2,164,517	32.46%
Morgan Stanley Smith Barney		
Harborside Financial Center Plaza II, 3 rd Floor Jersey City, NJ 07311	3,318,741	49.76%
Pershing LLC P.O. Box 2051 Jersey City, NJ 07303-2052	689,645	10.34%

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AB FlexFee US Thematic Portfolio

Name and Address	Number of Shares of Class	% of Class
Advisor Class		
AllianceBernstein L.P. Attn: Brent Mather-Seed Acct 1 N. Lexington Ave. White Plains, NY 10601-1712	3,504,187	79.55 %
Charles Schwab & Co. FBO Customers Attn: Mutual Fund Operations 211 Main St. San Francisco, CA 94105-1905	588,984	13.37 %

AB Global Core Equity Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
Ascensus Trust Co. FBO The Northern Fish Products Inc. 401K PSP P.O. Box 10758 Fargo, ND 58106-0758	58,333	5.58 %
Great-West Trust Company LLC TTEE FBO EYE Associates of Tallahassee PA Profit Sharing 401K 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	61,098	5.85 %
Great-West Trust Company LLC TTEE F Social Studies School Service 401K 8515 East Orchard Road, #2T2	240,492	23.01 %

Greenwood Village, CO 80111-5002

Sanford Bernstein & Co. LLC One North Lexington Avenue White Plains, NY 10601-1712	304,332	29.12 %
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Class C

AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Avenue White Plains, NY 10601-1712	1,000	7.23 %
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Ascensus Trust Company C/F T.H.Y. IRA Porter Ranch, CA 91326-4035 K-33	835	6.04 %
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Name and Address	Number of Shares of Class	% of Class
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Drive San Diego, CA 92121-3091	4,255	30.78%
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	5,846	42.29%
Raymond James Omnibus for Mutual Funds House Acct. Firm Attn: Courtney Waller 880 Carillon Parkway St. Petersburg, FL 33716-1102	1,730	12.51%
Advisor Class		
Sanford Bernstein & Co. LLC One North Lexington Avenue White Plains, NY 10601-1712	2,043,481	5.44 %

AB International Strategic Core Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
Ascensus Trust Company Bare & Swett Agency Inc. NDFI Christopher L. McClung Eureka Springs, AR 72631-9102	3,832	10.02 %
	10,284	26.89 %

Ascensus Trust Company
Pope Insurance
C.L.P.
Englewood, FL 34223-2869

B. W. & G.W. JTWROS TOD/DE South Pasadena, CA 91030-4718	12,235	31.99 %
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LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Drive San Diego, CA 92121-3091 K-34	2,447	6.40 %
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Name and Address	Number of Shares of Class	% of Class
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Class C

AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Avenue White Plains, NY 10601-1712	1,000	10.09 %
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A.K. & A.K. JTWROS Roseville, MN 55113-4462	1,087	10.97 %
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Ascensus Trust Company C/F E.G.D. IRA Rollover Southport, NC 28461-8518	889	8.97 %
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Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	6,425	64.83 %
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AB Multi-Manger Select Retirement Allocation Fund

Name and Address	Number of Shares of Class	% of Class
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Class A

Ascensus Trust Company C/F J.D.R. IRA Rollover Philadelphia, TN 37846-3353	3,041	6.76 %
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Edward D. Jones & Co. For the Benefit of Customers Attn: Terrance Spencer 12555 Manchester Rd. Saint Louis, MO 63131-3729	8,395	18.68 %
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Great-West Trust Company LLC TTEE F Northwest Research Inc.	7,465	16.61 %
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401K
8515 East Orchard Road, #2T2
Greenwood Village, CO 80111-5002

Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	14,733	32.78 %
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Wells Fargo Clearing Services, LLC Special Custody Acct for the Exclusive Benefit of Customer 2801 Market St. Saint Louis, MO 63103-2523	8,183	18.20 %
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K-35

Name and Address	Number of Shares of Class	% of Class
Class C		
AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,000	8.25 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	2,232	18.41 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	8,886	73.29 %
Advisor Class		
AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,000	36.40 %
Ascensus Trust Company C/F D.G. IRA Rollover Pembroke Pines, FL 33027-2025	958	34.89 %
State Street Bank and Trust as TTEE and/or Cust. FBO ADP Access Product 1 Lincoln St. Boston, MA 02111-2901	788	28.67 %
Class R		
Matrix Trust Company Cust. FBO The Rider Weiner & Frankel PC 717 17 th St., Ste. 1300 Denver, CO 80202-3304	37,222	96.93 %
Class K		

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Great-West Trust Company LLC TTEE F Gerber Trade Finance Inc. 401(K) 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	60,315	5.81 %
Great-West Trust Company LLC TTEE FBO Northside Radiology Associates PC 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	192,528	18.55%

K-36

Name and Address	Number of Shares of Class	% of Class
Great-West Trust Company LLC TTEE FBO Tech Quip Inc 401k 8515 East Orchard Road, # 2T2 Greenwood Village, CO 80111-5002	110,020	10.60%
Great-West Trust Company LLC TTEE C Digestive Healthcare of Georgia PC 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	164,455	15.85%
Great-West Trust Company LLC TTEE F North Suburban Pediatrics 401K 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	118,565	11.42%

Class I

AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,100	94.88%
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Class Z

AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,014	98.61%
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AB Multi-Manager Select 2010 Fund

Name and Address	Number of Shares of Class	% of Class
Class A		
A.L. Cust. FBO A.L. Under-PA UTMA	2,572	6.92%

Abbottstown, PA 17301-9576

A.L. Cust.

FBO A.L.

Under-PA UTMA

Abbottstown, PA 17301-9576

3,163 8.51%

Ascensus Trust Company FBO

Power Brake Exchange, Inc. 401(K) P

P.O. Box 10758

Fargo, ND 58106-0758

3,319 8.93%

K-37

Name and Address	Number of Shares of Class	% of Class
Edward D. Jones & Co. For the Benefit of Customers Attn: Terrance Spencer 12555 Manchester Road Saint Louis, MO 63131-3729	3,171	8.53 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	13,034	35.06 %
M.E.E. TOD/DE Easton, PA 18045-5325	5,451	14.66 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	2,399	6.45 %
Class C		
Ascensus Trust Company C/F J.C.E. IRA Apache Junction, AZ 85119-6424	1,832	16.89 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	5,266	48.53 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	3,522	32.46 %
Advisor Class		
Ascensus Trust Company C/F G.E. IRA Brooklyn, NY 11234-4210	54,759	78.12 %

Giaquinta Irrevocable Living Trust

DTD 12/27/06

G.G. as Grantor

13,279 18.94%

J.G. TTEE

Staten Island, NY 10306-3619

Class R

MLPF&S For the Sole Benefit of Its Customers

Attn: Fund Admin.

14,510 42.16%

4800 Deer Lake Dr. East, 2nd Floor

Jacksonville, FL 32246-6484

K-38

Name and Address	Number of Shares of Class	% of Class
Mid Atlantic Trust Company FBO Vargo Physical Therapy 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	3,284	9.54 %
Voya Retirement Insurance and Annuity Co. Qualified Plan 1 Orange Way, #B3N Windsor, CT 06095-4773	16,607	48.25 %
Class K		
Great-West Trust Company LLC TTEE FBO Hunt Design Associates Inc. 401K and Profit Sharing Plan 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	101,014	6.39 %
Great-West Trust Company LLC TTEE FBO The Macinnis Company Savings Plan 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	133,458	8.45 %
Great-West Trust Company LLC TTEE FBO Urology Centers of Alabama PC 401(K) Profit Sharing Retirement Plan 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	396,392	25.09 %
Class I		
AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,100	17.81 %
Matrix Trust Company Cust. FBO Fig Partners, LLC Employee Savings T 717 17 th St., Ste. 1300 Denver, CO 80202-3304	4,751	76.91 %
	319	5.16 %

Mid Atlantic Trust Co FBO
S.J.S. 401k PS
1251 Waterfront Pl., Ste. 525
Pittsburgh, Pa 15222-4228

Class Z

AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,020	98.71 %
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K-39

AB Multi-Manager Select 2015 Fund

Name and Address	Number of Shares of Class	% of Class
Class A		
Charles Schwab & Co. Inc. Special Custody Account FBO Customers Attn: Mutual Fund 211 Main St. San Francisco, CA 94105-1905	52,500	30.09%
Edward D. Jones & Co. For the Benefit of Customers Attn: Terrance Spencer 12555 Manchester Rd. Saint Louis, MO 63131-3729	17,039	9.77 %
Matrix Trust Company Cust. FBO Perry, Crabb & Associates, Inc. 401 717 17th St., Ste. 1300 Denver, CO 80202-3304	16,690	9.57 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	16,578	9.50 %
Class C		
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	46,037	86.23 %
Advisor Class		
AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,004	15.81 %
P.H.E. & D.A.E. Tenant in Entirety	5,340	84.09 %

Summit, NJ 07901-3026

Class R

MLPF&S For the Sole Benefit of Its
Customers

Attn: Fund Admin.	2,473	9.73 %
4800 Deer Lake Dr., E. Fl. 2		
Jacksonville FL 32246-6484		

K-40

Name and Address	Number of Shares of Class	% of Class
Voya Retirement Insurance and Annuity Co. Qualified Plan 1 Orange Way, #B3N Windsor, CT 06095-4773	20,855	82.08%
Class K		
Great-West Trust Company LLC TTEE FBO Hersh, Mannis & Bogen LLP 401K Plan and Trust I 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	234,439	6.03 %
Great-West Trust Company LLC TTEE F Keane & Beane PC 401(K) Retirement Plan 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	218,557	5.62 %
Class I		
AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,100	7.63 %
Reliance Trust Co FBO MassMutual Registered Product P.O. Box 28004 Atlanta GA 30358-0004	13,137	91.14%
Class Z		
AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,021	89.10%
Mid Atlantic Trust Co FBO Supreme Group Inc 401K Plan 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	108	9.44 %

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AB Multi-Manager Select 2020 Fund

Name and Address	Number of Shares of Class	% of Class
Class A		
Ascensus Trust Company FBO Belt Valley Bank 401K P.O. Box 10758 Fargo, ND 58106-0758	43,978	9.79 %
Charles Schwab & Co. Inc. Special Custody Acct. FBO Customers Attn: Mutual Fund 211 Main Street San Francisco, CA 94105-1905	23,095	5.14 %
Matrix Trust Company Cust. FBO Perry, Crabb & Associates, Inc. 401 717 17th St., Ste. 1300 Denver, CO 80202-3304	42,256	9.41 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	62,961	14.02 %
Class C		
Ascensus Trust Company C/F A.K. Dec'd IRA FBO E.K..H. Hampstead London, England NW31RT	8,774	7.66 %
Ascensus Trust Company C/F E. C.S. IRA North Middletown, NJ 07748-5175	12,707	11.10 %
Ascensus Trust Company C/F J.S. Roth IRA	8,628	7.54 %

New City, NY 10956-3222

Morgan Stanley Smith Barney

Harborside Financial Center

24,225 21.16%

Plaza II, 3rd Floor

Jersey City, NJ 07311

National Financial Services LLC
For the Exclusive Benefit of Our
Customers

6,894 6.02 %

Attn: Mutual Funds Dept.
Washington Blvd., 4th Floor 499
Jersey City, NJ 07310

K-42

Name and Address	Number of Shares of Class	% of Class
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	30,386	26.54 %
Advisor Class		
Ascensus Trust Company C/F FBO M.B. Roth Conversion Allen, TX 75002-4825	1,088	5.36 %
Ascensus Trust Company C/F S.R.M. IRA San Antonio, TX 78223-2047	3,318	16.33 %
Mid Atlantic Trust Company FBO S.B. 401(K) Profit Sharing 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	1,542	7.59
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	11,249	55.37 %
State Street Bank and Trust as TTEE and/or Cust. FBO ADP Access Product 1 Lincoln St. Boston, MA 02111-2901	2,675	13.17 %
Class R		
Ascensus Trust Company FBO Accurate Intercom 401K PS Plan 699 P.O. Box 10758 Fargo, ND 58106-0758	51,977	20.12 %
Matrix Trust Company Cust. FBO The Rider Weiner & Frankel PC 717 17th St., Ste. 1300 Denver, CO 80202-3304	54,528	21.11 %
Voya Retirement Insurance and Annuity Co. Qualified Plan	133,233	51.57 %

1 Orange Way, #B3N
Windsor, CT 06095-4773

Class I

AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,100	25.20%
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K-43

Name and Address	Number of Shares of Class	% of Class
Reliance Trust Co FBO MassMutual Registered Product P.O. Box 28004 Atlanta, GA 30358-0004	2,543	58.25%

Class Z

AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,025	7.23 %
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Mid Atlantic Trust Co FBO Supreme Group Inc 401K Plan 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	13,152	92.68%
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AB Multi-Manager Select 2025 Fund

Name and Address	Number of Shares of Class	% of Class
Class A		
Ascensus Trust Company W K Webster Ltd. S.S. Brooklyn, NY 11215-1528	29,307	6.17 %
Great-West Trust Company LLC TTEE F Northwest Research Inc. 401K 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	34,107	7.19 %
Matrix Trust Company Cust. FBO Perry, Crabb & Associates, Inc. 401	53,010	11.17%

717 17th St., Ste. 1300
Denver, CO 80202-3304

Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	67,767	14.28 %
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Class C

Ascensus Trust Company C/F B.T.G. Roth IRA Juneau, AK 99801-8415	4,051	6.51 %
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Ascensus Trust Company C/F M.W. Roth IRA Franklin Park, NJ 08823-1775	3,555	5.71 %
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Edward D. Jones & Co. For the Benefit of Customers Attn: Terrance Spencer 12555 Manchester Rd. Saint Louis, MO 63131-3729	6,691	10.75 %
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K-44

Name and Address	Number of Shares of Class	% of Class
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	13,692	22.00%
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	19,942	32.05%
Advisor Class		
Ascensus Trust Company C/F J.M.J. IRA R/O Bayside, NY 11364-1340	15,104	16.08%
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	44,480	47.35%
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	16,904	18.00%
State Street Bank and Trust as TTEE and/or Cust. FBO ADP Access Product 1 Lincoln St. Boston, MA 02111-2901	7,487	7.97%
Class R		
Matrix Trust Company Cust. The Rider Weiner & Frankel PC 717 17th St., Ste. 1300 Denver, CO 80202-3304	59,984	25.81%
Mid Atlantic Trust Company FBO Vargo Physical Therapy 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	50,719	21.82%

Voya Retirement Insurance and Annuity Co.

Qualified Plan

1 Orange Way, #B3N

Windsor, CT 06095-4773

114,729 49.36%

Class K

Great-West Trust Company LLC TTEE C

Digestive Healthcare of Georgia PC

8515 East Orchard Road, #2T2

Greenwood Village, CO 80111-5002

790,386 6.38 %

K-45

Name and Address	Number of Shares of Class	% of Class
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Class I

Reliance Trust Co FBO MassMutual Registered Product P.O. Box 28004 Atlanta, GA 30358-0004	66,364	91.64%
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Class Z

AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,029	10.58%
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Mid Atlantic Trust Co. FBO Derecktor Maine, LLC 401(K) Profit 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	2,434	25.04%
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Mid Atlantic Trust Co. FBO Supreme Group Inc. 401(K) Plan 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	6,243	64.22%
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AB Multi-Manager Select 2030 Fund

Name and Address	Number of Shares of Class	% of Class
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Class A

Ascensus Trust Company Kirsch Dental LLC Simple IRA J.A.K. Frankfort, IL 60423-9712	33,747	5.18%
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Ascensus Trust Company
Kirsch Dental LLC Simple IRA
M.E.K. 40,505 6.22 %
Frankfort, IL 60423-9712

Charles Schwab & Company Inc.
Special Custody Account
FBO Customers 38,809 5.96 %
Attn: Mutual Fund
211 Main St.
San Francisco, CA 94105-1905

JP Morgan Securities LLC
For the Exclusive Benefit of Customers 45,759 7.03 %
4 Chase Metrotech Ctr
Brooklyn, NY 11245-0001

Matrix Trust Company Cust. FBO
Perry, Crabb & Associates, Inc. 401 52,437 8.05 %
717 17th St., Ste. 1300
Denver, CO 80202-3304

K-46

Name and Address	Number of Shares of Class	% of Class
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310	95,062	14.59%
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	37,498	5.76 %
Class C		
Mid Atlantic Trust Company FBO AFSCME DC47 Health & Welfare Retirement 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	16,221	15.97%
Mid Atlantic Trust Company FBO Retirement Savings Plan of AFSCME D 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	24,362	23.99%
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	33,450	32.94%
Advisor Class		
Ascensus Trust Company FBO K.K.B. 401(K) Plan 685 P.O. Box 10758 Fargo, ND 58106-0758	31,524	35.78%
E.D.W. & G.I.W. JTWROS c/o Sullivan & Cromwell LLP 125 Broad St. New York, NY 10004-2400	10,818	12.28%
Great-West Trust Company LLC FBO Employee Benefits Clients 401(K) 8515 East Orchard Road, #2T2	17,331	19.67%

Greenwood Village, CO 80111-5002

P.H.E. & D.A.E.

Tenant in Entirety 14,535 16.50%

Summit, NJ 07901-3026

Class R

Matrix Trust Company Cust. FBO

The Rider Weiner & Frankel PC

67,856 43.34%

717 17th St., Ste. 1300

Denver, CO 80202-3304

K-47

Name and Address	Number of Shares of Class	% of Class
Mid Atlantic Trust Company FBO FBO Vargo Physical Therapy 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	28,101	17.95%
Voya Retirement Insurance and Annuity Co. Qualified Plan 1 Orange Way, #B3N Windsor, CT 06095-4773	50,106	32.00%
Class I		
Matrix Trust Company Cust. FBO		
Fig Partners, LLC Employee Savings T 717 17 th St., Ste. 1300 Denver, CO 80202-3304	27,557	17.92%
Reliance Trust Co. FBO MassMutual Registered Product P.O. Box 28004 Atlanta, GA 30358-0004	124,450	81.14%
Class Z		
AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,033	12.15%
Mid Atlantic Trust Co FBO Derektor Maine, LLC 401(K) Profit 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	931	10.95%
Mid Atlantic Trust Co FBO Supreme Group Inc. 401(K) Plan 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	6,054	71.19%

AB Multi-Manager Select 2035 Fund

Name and Address	Number of Shares of Class	% of Class
Class A		
Ascensus Trust Company Octane Design T.C.D., Jr. Royal Oak, MI 48067-1157	31,470	7.06 %
Ascensus Trust Company Octane Design W.F.B. Ferndale, MI 48220-1273	26,318	5.90 %

K-48

Name and Address	Number of Shares of Class	% of Class
Charles Schwab & Company Inc. Special Custody Account FBO Customers Attn: Mutual Fund 211 Main St. San Francisco, CA 94105-1905	22,705	5.09 %
Great-West Trust Company LLC TTEE F Northwest Research Inc. 401K 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	47,977	10.76 %
Matrix Trust Company Cust. FBO Perry, Crabb & Associates, Inc. 401 717 17th St., Ste. 1300 Denver, CO 80202-3304	22,510	5.05 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	42,101	9.44 %
Class C		
Ascensus Trust Company C/F D.L.R. Roth IRA Hatfield, PA 19440-3010	7,564	13.95 %
Ascensus Trust Company C/F R.G.B. SEP IRA Loveland, OH 45140-8100	2,826	5.21 %
Ascensus Trust Company FBO N.G. Roth IRA Winter Haven, FL 33880-1826	6,911	12.75 %
Morgan Stanley Smith Barney	9,466	17.46 %
Harborside Financial Center Plaza II, 3 rd Floor		

Jersey City, NJ 07311

Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	12,665	23.37%
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Advisor Class

Ascensus Trust Company C/F R.G.D. IRA New York, NY 10025-6240	14,945	40.25%
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E.D.W. & G.I.W. JTWROS c/o Sullivan & Cromwell LLP 125 Broad St. New York, NY 10004	10,724	28.88%
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K-49

Name and Address	Number of Shares of Class	% of Class
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	4,670	12.58%
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310	3,672	9.89 %
Class R		
Mid Atlantic Trust Company FBO Vargo Physical Therapy 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	17,628	17.05%
National Financial Services LLC 499 Washington Blvd Jersey City NJ 07310-1995	22,791	22.05%
Voya Retirement Insurance and Annuity Co. Qualified Plan 1 Orange Way, #B3N Windsor, CT 06095-4773	51,847	50.16%
Class I		
Matrix Trust Company Cust. FBO		
Fig Partners, LLC Employee Savings T 717 17 th St., Ste. 1300 Denver, CO 80202-3304	10,328	43.04%
Mid Atlantic Trust Co FBO Kappel Mortgage Group Inc 401k PR 1251 Waterfront Pl Ste 525 Pittsburgh PA 15222-4228	4,904	20.44%

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Reliance Trust Co FBO MassMutual Registered Product P.O. Box 28004 Atlanta, GA 30358-0004	5,294	22.06%
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Class Z

AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,037	35.10%
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K-50

Name and Address	Number of Shares of Class	% of Class
Mid Atlantic Trust Company FBO Baskin Aesthetic Medicine LLC 401(K) 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	1,210	40.96 %
Mid Atlantic Trust Co. FBO Supreme Group Inc. 401(K) Profit 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	634	21.48 %

AB Multi-Manager Select 2040 Fund

Class A

Ascensus Trust Company Teagle Optometry Glendale, LLC D.E.T. Valencia, CA 91355-2828	42,713	13.07 %
Great-West Trust Company LLC TTEE F Northwest Research Inc. 401K 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	46,744	14.30 %
Pershing LLC PO Box 2052 Jersey City NJ 07303-2052	16,535	5.06 %
Reliance Trust Company FBO Wenco P.O. Box 28004 Atlanta, GA 30358-0004	21,438	6.56 %

Class C

Ascensus Trust Company Teagle Optometry Glendale, LLC I.M.	3,289	7.59 %
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Los Angeles, CA 90006-4524

Mid Atlantic Trust Co. FBO IT Data Inc. 401(K) 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	9,335	21.54%
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Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	15,497	35.76%
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Stifel Nicolaus & Co. Inc. J.C.T. 501 N. Broadway, Fl. 8 Saint Louis, MO 63102-2137	2,964	6.84 %
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K-51

Name and Address	Number of Shares of Class	% of Class
Advisor Class		
Ascensus Trust Company B.P.H. Roth Conversion Villa Park, CA 92861-2647	6,950	15.99 %
Ascensus Trust Company C/F L.R.C. IRA Rollover Account Holmdel, NJ 07733-1414	3,693	8.49 %
Ascensus Trust Company C/F M.H.D. Roth IRA Amarillo, TX 79109-2305	12,350	28.40 %
Mid Atlantic Trust Company FBO S.B. 401(K) Profit Sharing 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	4,414	10.15 %
RBC Capital Markets LLC		
Mutual Fund Omnibus Processing		
Omnibus	4,651	10.70 %
Attn: Mutual Fund Operations Manager		
60 S. 6 th St., MSC P08		
Minneapolis, MN 55402-4413		
State Street Bank and Trust as TTEE and/or Cust. FBO ADP Access Product 1 Lincoln St. Boston, MA 02111-2901	3,546	8.15 %
Vallee & Co. FBO VA c/o BMO Harris Bank NA Attn: MF	2,239	5.15 %

480 Pilgrim Way, Suite 1000
Green Bay, WI 54304-5280

Class R

Ascensus Trust Company FBO
Canterra Homes 401k
Profit Sharing Plan 19,989 18.37 %
P.O. Box 10758
Fargo, ND 58106-0758

Mid Atlantic Trust Company FBO
Vargo Physical Therapy 9,993 9.19 %
1251 Waterfront Pl., Ste. 525
Pittsburgh, PA 15222-4228

K-52

Name and Address	Number of Shares of Class	% of Class
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Mid Atlantic Trust Co FBO Vicente Consulting LLC 401K Profit 1251 Waterfront Pl Ste 525 Pittsburgh PA 15222-4228	31,070	28.56%
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Voya Retirement Insurance and Annuity Co. Qualified Plan 1 Orange Way, #B3N Windsor, CT 06095-4773	30,899	28.40%
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Class I

Matrix Trust Company Cust. FBO

Fig Partners, LLC Employee Savings 717 17 th St., Ste. 1300	19,280	63.62%
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Denver, CO 80202-3304

Reliance Trust Co FBO MassMutual Registered Product P.O. Box 28004 Atlanta, GA 30358-0004	8,324	27.47%
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Class Z

TD Ameritrade FBO Voya Institutional Trust Company as Cust. PSP for Employee of AllianceBernstein L.P. FBO D.J.L. Port Washington, NY 11050-3328	54,677	97.03%
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AB Multi-Manager Select 2045 Fund

Class A

96,586 37.28%

Great-West Trust Company LLC
TTEE F Northwest Research Inc. 401K
8515 East Orchard Road, #2T2
Greenwood Village, CO 80111-5002

Class C

Ascensus Trust Company C/F L.J.B. IRA Rollover 52 Crescent Rd. Madison, NJ 07940-2565	2,968	15.40%
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Ascensus Trust Company C/F P.B. Dec'd FBO L.J.B. IRA Madison, NJ 07940-2565	4,864	25.24%
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K-53

Name and Address	Number of Shares of Class	% of Class
Ascensus Trust Company Fischer Technical Company J.M.G. Bartlett, IL 60103-2314	3,225	16.74 %
Ascensus Trust Company TPG Tax & Accounting NDFI N.R.N. 11429 E. Prairie Ave. Mesa, AZ 85212-1953	1,820	9.44 %
Mid Atlantic Trust Company FBO AFSCME DC47 Health & Welfare Retirement 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	1,265	6.56 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	1,918	9.95 %
Advisor Class		
AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,020	27.92 %
Edward D. Jones & Co. For the Benefit of Customers Attn: Terrance Spencer 12555 Manchester Rd. Saint Louis, MO 63131-3729	1,204	32.96 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	316	8.64 %
T.J.R. TOD/DE Allen, TX 75002-4825	476	13.04 %

State Street Bank and Trust as TTEE and/or Cust. FBO ADP Access Product 1 Lincoln St. Boston, MA 02111-2901	631	17.26 %
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Class R

Mid Atlantic Trust Company FBO Contemporary Staffing Solutions Inc. 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	1,666	5.75 %
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K-54

Name and Address	Number of Shares of Class	% of Class
Mid Atlantic Trust Company FBO Vargo Physical Therapy 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	4,357	15.04%
Mid Atlantic Trust Company FBO Vicente Consulting LLC 401K PROFIT 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	7,251	25.03%
Voya Retirement Insurance and Annuity Co. Qualified Plan 1 Orange Way, #B3N Windsor, CT 06095-4773	13,245	45.72%
Class K		
Great-West Trust Company LLC TTEE C Mantell & Prince PC 401K PCP PCP c/o Fascore LLC 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	270,638	5.46%
Class I		
Matrix Trust Company Cust. FBO Fig Partners, LLC Employee Savings T 717 17th St., Ste. 1300 Denver, CO 80202-3304	9,621	38.76%
Mid Atlantic Trust Company FBO Kappel Mortgage Group Inc. 401K PR 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	3,285	13.23%
Reliance Trust Company FBO MassMutual Registered Product P.O. Box 28004 Atlanta, GA 30358-0004	10,460	42.14%

Class Z

Mid Atlantic Trust Company FBO
Supreme Group Inc. 401K Plan
1251 Waterfront Pl., Ste. 525
Pittsburgh, PA 15222-4228

1,958	5.47 %
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TD Ameritrade FBO
Voya Institutional Trust Company Cust.
PSP for Employee of AllianceBernstein L.P.
FBO C.H.N.
Berkeley Heights, NJ 07922-2642

33,112	92.51 %
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K-55

AB Multi-Manager Select 2050 Fund

Name and Address	Number of Shares of Class	% of Class
Class A		
Ascensus Trust Company GCT Simple Retirement Plan M.R.A. Alvin, TX 77511-1665	12,113	13.63 %
Great-West Trust Company LLC TTEE F Northwest Research Inc. 401K 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	9,570	10.77 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	23,512	26.45 %
Class C		
AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,020	18.96 %
Ascensus Trust Company Illinois Mechanical Sales LLC NDFI J.W.V. 244 Circlegate Rd. New Lenox, IL 60451	355	6.60 %
Ascensus Trust Company C/F Taylorville Memorial Hospital FBO S.R.D. Taylorville, IL 62568-9601	892	16.57 %
Mid Atlantic Trust Company IT Data Inc. 401(K) 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	2,173	40.37 %

Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	553	10.27%
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Advisor Class

AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,021	5.15 %
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Ascensus Trust Company C/F M.V.J. Roth IRA New York, NY 10025-6347	3,190	16.10%
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K-56

Name and Address	Number of Shares of Class	% of Class
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	4,237	21.39 %
State Street Bank and Trust as TTEE and/or Cust. FBO ADP Access Product 1 Lincoln St. Boston, MA 02111-2901	9,832	49.65 %
Class R		
Mid Atlantic Trust Company FBO Vicente Consulting LLC 401K PROFIT 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	6,214	13.58 %
Mid Atlantic Trust Company FBO Jack Maxton Chevrolet 401K Plan 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	22,901	50.56 %
MLPF&S For the Sole Benefit of Its Customers		
Attn: Fund Admin. 4800 Deer Lake Dr. East, 2 nd Floor Jacksonville, FL 32246-6484	2,699	5.90 %
Voya Retirement Insurance and Annuity Co. Qualified Plan 1 Orange Way, #B3N Windsor, CT 06095-4773	9,952	21.76 %
Class K		
Great-West Trust Company LLC TTEE C AEA Investors LLC 401K Savings Plan 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	107,414	5.30 %

Class I

AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,100	37.53 %
Matrix Trust Company Cust. FBO Fig Partners, LLC Employee Savings T 717 17th St., Ste. 1300 Denver, CO 80202-3304	371	12.67 %
Mid Atlantic Trust Company FBO Chajinel Home Care Services LLC 401 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	259	8.83 %

K-57

Name and Address	Number of Shares of Class	% of Class
Mid Atlantic Trust Company FBO MGH Enterprises Inc. 401K Profit S 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	293	10.00 %

Mid Atlantic Trust Company FBO R&B Grocery Inc. 401K Profit Share 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	159	5.41 %
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Mid Atlantic Trust Company FBO Platinum Solutions Inc. 401K Profit 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	196	6.67 %
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Class Z

AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,039	24.95 %
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Mid Atlantic Trust Company FBO Supreme Group Inc. 401K Plan 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	3,110	74.71 %
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AB Multi-Manager Select 2055 Fund

Class A

Gerlach & Co. LLC / Citibank Open WEO 3800 Citigroup Center Bldg. B3-14 Tampa, FL 33610	10,330	9.13 %
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Great-West Trust Company LLC TTEE F Northwest Research Inc. 401K 8515 East Orchard Road, #2T2	21,293	18.82 %
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Greenwood Village, CO 80111-5002

Matrix Trust Company Cust. FBO Oregon Screen Impressions Inc. 717 17th St., Ste. 1300 Denver, CO 80202-3304	5,827	5.15 %
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Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	17,659	15.61 %
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Class C

Ascensus Trust Company C/F C.O. IRA Rollover Bel Air, MD 21014-3320	2,080	25.41 %
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K-58

Name and Address	Number of Shares of Class	% of Class
Ascensus Trust Company Executive Sports Group NDFI SIM IRA S.A.C. Croton On Hudson, NY 10520-119	899	10.98%
Ascensus Trust Company Glen's Tire Inc. M.J.J. Attn: P.J. Personal & Confidential 800 E. Forest Ave. Mora, MN 55051-1616	700	8.55 %
Ascensus Trust Company Kraft Roofing K.G.K. Vernon, NJ 07462-5511	519	6.34 %
Ascensus Trust Company Kraft Roofing S.M.B. Glenwood, NJ 07418-1901	467	5.70 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310	1,057	12.91 %
Advisor Class		
Ascensus Trust Company FBO R.A.D. IRA Rollover New York, NY 10024-6476	33,758	71.06%
Mid-Atlantic Trust Company FBO S.B. 401(K) Profit Sharing 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	10,574	22.26%

Class R

Ascensus Trust Company FBO
Accurate Intercom 401K PS Plan 699 37 1,692 7.12 %
P.O. Box 10758
Fargo, ND 58106-0758

Mid Atlantic Trust Company FBO
Contemporary Staffing Solutions Inc. 2,145 9.02 %
1251 Waterfront Pl., Ste. 525
Pittsburgh, PA 15222-4228

K-59

Name and Address	Number of Shares of Class	% of Class
Mid Atlantic Trust Company FBO Vicente Consulting 401K PROFIT 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	13,576	57.12%
MLPF&S For the Sole Benefit of Its Customers		
Attn: Fund Admin. 4800 Deer Lake Dr. East, 2 nd Floor Jacksonville, FL 32246-6484	1,893	7.96 %
Voya Retirement Insurance and Annuity Co. Qualified Plan 1 Orange Way, #B3N Windsor, CT 06095-4773	2,900	12.20%
Class K		
Ascensus Trust Company FBO FP1 Strategies LLC 401(K) Profit Sharing 219656 Ascensus Trust Company P.O. Box 10577 Fargo, ND 58106-0577	146,527	5.92 %
Great-West Trust Company LLC TTEE FBO Access Supports for Living Inc. 403B Retirement Plan 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	164,446	6.64 %
Great-West Trust Company LLC TTEE FBO Gordon Fournaris & Mammarella PA Profit Sharing Plan 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	185,708	7.50 %
Great-West Trust Company LLC TTEE FBO W F Magann Corporation 401K Plan 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	174,897	7.06 %

Class I

AllianceBernstein L.P.

Attn: Brent Mather-Seed Account

1 N. Lexington Ave.

White Plains, NY 10601-1712

1,100 16.04%

Ascensus Trust Company FBO

Dercon Construction Services 401K

234561

P.O. Box 10758

Fargo, ND 58106-0758

1,356 19.76%

K-60

Name and Address	Number of Shares of Class	% of Class
Matrix Trust Company Cust. FBO Fig Partners, LLC Employee Savings T 717 17th St., Ste. 1300 Denver, CO 80202-3304	3,522	51.35%

Class Z

AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,039	26.49%
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Mid Atlantic Trust Company FBO Derektor Maine LLC 401K Profit 1251 Waterfront Pl., Ste 525 Pittsburgh, PA 15222-4228	351	9.96 %
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Mid Atlantic Trust Company FBO Supreme Group Inc. 401K Plan 1251 Waterfront Pl., Ste 525 Pittsburgh, PA 15222-4228	2,121	60.21 %
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AB Select US Equity Portfolio

Class A

Charles Schwab & Co. Inc. Special Custody Account FBO Customers Attn: Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	35,232	5.02 %
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MLPF&S for the Sole Benefit of its Customers Attn: Fund Admin 4800 Deer Lake Drive East 2nd Floor Jacksonville, FL 32246-6484	45,156	6.43 %
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National Financial Services LLC
For the Exclusive Benefit of Our Customers 54,234 7.73 %
499 Washington Blvd., 4th Floor
Jersey City, NJ 07310

Pershing LLC
P.O. Box 2052 204,202 29.09%
Jersey City, NJ 07303-2052

UBS WM USA
Omni Account M/F
Attn: Department Manager 54,957 7.83 %
SPEC CDY A/C EBOC UBSFSI
1000 Harbor Boulevard, 5th Floor
Weehawken, NJ 07086-6761

K-61

Name and Address	Number of Shares of Class	% of Class
Class C		
MLPF&S for the Sole Benefit of its Customers Attn: Fund Admin 4800 Deer Lake Drive East 2nd Floor Jacksonville, FL 32246-6484	94,192	11.97 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	253,434	32.21 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	214,172	27.22 %
Raymond James Omnibus for Mutual Funds Attn: Courtney Waller 880 Carillon Parkway St. Petersburg, FL 33716-1102	42,967	5.46 %
UBS WM USA Omni Account M/F Attn: Department Manager SPEC CDY A/C EBOC UBSFSI 1000 Harbor Boulevard, 5th Floor Weehawken, NJ 07086-6761	63,811	8.11 %
Class R		
AllianceBernstein L.P. Attn: Brent Mather-seed Acct 1 N. Lexington Avenue White Plains, NY 10601-1712	1,006	99.98 %
Class K		
Great-West Trust Company, LLC TTEE C Digestive Healthcare of Georgia PC 8515 East Orchard Road, #2T2	94,259	56.61 %

Greenwood Village, CO 80111-5002

Great-West Trust Company, LLC TTEE FBO Klatt Budenslek & Young-Agriesti LLP 401K Plan 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	24,243	14.56 %
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Great-West Trust Company, LLC TTEE FBO Solsbury Hill 401K Plan 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	28,281	16.99 %
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K-62

Name and Address	Number of Shares of Class	% of Class
Class I		
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	413,296	17.86 %
National Financial Services LLC 499 Washington Blvd. Jersey City, NJ 07310-1995	429,773	18.57 %
National Financial Services LLC 499 Washington Blvd. Jersey City, NJ 07310-1995	116,944	5.05 %
National Financial Services LLC 499 Washington Blvd. Jersey City, NJ 07310-1995	129,450	5.59 %
National Financial Services LLC 499 Washington Blvd. Jersey City, NJ 07310-1995	166,874	7.21 %
National Financial Services LLC 499 Washington Blvd. Jersey City, NJ 07310-1995	294,634	12.73 %
National Financial Services LLC 499 Washington Blvd. Jersey City, NJ 07310-1995	190,101	8.22 %

AB Select US Long/Short Portfolio

Name and Address	Number of Shares of Class	% of Class
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Class A

Charles Schwab & Co.

For the Exclusive Benefit of Customers

Mutual Fund Operations 644,939 8.97 %

211 Main Street

San Francisco, CA 94105-1905

LPL Financial

Omnibus Customer Account

Attn: Mutual Fund Trading 494,822 6.88 %

4707 Executive Drive

San Diego, CA 92121-3091

Morgan Stanley Smith Barney

Harborside Financial Center

1,328,402 18.47%

Plaza II, 3rd Floor

Jersey City, NJ 07311

K-63

Name and Address	Number of Shares of Class	% of Class
National Financial Services LLC		
For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept.	977,675	13.59%
499 Washington Blvd., 4 th Floor		
Jersey City, NJ 07310		
Pershing LLC		
P.O. Box 2052	1,449,722	20.16%
Jersey City, NJ 07303-2052		
UBS WM USA		
Omni Account M/F		
Attn: Department Manager	496,821	6.91 %
SPEC CDY A/C EBOC UBSFSI		
1000 Harbor Boulevard, 5th Floor		
Weehawken, NJ 07086-6761		
Wells Fargo Clearing Services LLC		
Special Custody Account for the		
Exclusive Benefit of Customer	636,017	8.84 %
2801 Market Street		
Saint Louis, MO 63103-2523		
Class C		
LPL Financial		
Omnibus Customer Account		
Attn: Mutual Fund Trading	409,778	5.14 %
4707 Executive Drive		
San Diego, CA 92121-3091		
MLPF&S For the Sole Benefit of its Customers		
Attn: Fund Admin		
4800 Deer Lake Drive East	583,570	7.32 %
2nd Floor		
Jacksonville, FL 32246-6484		
	2,388,137	29.97%

Morgan Stanley Smith Barney
Harborside Financial Center
Plaza II, 3rd Floor
Jersey City, NJ 07311

Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	808,310	10.14%
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Raymond James Omnibus for Mutual Funds House Acct Firm Attn: Courtney Waller 880 Carillon Parkway St. Petersburg, FL 33716-1102	424,187	5.32 %
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K-64

Name and Address	Number of Shares of Class	% of Class
UBS WM USA Omni Account M/F Attn: Department Manager SPEC CDY A/C EBOC UBSFSI 1000 Harbor Boulevard, 5th Floor Weehawken, NJ 07086-6761	1,462,852	18.36%
Wells Fargo Clearing Services LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	860,264	10.79%
Advisor Class		
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	3,289,979	5.63 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Drive San Diego, CA 92121-3091	5,639,801	9.66 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	13,280,826	22.74%
National Financial Services LLC		
For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept. 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310-1995	6,277,123	10.75%

Pershing LLC
P.O. Box 2052
Jersey City, NJ 07303-2052
3,814,304 6.53 %

Raymond James
Omnibus for Mutual Funds
Attn: Courtney Waller
880 Carillon Parkway
St. Petersburg, FL 33716-1102
3,677,091 6.30 %

UBS WM USA
Omni Account M/F
Attn: Department Manager
SPEC CDY A/C EBOC UBSFSI
1000 Harbor Boulevard, 5th Floor
Weehawken, NJ 07086-6761
9,439,508 16.16%

K-65

Name and Address	Number of Shares of Class	% of Class
Wells Fargo Clearing Services LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	3,705,879	6.35 %

Class R

Matrix Trust Company Cust. FBO Innovative Combustion Tech Inc. 401(K) 717 17th Street, Ste. 1300 Denver, CO 80202-3304	11,040	33.47 %
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Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	11,834	35.87 %
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Raymond James & Assoc Inc. FBO Iowa Clinic PSP 401K G.P. MD J.W.H. MD TTEE P.O. Box 71483 Clive, IA 50325-0483	10,111	30.65 %
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Class K

AllianceBernstein L.P. Attn: Brent Mather-Seed Acct 1 N. Lexington Avenue White Plains, NY 10601-1712	1,000	99.98 %
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Class I

SEI Private Trust Co. C/O Regions Bank 1 Freedom Valley Drive Oaks, PA 19456-9989	985,737	97.00 %
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AB Small Cap Growth Portfolio

Class A

MLPF&S for the Sole Benefit of its Customers

Attn: Fund Admin

4800 Deer Lake Drive East 615,888 6.36%

2nd Floor

Jacksonville, FL 32246-6484

K-66

Name and Address	Number of Shares of Class	% of Class
Class C		
MLPF&S for the Sole Benefit of its Customers Attn: Fund Admin 4800 Deer Lake Drive East 2nd Floor Jacksonville, FL 32246-6484	63,401	8.06 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	233,861	29.74 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310	72,759	9.25 %
Raymond James Omnibus for Mutual Funds Attn: Courtney Waller 880 Carillon Parkway St. Petersburg, FL 33716-1102	46,295	5.89 %
UBS WM USA Omni Account M/F Attn: Department Manager SPEC CDY A/C EBOC UBSFSI 1000 Harbor Boulevard, 5th Floor Weehawken, NJ 07086-6761	60,053	7.64 %
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	112,817	14.35 %
Advisor Class		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading	779,357	11.70 %

4707 Executive Drive
San Diego, CA 92121-3091

Morgan Stanley Smith Barney
Harborside Financial Center
Plaza II, 3rd Floor
Jersey City, NJ 07311

2,479,850 37.24%

K-67

Name and Address	Number of Shares of Class	% of Class
UBS WM USA Omni Account M/F Attn: Department Manager SPEC CDY A/C EBOC UBSFSI 1000 Harbor Boulevard, 5th Floor Weehawken, NJ 07086-6761	462,006	6.94 %
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	396,304	5.95 %
Class R		
American United Life Cust FBO AUL American Group Retirement Annuity Separate Accounts Administration P.O. Box 368 Indianapolis, IN 46206-0368	70,741	12.63 %
National Financial Services LLC 499 Washington Blvd. Jersey City, NJ 07310-1995	29,891	5.36 %
State Street Bank and Trust as TTEE and/or Cust FBO ADP Access Product 1 Lincoln Street Boston, MA 02111-2901	136,921	24.53 %
Voya Retirement Insurance and Annuity Co. Qualified Plan 1 Orange Way # B3N Windsor, CT 06095-4773	42,413	7.60 %
Class K		
FIIOC as Agent for Certain Employee Benefit Plans 100 Magellan Way KWIC Covington, KY 41015-1987	63,767	9.38 %
	232,592	34.20 %

National Financial Services LLC
499 Washington Blvd.
Jersey City, NJ 07310-1995

Nationwide Trust Company FSB c/o IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-2029	78,406	11.53%
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Class I

FIIOC as Agent for Certain Employee Benefit Plans 100 Magellan Way KWIC Covington, KY 41015-1987	1,985,066	29.07%
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K-68

Name and Address	Number of Shares of Class	% of Class
MLPF&S for the Sole Benefit of its Customers Attn: Fund Admin 4800 Deer Lake Drive East 2nd Floor Jacksonville, FL 32246-6484	1,563,960	22.90 %
State of South Carolina Trustee FBO State of South Carolina 401K c/o Fascore LLC 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	858,196	12.57 %
Class Z		
Great-West Trust Company, LLC TTEE F The Fifth Third Bancorp Master Prof 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	1,036,318	44.41 %
National Financial Services LLC 499 Washington Blvd. Jersey City, NJ 07310-1995	256,802	11.01 %
Sanford Bernstein & Co. LLC 1 N. Lexington Avenue White Plains, NY 10601-1712	284,128	12.18 %
Sanford Bernstein & Co. LLC 1 N. Lexington Avenue White Plains, NY 10601-1712	124,290	5.33 %
Voya Institutional Trust Co. Qualified Plan 1 Orange Way, #B3N Windsor, CT 06095-4773	147,706	6.33 %

AB Small Cap Value Portfolio

Name and Address	Number of Shares of Class	% of Class
 Class C		
AllianceBernstein L.P. Attn: Brent Mather-Seed Account 1 N. Lexington Ave. White Plains, NY 10601-1712	1,002	12.75%
 LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Drive San Diego, CA 92121-3091	 3,672	 46.75%

K-69

Name and Address	Number of Shares of Class	% of Class
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	1,982	25.23 %
R.A.M. Fort Dodge, IA 50501-2920	882	11.23 %
Advisor Class		
National Financial Services LLC		
For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept. 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310-1995	4,092,846	61.33 %
Sanford Bernstein & Co. LLC 1 N. Lexington Ave. White Plains, NY 10601-1785	1,227,260	18.39 %
Vanguard Fiduciary Trust Company Morgan, Lewis & Bockius LLP 401K Attn: Investment Services P.O. Box 2600 Valley Forge, PA 19482-2600	556,094	8.33 %

AB CORE OPPORTUNITIES FUND, INC.

Name and Address	Number of Shares of Class	% of Class
Class A	424,137	5.67 %

LPL Financial
Omnibus Customer Account
Attn: Mutual Fund Trading
4707 Executive Dr.
San Diego, CA 92121-3091

MLPF&S for the Sole Benefit of Its Customers
Attn: Fund Admin. 596,730 7.97 %
4800 Deer Lake Dr. East, 2nd Floor
Jacksonville, FL 32246-6484

National Financial Services LLC
For the Exclusive Benefit of Our Customers
Attn: Mutual Funds Dept. 1,593,516 21.29 %
499 Washington Blvd., 4th Floor
Jersey City, NJ 07310

Pershing LLC
P.O. Box 2052 773,525 10.34 %
Jersey City, NJ 07303-2052

K-70

Name and Address	Number of Shares of Class	% of Class
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	386,837	5.17 %
Class B		
Ascensus Trust Company David Heating & Cooling, Inc. NDFI S.T.A. Merrimac, MA 01860-1812	4,454	6.60 %
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	4,648	6.89 %
Class C		
Charles Schwab & Co. Inc. Special Custody Account FBO Customers Attn: Mutual Funds 211 Main Street San Francisco, CA 94105-1905	81,134	6.61 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	63,809	5.20 %
MLPF&S for the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr. East, 2nd Floor Jacksonville, FL 32246-6484	123,302	10.05 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	116,305	9.48 %
	131,425	10.71 %

National Financial Services LLC
For the Exclusive Benefit of Our Customers
Attn: Mutual Funds Dept.
499 Washington Blvd., 4th Floor
Jersey City, NJ 07310

K-71

Name and Address	Number of Shares of Class	% of Class
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	100,209	8.17 %
Raymond James Omnibus for Mutual Funds House Account Firm Attn: Courtney Waller 880 Carillon Parkway St. Petersburg, FL 33716-1102	163,149	13.29 %
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	159,571	13.00 %
Advisor Class		
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	549,029	18.25 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	326,698	10.86 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	246,764	8.20 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310	220,718	7.34 %
	440,940	14.65 %

Pershing LLC
P.O. Box 2052
Jersey City, NJ 07303-2052

RBC Capital Markets LLC
Mutual Fund Omnibus Processing Omnibus
Attn: Mutual Fund Ops Manager
60 S. 6th St., MSC P08
Minneapolis, MN 55402-4413

197,416 6.56 %

K-72

Name and Address	Number of Shares of Class	% of Class
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	233,008	7.74 %
Class R		
American United Life Cust. FBO American United Trust Separate Accounts Administration P.O. Box 368 Indianapolis, IN 46206-0368	65,340	18.73 %
American United Life Cust. FBO AUL American Group Retirement Annuity Separate Accounts Administration P.O. Box 368 Indianapolis, IN 46206-0368	20,788	5.96 %
Christina Pfleider & Tom Pfleider T FBO Meta Dynamic Inc. 401(k) PSP c/o Fascore LLC 8515 E. Orchard Rd. # 2T2 Greenwood Village, CO 80111-5002	24,905	7.14 %
MLPF&S for the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr. East, 2nd Floor Jacksonville, FL 32246-6484	40,067	11.49 %
National Financial Services LLC 499 Washington Blvd. Jersey City, NJ 07310	96,437	27.65 %
Class K		
Ascensus Trust Company FBO International Integrated Solutions Ltd. 401(k) Profit Sharing Plan and Trust P.O. Box 10758 Fargo, ND 58106-0758	26,746	10.18 %
	26,052	9.92 %

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Great-West Trust Company LLC TTEE FBO Advanced Specialty Care PC Profit Sharing Plan
8515 E. Orchard Road # 2T2
Greenwood Village, CO 80111-5002

K-73

Name and Address	Number of Shares of Class	% of Class
Great-West Trust Company LLC TTEE FBO Tech Quip Inc. 401K 8515 E. Orchard Road # 2T2 Greenwood Village, CO 80111-5002	23,485	8.94 %
Mid Atlantic Trust Company FBO American Society of Appraisers 401(K) PSP & Trust 1251 Waterfront Place, Suite 525 Pittsburgh, PA 15222-4228	13,728	5.23 %
Nationwide Trust Company FSB C/O IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-2029	88,560	33.71 %
Class I		
FIIOC FBO Walker Emulsions Inc. 401(K) Plan 100 Magellan Way (KW1C) Covington, KY 41015-1987	72,688	20.91 %
Great-West Trust Company LLC FBO Employee Benefits Clients 401K 8515 E. Orchard Road # 2T2 Greenwood Village, CO 80111-5002	75,728	21.78 %
National Financial Services LLC 499 Washington Blvd. Jersey City, NJ 07310-1995	17,708	5.09 %
Nationwide Trust Co. FSB C/O IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-2029	36,816	10.59 %
Sanford Bernstein & Co. LLC 1 N. Lexington Ave. White Plains, NY 10601-1712	89,905	25.86 %
Class Z		

Equitable Life For Separate Account #65 Qualified Plan
Attn: S.S.
525 Washington Blvd., Floor 27
Jersey City, NJ 07310-1606

7,132 6.60 %

K-74

Name and Address	Number of Shares of Class	% of Class
FIIOC FBO Kroeschell, Inc. Contributory Profit Sharing /401(k) Plan 100 Magellan Way (KW1C) Covington, KY 41015-1987	14,680	13.58 %
Saxon & Co. VI Omnibus Account VICA P.O. Box 7780-1888 Philadelphia, PA 19182-0001	9,587	8.87 %
Voya Retirement Insurance and Annuity Co. Qualified Plan 1 Orange Way, #B3N Windsor, CT 06095-4773	67,845	62.78 %

AB CORPORATE SHARES

AB Corporate Income Shares

Name and Address	Number of Shares of Class	% of Class
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	4,282,111	52.69%
Sanford Bernstein & Co. LLC 1 N. Lexington Ave. White Plains, NY 10601-1712	476,451	5.86 %

AB Impact Municipal Income Shares

Name and Address	Number of Shares of Class	% of Class
Sanford Bernstein & Co. LLC 1 N. Lexington Ave. White Plains, NY 10601-1712	1,355,538	18.24%

AB Income Shares (as of August __, 2018)

Name and Address	Number of Shares of Class	% of Class
AllianceBernstein L.P. Attn: Brett Mather-Seed Account 1 N. Lexington Avenue White Plains, NY 10601-1712		100%

AB Municipal Income Shares

Name and Address	Number of Shares of Class	% of Class
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	16,031,309	6.27 %
MLPF&S for the Sole Benefit of its Customers Attn: Fund Admin 4800 Deer Lake Dr., East, 2 nd Floor Jacksonville, FL 32246-6484	69,280,835	27.11 %

Name and Address	Number of Shares of Class	% of Class
Morgan Stanley Smith Barney		
Harborside Financial Center		
Plaza II, 3 rd Floor	76,593,943	29.97 %
Jersey City, NJ 07311		
Wells Fargo Clearing Services, LLC		
Special Custody Account for the Exclusive Benefit of Customer		
2801 Market Street	15,845,303	6.20 %
Saint Louis, MO 63103-2523		

AB Taxable Multi-Sector Income Shares

Name and Address	Number of Shares of Class	% of Class
MLPF&S for the Sole Benefit of its Customers		
Attn: Fund Admin		
4800 Deer Lake Dr., East, 2 nd Floor	2,505,763	17.25 %
Jacksonville, FL 32246-6484		
Morgan Stanley Smith Barney		
Harborside Financial Center		
Plaza II, 3 rd Floor	1,835,287	12.63 %
Jersey City, NJ 07311		
Pershing LLC		
P.O. Box 2052	2,073,087	14.27 %
Jersey City, NJ 07303-2502		

Sanford Bernstein & Company LLC One North Lexington Avenue White Plains, NY 10601-1712	976,402	6.72 %
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AB DISCOVERY GROWTH FUND, INC.

Name and Address	Number of Shares of Class	% of Class
Class A		
MLPF&S for the Sole Benefit of its Customers		
Attn: Fund Admin		
4800 Deer Lake Drive East 2 nd Floor Jacksonville, FL 32246-6484	2,885,222	5.06 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	2,911,588	5.11 %
Wells Fargo Clearing Services LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	3,394,558	5.95 %

K-76

Name and Address	Number of Shares of Class	% of Class
Class B		
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	8,410	7.22 %
UBS WM USA		
Omni Account M/F		
Attn: Dept. Manager	8,659	7.43 %
1000 Harbor Blvd., 5 th Floor Weehawken, NJ 07086-6761		
Class C		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	282,587	5.37 %
MLPF&S For the Sole Benefit of its Customers Attn: Fund Admin 4800 Deer Lake Drive East 2nd Floor Jacksonville, FL 32246-6484	718,529	13.64%
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	613,632	11.65%
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310	376,012	7.14 %
	417,924	7.93 %

Pershing LLC
P.O. Box 2052
Jersey City, NJ 07303-2052

UBS WM USA

Omni Account M/F

Attn: Dept. Manager 267,115 5.07 %

1000 Harbor Blvd., 5th Floor

Weehawken, NJ 07086-6761

Wells Fargo Clearing Services, LLC
Special Custody Account for the
Exclusive Benefit of Customer
2801 Market Street
Saint Louis, MO 63103-2523

1,050,538 19.95 %

K-77

Name and Address	Number of Shares of Class	% of Class
Class R		
American United Life Cust FBO American United Trust Separate Accounts Administration P.O. Box 368 Indianapolis, IN 46206-0368	103,883	5.95 %
American United Life Cust FBO AUL American Group Retirement Annuity Separate Accounts Administration P.O. Box 368 Indianapolis, IN 46206-0368	334,469	19.16 %
MassMutual Financial Group Cust FBO Massachusetts Mutual Insurance Company 1295 State Street Springfield, MA 01111-0001	120,582	6.91 %
Voya Retirement Insurance and Annuity Co. Qualified Plan 1 Orange Way # B3N Windsor, CT 06095-4773	172,341	9.87 %
Class K		
Ascensus Trust Company FBO International Integrated Solutions Ltd. 401(K) Profit Sharing Plan and Trust P.O. Box 10758 Fargo, ND 58106-0758	102,910	6.45 %
Nationwide Trust Company FSB FBO Participating Retirement Plans TPA-NTC IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-2029	98,674	6.19 %
Class I		
	641,726	9.76 %

FIIOC as Agent for Certain Employee Benefit Plans
100 Magellan Way KWIC
Covington, KY 41015-1987

Great-West Trust Company, LLC TTEE
F Employee Benefits Clients 401K
8515 East Orchard Road, #2T2
Greenwood Village, CO 80111-5002

536,709 8.16 %

K-78

Name and Address	Number of Shares of Class	% of Class
MLPF&S for the Sole Benefit of its Customers Attn: Fund Admin 4800 Deer Lake Drive East 2nd Floor Jacksonville, FL 32246-6484	540,817	8.22 %
PIMS/Prudential Retirement as Nominee for the TTEE/Cust PL 766 WME IMG Profit Sharing 9601 Wilshire Blvd. Beverly Hills, CA 90210-5213	1,574,395	23.94 %
Wells Fargo Bank NA FBO Mastercard Int'l Deferral Plan PO Box 1533 Minneapolis, MN 55480-1533	423,884	6.45 %
Class Z		
AB Wealth Appreciation Strategy APPVM 1345 Avenue of the Americas New York, NY 10105-0302	2,643,136	8.91 %
SEI Private Trust C/O Citizens of RI (CT Laborers Pension –Pooled Funds) Attn Mutual Funds Administrator One Freedom Valley Drive Oaks, PA 19456-9989	4,203,013	14.17 %
Voya Institutional Trust Company Trustee or Custodian for Core Market Retirement Plans 30 Braintree Hill Office Park Braintree, MA 02184-8747	6,776,676	22.84 %
Wells Fargo Bank FBO Various Retirement Plans 1525 West W.T. Harris Blvd. Charlotte, NC 28288-1076	9,635,320	32.48 %

AB EQUITY INCOME FUND, INC.

Name and Address	Number of Shares of Class	% of Class
Class A		
JP Morgan Securities LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Center Brooklyn, NY 11245-0001	798,447	7.58 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	534,711	5.08 %

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Name and Address	Number of Shares of Class	% of Class
MLPF&S For the Sole Benefit of Its Customers		
Attn: Fund Admin.		
4800 Deer Lake Dr. East, 2 nd Floor	1,276,843	12.13 %
Jacksonville, FL 32246-6484		
National Financial Services LLC		
For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept.		
499 Washington Blvd., 4 th Floor	951,950	9.04 %
Jersey City, NJ 07310		
Pershing LLC		
P.O. Box 2052		
Jersey City, NJ 07303-2052	1,030,979	9.79 %
Wells Fargo Clearing Services, LLC		
Special Custody Account for the Exclusive Benefit of Customer		
2801 Market Street	1,114,915	10.59 %
Saint Louis, MO 63103-2523		
Class B		
MLPF&S For the Sole Benefit of Its Customers		
Attn: Fund Admin.		
4800 Deer Lake Dr. East, 2 nd Floor	2,520	5.45 %
Jacksonville, FL 32246-6484		
National Financial Services LLC	3,938	8.52 %
For the Exclusive Benefit		
Of Our Customers		

Attn: Mutual Funds Dept.

499 Washington Blvd., 4th Floor

Jersey City, NJ 07310

Pershing LLC

P.O. Box 2052

Jersey City, NJ 07303-2052

3,504

7.58 %

Class C

JP Morgan Securities LLC

For the Exclusive Benefit of Customers

4 Chase Metrotech Center

Brooklyn, NY 11245-0001

194,556

6.31 %

MLPF&S For the Sole Benefit of Its Customers

Attn: Fund Admin.

362,776

11.77 %

4800 Deer Lake Dr. East, 2nd Floor

Jacksonville, FL 32246-6484

Morgan Stanley Smith Barney

Harborside Financial Center

265,301

8.61 %

Plaza II, 3rd Floor

Jersey City, NJ 07311

K-80

Name and Address	Number of Shares of Class	% of Class
National Financial Services LLC		
For the Exclusive Benefit Of Our Customers		
Attn: Mutual Funds Dept. 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310	165,731	5.38 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	389,989	12.66 %
Raymond James Omnibus for Mutual Funds House Acct. Firm Attn: Courtney Waller 880 Carillon Parkway St. Petersburg, FL 33716-1102	509,943	16.55 %
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	490,106	15.90 %
Advisor Class		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	718,799	10.88 %
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr. East, 2 nd Floor	663,590	10.05 %

Jacksonville, FL 32246-6484

National Financial Services LLC

For the Exclusive Benefit

Of Our Customers

410,596 6.22 %

Attn: Mutual Funds Dept.

499 Washington Blvd., 4th Floor

Jersey City, NJ 07310

Pershing LLC

P.O. Box 2052

638,149 9.66 %

Jersey City, NJ 07303-2052

UBS WM USA

Omni Account M/F

Attn: Dept. Manager

359,435 5.44 %

1000 Harbor Blvd., 5th Floor

Weehawken, NJ 07086-6761

K-81

Name and Address	Number of Shares of Class	% of Class
Wells Fargo Clearing Services, LLC Special Custody Account For the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	601,707	9.11 %
Class R		
PIMS/Prudential Ret. Plan Nominee Trustee Custodian 800 Volk Enterprises Inc. Safety 618 S. Kilroy Road Turlock, CA 95380-9531	27,064	6.45 %
Reliance Trust Co. Custodian FBO MassMutual Omnibus PPL P.O. Box 48529 Atlanta, GA 30362-1529	30,187	7.19 %
State Street Bank and Trust as TTEE And/or Cust. FBO ADP Access Product 1 Lincoln Street Boston, MA 02111-2901	92,971	22.14 %
Voya Retirement Insurance and Annuity Co. Qualified Plan 1 Orange Way, #B3N Windsor, CT 06095-4773	83,189	19.81 %
Class K		
Great-West Trust Company LLC TTEE C Digestive Healthcare of Georgia PC 8515 E. Orchard Road, 2T2 Greenwood Village, CO 80111-5002	27,595	16.86 %
Great-West Trust Company LLC TTEE FBO Richardson Kontogouris Emerson LLP 401K Plan 8515 E. Orchard Road, 2T2 Greenwood Village, CO 80111-5002	25,120	15.35 %
Nationwide Trust Company FSB C/O IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-2029	25,990	15.88 %

Class I

FFIOC as Agent for Certain Employee Benefit Plans
100 Magellan Way KWIC
Covington, KY 41015-1987

2,185 5.39 %

K-82

Name and Address	Number of Shares of Class	% of Class
Great West Trust Company LLC Plans of Great West Financial 8515 E. Orchard Road, 2T2 Greenwood Village, CO 80111-5002	13,863	34.19%
Nationwide Trust Company FSB C/O IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-2029	11,899	29.35%
Sanford Bernstein & Co. LLC 1 N. Lexington Ave. White Plains, NY 10601-1785	9,013	22.23%
Class Z		
Capital Bank & Trust Company TTEE F Mansperger Patterson & McMullin 401 8515 E. Orchard Road, 2T2 Greenwood Village, CO 80111-5002	23,144	34.02%
Voya Retirement Insurance and Annuity Co. Qualified Plan 1 Orange Way, #B3N Windsor, CT 06095-4773	39,405	57.92%

AB FIXED-INCOME SHARES, INC.**AB Government Money Market Portfolio**

Name and Address	Number of Shares of Class	% of Class
Class B		
Ascensus Trust Company Dr. D.B.S.	92,251	7.02 %

H.T.S.
Plano, TX 75025-2482

Class C

JP Morgan Securities LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Center Brooklyn, NY 11245-0001	876,382	14.06 %
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LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	485,981	7.80 %
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MLPF&S For the Sole Benefit of Its Customers

Attn: Fund Admin. 4800 Deer Lake Dr. East, 2 nd Floor Jacksonville, FL 32246-6484	330,130	5.30 %
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K-83

Name and Address	Number of Shares of Class	% of Class
Pershing LLC PO Box 2052 Jersey City, NJ 07303-2052	679,037	10.89%
Wells Fargo Clearing Services, LLC Special Custody Account For the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	581,029	9.32 %
Class AB AB Arya Partners Master Fund SICAV RAIF S C SP 2-4 Rue Eugene Ruppert Luxembourg L-2453	400,000,000	6.72 %
AB Large Cap Growth Fund 8000 IH 10 West, Floor 4 San Antonio, TX 78230-3862	708,407,113	11.89%
Sun America Volatility Overlay Management 1345 Avenue of the Americas New York, NY 10105-0302	571,231,942	9.59 %
Advisor Class		
M.R.C. Washington, DC 20007-2968	1,048,028	12.71 %
S.P.D. TOD/DE Katonah, NY 10536-1804	443,408	5.38 %
Mid Atlantic Trust Co FBO Intercommunity Dialysis Services 401K 1251 Waterfront Place, Suite 525 Pittsburgh, PA 15222-4228	898,416	10.89%
Class I		
Ascensus Trust Company FBO Traffic Tech, Inc. 401K Plan PO Box 10758 Fargo, ND 58106-0758	467,348	5.22 %

Mid Atlantic Trust Co. FBO All-Rite Industries 401K Profit Sharing Plan & Trust 1251 Waterfront Place, Suite 525 Pittsburgh, PA 15222-4228	1,848,251	20.65%
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Mid Atlantic Trust Co. FBO Building Diagnostics Inc. 401K Pro 1251 Waterfront Place, Suite 525 Pittsburgh, PA 15222-4228	680,881	7.61 %
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K-84

Name and Address	Number of Shares of Class	% of Class
Mid Atlantic Trust Co. FBO Palmer Capital Inc. 401K PSP & Trust 1251 Waterfront Place, Suite 525 Pittsburgh, PA 15222-4228	482,385	5.39 %
State Street Bank & Trust as TTEE And/or Cust. FBO ADP Access Product 1 Lincoln St. Boston, MA 02111-2901	814,669	9.10 %
Class 1		
SAS7 LLC Orchid Mgmt., LLC, Manager by 7CBBS Trust, John Sorenson, Trustee 13 Morning Dove Laguna Niguel, CA 92677-5307	45,038,663	7.66 %
Institutional Class		
AllianceBernstein L.P. Attn: Brent Mather- Seed Acct 1 N. Lexington Ave. White Plains, NY 10601	10,000	99.97 %

AB GLOBAL BOND FUND, INC.

Name and Address	Number of Shares of Class	% of Class
Class A		
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	7,290,091	7.00%

LPL Financial

Omnibus Customer Account

Attn: Mutual Fund Trading

5,742,054 5.51%

4707 Executive Dr.

San Diego, CA 92121-3091

MLPF&S

For the Sole Benefit of Its Customers

Attn: Fund Admin.

9,051,376 8.69%

4800 Deer Lake Dr. East, 2nd Floor

Jacksonville, FL 32246-6484

Morgan Stanley Smith Barney

Harborside Financial Center

5,292,771 5.08%

Plaza II, 3rd Floor

Jersey City, NJ 07311

K-85

Name and Address	Number of Shares of Class	% of Class
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310	15,414,686	14.80 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	6,080,446	5.84 %
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market St. Saint Louis, MO 63103-2523	5,593,439	5.37 %
Class B		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	3,709	5.68 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd, 4 th Floor Jersey City, NJ 07310	8,473	12.97 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	13,159	20.15 %
Class C		

LPL Financial
Omnibus Customer Account
Attn: Mutual Fund Trading 1,388,205 7.20 %
4707 Executive Dr.
San Diego, CA 92121-3091

MLPF&S

For the Sole Benefit of Its Customers

Attn: Fund Admin. 2,236,357 11.60 %

4800 Deer Lake Dr. East, 2nd Floor

Jacksonville, FL 32246-6484

Morgan Stanley Smith Barney

Harborside Financial Center 3,027,201 15.71 %

Plaza II, 3rd Floor

Jersey City, NJ 07311

K-86

Name and Address	Number of Shares of Class	% of Class
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310	1,470,420	7.63 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	1,880,962	9.76 %
Raymond James Omnibus for Mutual Funds House Account Firm Attn: Courtney Waller 880 Carillon Parkway St. Petersburg, FL 33716-1102	1,219,768	6.33 %
UBS WM USA Omni Account M/F Attn: Department Manager 1000 Harbor Blvd., 5 th Floor Weehawken, NJ 07086-6761	1,844,997	9.57 %
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market St. Saint Louis, MO 63103-2523 Advisor Class	2,309,120	11.98 %
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations	29,125,791	5.24 %

211 Main Street
San Francisco, CA 94105-1905

National Financial Services LLC
For the Exclusive Benefit of Our
Customers

Attn: Mutual Funds Dept.
499 Washington Blvd., 4th Floor
Jersey City, NJ 07310

55,647,080 10.02 %

Pershing LLC
P.O. Box 2052
Jersey City, NJ 07303-2052

47,015,393 8.46 %

K-87

Name and Address	Number of Shares of Class	% of Class
Class R		
Hartford Life Insurance Company Separate Account 401 Attn: UIT Operations P.O. Box 2999 Hartford, CT 06104-2999	2,586,446	27.22 %
MassMutual Financial Group Cust. FBO Massachusetts Mutual Insurance Company 1295 State Street Springfield, MA 01111-0001	964,398	10.15 %
MLPF&S		
For the Sole Benefit of Its Customers		
Attn: Fund Admin. 4800 Deer Lake Dr. East, 2 nd Floor Jacksonville, FL 32246-6484	758,116	7.98 %
State Street Bank & Trust as TTEE And/or Cust. FBO ADP Access Product 1 Lincoln St. Boston, MA 02111-2901	2,213,369	23.29 %
Voya Retirement Insurance and Annuity Co. Qualified Plan 1 Orange Way, #B3N Windsor, CT 06095-4773	1,043,788	10.98 %
Class K		
John Hancock Trust Company LLC Amtrust Retirement Plan 690 Canton St., Ste. 100 Westwood, MA 02090-2324	1,224,669	25.48 %
National Financial Services LLC 499 Washington Blvd. Jersey City, NJ 07310	1,363,453	28.36 %

Voya Retirement Insurance and Annuity Co. Qualified Plan 1 Orange Way, #B3N Windsor, CT 06095-4773	291,280	6.06 %
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Class I

John Hancock Trust Company LLC Southern California IBEW-NECA Defin. 690 Canton St., Ste. 100 Westwood, MA 02090-2324	15,657,544	16.76 %
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K-88

Name and Address	Number of Shares of Class	% of Class
MLPF&S		
For the Sole Benefit of Its Customers		
Attn: Fund Admin.	9,266,116	9.92 %
4800 Deer Lake Dr. East, 2 nd Floor		
Jacksonville, FL 32246-6484		
Sanford Bernstein & Co. LLC		
1 N. Lexington Ave., 17 th Floor	12,543,266	13.43 %
White Plains, NY 10601-1712		
Class Z		
DCGT Trustee and/or Custodian FBO PLIC Various Retirement Plans Omnibus		
Attn: NPIO Trade Desk	3,627,236	6.40 %
711 High Street		
Des Moines, IA 50392-0001		
FIIOC as Agent for Certain Employee Benefits Plans		
100 Magellan Way KWIC	2,881,518	5.08 %
Covington, KY 41015-1987		
Sanford Bernstein & Co. LLC		
1 N. Lexington Ave.	4,178,093	7.37 %
White Plains, NY 10601-1712		
Sanford Bernstein & Co. LLC		
1 N. Lexington Ave.	5,924,863	10.45 %
White Plains, NY 10601-1712		
Voya Retirement Insurance and Annuity Co. Qualified Plan		
1 Orange Way, #B3N	5,034,990	8.88 %
Windsor, CT 06095-4773		

AB GLOBAL REAL ESTATE INVESTMENT FUND, INC.

Name and Address	Number of Shares of Class	% of Class
Class A		
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	295,666	6.88 %
MLPF&S for the Sole Benefit of Its Customers		
Attn: Fund Admin. 4800 Deer Lake Dr. East, 2 nd Floor Jacksonville, FL 32246-6484	521,531	12.14 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3 rd Floor Jersey City, NJ 07311	277,081	6.45 %

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Name and Address	Number of Shares of Class	% of Class
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	334,195	7.78 %
Wells Fargo Clearing Services, LLC Special Custody Account For the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	369,563	8.60 %
Class B		
D.L.F. Gaithersburg, MD 20879-1758	2,253	6.78 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	2,707	8.14 %
Class C		
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	250,670	44.44 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	32,652	5.79 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3 rd Floor Jersey City, NJ 07311	61,254	10.86 %
National Financial Services LLC	39,502	7.00 %

For the Exclusive Benefit of Our Customers

Attn: Mutual Funds Dept.

499 Washington Blvd., 4th Floor

Jersey City, NJ 07310

Pershing LLC

P.O. Box 2052

31,703 5.62 %

Jersey City, NJ 07303-2052

Wells Fargo Clearing Services, LLC

Special Custody Account for the Exclusive Benefit of Customer

50,790 9.01 %

2801 Market Street

Saint Louis, MO 63103-2523

K-90

Name and Address	Number of Shares of Class	% of Class
Advisor Class		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	462,833	15.20%
National Financial Services LLC For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept. 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310	1,168,129	38.35%
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	187,413	6.15 %
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	360,583	11.84%
Class R		
Reliance Trust Co. Custodian FBO MassMutual Omnibus PPL P.O. Box 48529 Atlanta, GA 30362-1529	60,706	12.50%
State Street Bank and Trust as TTEE And/or Cust. FBO ADP Access Product 1 Lincoln Street Boston, MA 02111-2901	201,675	41.54%
Class K		
Great-West Trust Company LLC TTEE C AEA Investors LLC 401K Savings Plan	45,868	6.80 %

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8515 E. Orchard Road, 2T2
Greenwood Village, CO 80111-5002

Great-West Trust Company LLC TTEE C Minnesota Surgical Associates 8515 E. Orchard Road, 2T2 Greenwood Village, CO 80111-5002	37,755	5.59 %
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Great-West Trust Company LLC TTEE C Tristate HVAC Equipment LLP 401K 8515 E. Orchard Road, 2T2 Greenwood Village, CO 80111-5002	38,825	5.75 %
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K-91

Name and Address	Number of Shares of Class	% of Class
Nationwide Trust Company FSB C/O IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-2029	48,555	7.20 %
Class I		
CBNA Cust. FBO Gen'l. Church of New Jerusalem DB 6 Rhoads Dr., Ste. 7 Utica, NY 13502-6317	86,138	20.04%
FFIOC as Agent for Certain Employee Benefit Plans 100 Magellan Way KWIC Covington, KY 41015-1987	29,262	6.81 %
Great-West Trust Company LLC FBO Employee Benefits Clients 401(k) 8515 E. Orchard Road, 2T2 Greenwood Village, CO 80111-5002	38,566	8.97 %
Great-West Trust Company LLC Plans of Great West Financial 8515 E. Orchard Road, 2T2 Greenwood Village, CO 80111-5002	63,205	14.70%
Matrix Trust Company as Trustee FBO C/F Bowie Gridley Architects 401(k) P.O. Box 52129 Phoenix, AZ 85072-2129	28,869	6.72 %
Nationwide Trust Company FSB C/O IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-2029	31,323	7.29 %
Reliance Trust Company FBO Studios Arch P.O. Box 48529 Atlanta, GA 30362-1529	35,853	8.34 %

AB GLOBAL RISK ALLOCATION FUND, INC.

Name and Address	Number of Shares of Class	% of Class
Class A		
JP Morgan Securities LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Center Brooklyn, NY 11245-0001	1,011,740	7.50 %
MLPF&S For the Sole Benefit of Its Customers		
Attn: Fund Admin. 4800 Deer Lake Dr. East, 2 nd Floor Jacksonville, FL 32246-6484	1,485,699	11.01 %

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Name and Address	Number of Shares of Class	% of Class
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310	681,952	5.06 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	1,144,623	8.49 %
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	949,039	7.04 %
Class B		
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr. East, 2 nd Floor Jacksonville, FL 32246-6484	11,456	7.71 %
Class C		
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	81,519	12.34 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	45,086	6.82 %
National Financial Services LLC	93,515	14.15 %

For the Exclusive Benefit of Our Customers

Attn: Mutual Funds Dept.

499 Washington Blvd., 4th Floor

Jersey City, NJ 07310

Oppenheimer & Co. Inc. FBO

FBO J.V. R/O IRA

Highland Beach, FL 33487-3391

34,411 5.21 %

Raymond James

Omnibus for Mutual Funds

Attn: Courtney Waller

880 Carillon Parkway

St. Petersburg, FL 33716-1102

46,102 6.98 %

K-93

Name and Address	Number of Shares of Class	% of Class
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	89,914	13.61 %
Advisor Class		
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	46,709	5.58 %
Great-West Trust Company LLC TTEE C FBO: College of Westchester Business School 401K c/o Fascore LLC 8515 E. Orchard Road, 2T2 Greenwood Village, CO 80111-5002	80,215	9.58 %
Morgan Stanley Smith Barney		
Harborside Financial Center		
Plaza II, 3 rd Floor Jersey City, NJ 07311	57,522	6.87 %
Providence Ear Nose & Throat Assoc. Inc. 401K PS Plan S.W.F. TTEE 2112 Providence Avenue Chester, PA 19013-5507	145,537	17.38 %
State Street Bank and Trust as TTEE And/or Cust. FBO ADP Access Product 1 Lincoln Street Boston, MA 02111-2901	59,323	7.08 %
Class R		
FIIOC FBO Learned, Reilly, Learned & Hughes Profit Sharing Plan 100 Magellan Way KWIC Covington, KY 41015-1987	15,039	11.54 %

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Great-West Trust Company LLC Employee Benefits Clients 401K 8515 E. Orchard Road, 2T2 Greenwood Village, CO 80111-5002	12,584	9.66 %
Mid Atlantic Trust Co. FBO Pikus Concrete & Construction 401(k) 1251 Waterfront Place, Suite 525 Pittsburgh, PA 15222-4228	34,327	26.34 %

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Name and Address	Number of Shares of Class	% of Class
MLPF&S For the Sole Benefit of Its Customers		
Attn: Fund Admin.		
4800 Deer Lake Dr. East, 2 nd Floor	22,615	17.36%
Jacksonville, FL 32246-6484		
TD Ameritrade Trust Company		
P.O. Box 17748	25,759	19.77%
Denver, CO 80217-0748		
Class K		
Great-West Trust Company LLC TTEE C		
Miller, Shpiece & Tischler PC RSP		
8515 E. Orchard Road, 2T2	10,391	13.71%
Greenwood Village, CO 80111-5002		
Nationwide Trust Company FSB		
C/O IPO Portfolio Accounting		
P.O. Box 182029	36,940	48.73%
Columbus, OH 43218-2029		
VRSCO		
Attn: Chris Bauman		
2727-A Allen Parkway 4-D1	6,656	8.78%
Houston, TX 77019-2107		
Class I		
FIIOC FBO		
API Heat Transfer Inc. 401(k) Plan		
100 Magellan Way KWIC	34,270	25.87%
Covington, KY 41015-1987		
FIIOC FBO		
Brewster Cheese Company		
401(k) Profit Sharing Plan		
100 Magellan Way KWIC	48,796	36.83%
Covington, KY 41015-1987		

FIIOC FBO

Toyota Material Handling Midwest Inc. DBA Prolift Industrial 100 Magellan Way KWIC Covington, KY 41015-1987	11,783	8.89 %
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Nationwide Trust Company FSB

C/O IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-2029	31,111	23.48 %
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K-95

AB HIGH INCOME FUND, INC.

Name and Address	Number of Shares of Class	% of Class
Class A		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	9,467,178	5.31 %
MLPF&S		
For the Sole Benefit of Its Customers		
Attn: Fund Admin. 4800 Deer Lake Dr. East, 2 nd Floor Jacksonville, FL 32246-6484	16,323,063	9.15 %
Morgan Stanley Smith Barney		
Harborside Financial Center Plaza II, 3 rd Floor Jersey City, NJ 07311	14,372,420	8.06 %
National Financial Services LLC		
For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept. 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310	19,078,789	10.70 %
Pershing LLC P.O. Box 2052	18,630,013	10.45 %

Jersey City, NJ 07303-2052

Wells Fargo Clearing Services, LLC

Special Custody Account for the Exclusive Benefit of Customer
2801 Market St.

13,441,669 7.54 %

Saint Louis, MO 63103-2523

Class B

Ascensus Trust Company

C/F M.D. IRA Rollover

8,505 5.28 %

Point Pleasant Beach, NJ 08742-3832

Ascensus Trust Company

C/F T.C., Jr. IRA Rollover

11,488 7.14 %

Lambertville, NJ 08530

M.V.V.

MD Retirement Plan

12,890 8.01 %

FBO Master Account

San Juan, PR 00910-1587

Pershing LLC

P.O. Box 2052

12,156 7.55 %

Jersey City, NJ 07303-2052

K-96

Name and Address	Number of Shares of Class	% of Class
Class C		
MLPF&S		
For the Sole Benefit of Its Customers		
Attn: Fund Admin.	10,783,947	9.79 %
4800 Deer Lake Dr. East, 2 nd Floor		
Jacksonville, FL 32246-6484		
Morgan Stanley Smith Barney		
Harborside Financial Center	19,869,450	18.04 %
Plaza II, 3 rd Floor		
Jersey City, NJ 07311		
Pershing LLC	15,366,534	13.95 %
P.O. Box 2052		
Jersey City, NJ 07303-2052		
Raymond James		
Omnibus for Mutual Funds		
House Account Firm	7,326,189	6.65 %
Attn: Courtney Waller		
880 Carillon Parkway		
St. Petersburg, FL 33716-1102		
UBS WM USA		
Omni Account M/F		
Attn: Department Manager	6,636,440	6.02 %
1000 Harbor Blvd., 5 th Floor		
Weehawken, NJ 07086-6761		
	19,808,290	17.98 %

Wells Fargo Clearing Services, LLC
Special Custody Account for the Exclusive Benefit of Customer
2801 Market St.
Saint Louis, MO 63103-2523

Advisor Class

Charles Schwab & Co.
For the Exclusive Benefit of Customers
Mutual Fund Operations
211 Main Street
San Francisco, CA 94105-1905

30,888,487 7.23 %

LPL Financial
Omnibus Customer Account
Attn: Mutual Fund Trading
4707 Executive Dr.
San Diego, CA 92121-3091

22,582,030 5.28 %

MLPF&S

For the Sole Benefit of Its Customers

Attn: Fund Admin.

41,885,592 9.80 %

4800 Deer Lake Dr. East, 2nd Floor

Jacksonville, FL 32246-6484

K-97

Name and Address	Number of Shares of Class	% of Class
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3 rd Floor Jersey City, NJ 07311	57,697,928	13.50 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310-1995	52,715,739	12.34 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	30,925,161	7.24 %
UBS WM USA Omni Account M/F Attn: Department Manager 1000 Harbor Blvd., 5 th Floor Weehawken, NJ 07086-6761	33,064,750	7.74 %
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market St. Saint Louis, MO 63103-2523	34,713,192	8.12 %
Raymond James Omnibus for Mutual Funds House Account Firm Attn: Courtney Waller 880 Carillon Parkway St. Petersburg, FL 33716-1102	21,760,701	5.09 %

Class R

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Hartford Life Insurance Company
Separate Account 401
Attn: UIT Operations 3,400,970 37.66 %
P.O. Box 2999
Hartford, CT 06104-2999

MassMutual Financial Group
Cust. FBO Massachusetts Mutual Insurance Company 1,037,266 11.49 %
1295 State Street
Springfield, MA 01111-0001

MLPF&S

For the Sole Benefit of Its Customers

Attn: Fund Admin. 830,214 9.19 %

4800 Deer Lake Dr. East, 2nd Floor

Jacksonville, FL 32246-6484

K-98

Name and Address	Number of Shares of Class	% of Class
National Financial Services LLC 499 Washington Blvd. Jersey City, NJ 07310-1995	909,310	10.07 %
Voya Retirement Insurance and Annuity Co. Qualified Plan 1 Orange Way, #B3N Windsor, CT 06095-4773	506,563	5.61 %
Class K		
National Financial Services LLC 499 Washington Blvd., 4th Floor Jersey City, NJ 07310-1995	10,988,072	75.61 %
State of Florida Employees Deferred Compensation Plan FBO Participating Employees C/O IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-2029	1,376,736	9.47 %
Class I		
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	2,017,988	7.10 %
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr. East, 2nd Floor Jacksonville, FL 32246-6484	1,994,119	7.02 %
National Financial Services LLC 499 Washington Blvd., 4th Floor Jersey City, NJ 07310-1995	8,077,494	28.43 %
National Financial Services LLC 499 Washington Blvd., 4th Floor Jersey City, NJ 07310-1995	3,007,641	10.58 %

Nationwide Trust Company FSB
C/O IPO Portfolio Accounting
P.O. Box 182029
Columbus, OH 43218-2029

2,516,540 8.86 %

Class Z

AB All Market Income
1345 Avenue of the Americas
New York, NY 10105-0302

6,848,933 16.07 %

K-99

Name and Address	Number of Shares of Class	% of Class
AB Conservative Wealth Strategy 1345 Avenue of the Americas New York, NY 10105-0302	2,576,731	6.05%
JP Morgan Securities LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Ctr Brooklyn, NY 11245-0001	3,370,334	7.91%
MAC & Co. Attn: Mutual Fund Operations 500 Grant Street Room 151-1010 Pittsburgh, PA 15219-2502	2,313,511	5.43%
MAC & Co. Attn: Mutual Fund Operations 500 Grant Street Room 151-1010 Pittsburgh, PA 15219-2502	2,655,550	6.23%
MLPF&S For the Sole Benefit of Its Customers		
Attn: Fund Admin. 4800 Deer Lake Dr. East, 2 nd Floor Jacksonville, FL 32246-6484	2,493,458	5.85%
Voya Retirement Insurance and Annuity Co. Qualified Plan 1 Orange Way, #B3N Windsor, CT 06095-4773	2,353,717	5.52%

AB INSTITUTIONAL FUNDS, INC.

AB Global Real Estate Investment Fund II

Name and Address	Number of Shares of Class	% of Class
Charles Schwab & Co. For the Exclusive Benefit of Our Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	1,647,997	5.99%

AB LARGE CAP GROWTH FUND, INC.

Name and Address	Number of Shares of Class	% of Class
Class A		
Charles Schwab & Co. 211 Main Street San Francisco, CA 94105-1905	2,349,345	5.17%

K-100

Name and Address	Number of Shares of Class	% of Class
MLPF&S for the Sole Benefit of Its Customers		
Attn: Fund Admin		
4800 Deer Lake Drive East	7,268,653	16.01 %
2 nd Floor		
Jacksonville, FL 32246-6484		
Morgan Stanley Smith Barney		
Harborside Financial Center		
Plaza II, 3 rd Floor	2,443,602	5.38 %
Jersey City, NJ 07311		
National Financial Services LLC		
For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept.	4,008,439	8.83 %
499 Washington Blvd., 4 th Floor		
Jersey City, NJ 07310		
Pershing LLC		
P.O. Box 2052	3,193,584	7.03 %
Jersey City, NJ 07303-2052		
Wells Fargo Clearing Services LLC		
Special Custody Account for the		
Exclusive Benefit of Customer	3,473,116	7.65 %
2801 Market Street		
Saint Louis, MO 63103-2523		
Class B		
Pershing LLC	28,415	6.00 %
P.O. Box 2052		

Jersey City, NJ 07303-2052

Class C

MLPF&S for the Sole Benefit of Its Customers

Attn: Fund Admin 4800 Deer Lake Drive East 2nd Floor Jacksonville, FL 32246-6484	1,426,694	13.58 %
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Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	1,367,710	13.02 %
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National Financial Services LLC

For the Exclusive Benefit of Our Customers

Attn: Mutual Funds Dept.	902,769	8.59 %
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499 Washington Blvd., 4th Floor

Jersey City, NJ 07310

Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	801,506	7.63 %
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K-101

Name and Address	Number of Shares of Class	% of Class
Raymond James Omnibus for Mutual Funds Attn: Courtney Waller 880 Carillon Parkway St. Petersburg, FL 33716-1102	944,183	8.99 %
Wells Fargo Clearing Services LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	1,739,917	16.56 %
Advisor Class		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Drive San Diego, CA 92121-3091	5,643,238	10.85 %
MLPF&S for the Sole Benefit of its Customers Attn: Fund Admin 4800 Deer Lake Drive East 2nd Floor Jacksonville, FL 32246-6484	6,557,479	12.61 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	2,845,146	5.47 %
National Financial Services LLC		
For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept. 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310	6,367,481	12.25 %
	4,612,389	8.87 %

Pershing LLC
P.O. Box 2052
Jersey City, NJ 07303-2052

Raymond James
Omnibus for Mutual Funds
Attn: Courtney Waller 3,138,781 6.04 %
880 Carillon Parkway
St. Petersburg, FL 33716-1102

Wells Fargo Clearing Services LLC
Special Custody Account for the
Exclusive Benefit of Customer 4,630,591 8.91 %
2801 Market Street
Saint Louis, MO 63103-2523

K-102

Name and Address	Number of Shares of Class	% of Class
Class R		
Equitable Life for		
Separate Acct #65 Qualified Plan		
Attn S.S.	70,451	5.43 %
525 Washington Blvd, 27 th Floor		
Jersey City NJ 07310-1606		
DCGT Trustee and/or Custodian		
FBO PLIC Various Retirement Plans		
Omnibus	90,414	6.97 %
Attn: NPIO Trade Desk		
711 High Street		
Des Moines, IA 50392-0001		
MLPF&S for the Sole Benefit of its Customers		
Attn: Fund Admin	194,135	14.97%
4800 Deer Lake Drive East		
2nd Floor		
Jacksonville, FL 32246-6484		
State Street Bank and Trust as TTEE		
And/or Cust. FBO ADP Access Product		
1 Lincoln St	80,106	6.18 %
Boston MA 02111-2901		
Voya Retirement Insurance and Annuity Co		
Qualified Plan	66,014	5.09 %
1 Orange Way # B3N		
Windsor CT 06095-4773		
Class K		
Nationwide Trust Company FSB		
c/o IPO Portfolio Accounting	435,188	22.86%
P.O. Box 182029		
Columbus, OH 43218-2029		

Class I

FIIOC as Agent for Certain Employee Benefit Plans 100 Magellan Way KWIC Covington, KY 41015-1987	808,867	9.99	%
Great-West Trust Company LLC FBO Employee Benefits Clients 401k 8515 E Orchard Rd 2t2 Greenwood Vlg CO 80111-5002	500,998	6.19	%
Lincoln Retirement Services Co FBO Prince William 403B PO Box 7876 Fort Wayne IN 46801-7876	495,792	6.12	%

K-103

Name and Address	Number of Shares of Class	% of Class
MLPF&S for the sole benefit of its Customers Attn Fund Admin 4800 Deer Lake Dr E Fl 2 Jacksonville FL 32246-6484	754,106	9.32 %
National Financial Services LLC 499 Washington Blvd Jersey City NJ 07310-1995	534,066	6.60 %
Nationwide Trust Company FSB c/o IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-2029	1,373,803	16.97%
Patterson & Co. FBO USI 401(K) Plan 1525 West W.T. Harris Blvd. Charlotte, NC 28288-1076	670,321	8.28 %
Class Z		
Great-West Trust Company LLC FBO Employee Benefits Clients 401K 8515 E Orchard Rd 2T2 Greenwood Village, CO 80111-5002	923,484	5.43 %
Great-West Trust Co LLC TTEE F FBO Cox Savings Incentive Plan c/o Fascore LLC 8515 E Orchard Rd # 2T2 Greenwood Village, CO 80111-5002	1,783,369	10.49%
National Financial Services LLC 499 Washington Blvd Jersey City NJ 07310-1995	1,361,837	8.01 %
Voya Retirement Insurance and Annuity Co. Qualified Plan 1 Orange Way # B3N Windsor, CT 06095-4773	2,295,962	13.51 %

AB MUNICIPAL INCOME FUND, INC.

AB California Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Drive San Diego, CA 92121-3091	2,184,603	5.19%

K-104

Name and Address	Number of Shares of Class	% of Class
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr., East 2nd Floor Jacksonville, FL 32246-6484	5,728,124	13.61 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	4,618,998	10.98 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	4,515,641	10.73 %
UBS WM USA Omni Account M/F Attn: Department Manager Special Custody Acct. for the Exclusive Benefit of Customer 1000 Harbor Blvd. Weehawken, NJ 07086-6761	2,619,242	6.23 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	7,376,621	17.53 %
Class B		
A.F.E. Chino, CA 91710-2185	272	8.33 %
J.C. TOD/DE Hacienda Heights, CA 91745-6502	760	23.28 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	2,182	66.80 %

Class C

JPMorgan Securities, LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Center Brooklyn, NY 11245-0001	232,314	5.34 %
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LPL Financial Omnibus Customer Accounts Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	508,697	11.69%
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K-105

Name and Address	Number of Shares of Class	% of Class
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr., East 2nd Floor Jacksonville, FL 32246-6484	395,908	9.10 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	907,869	20.87 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	570,975	13.12 %
UBS WM USA Omni Account M/F Attn: Department Manager Special Custody Acct. for the Exclusive Benefit of Customer 1000 Harbor Blvd. Weehawken, NJ 07086-6761	326,417	7.50 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	664,769	15.28 %
Advisor Class		
LPL Financial Omnibus Customer Accounts Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	1,423,480	7.52 %
MLPF&S For the Sole Benefit of its Customers Attn: Fund Admin. 4800 Deer Lake Dr., East	4,787,166	25.30 %

2nd Floor
Jacksonville, FL 32246-6484

Morgan Stanley Smith Barney
Harborside Financial Center
Plaza II, 3rd Floor
Jersey City, NJ 07311
2,797,617 14.79%

National Financial Services LLC
For the Exclusive Benefit of Our Customers
Attn: Mutual Funds Department
499 Washington Blvd., 4th Floor
Jersey City, NJ 07310
1,921,212 10.15%

K-106

Name and Address	Number of Shares of Class	% of Class
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	1,362,445	7.20 %
UBS WM USA Omni Account M/F Attn: Department Manager Special Custody Acct. for the Exclusive Benefit of Customer 1000 Harbor Blvd. Weehawken, NJ 07086-6761	1,390,764	7.35 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	2,136,817	11.29 %

AB High Income Municipal Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
JPMorgan Securities LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Center Brooklyn, NY 11245-0001	5,738,175	8.69 %
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr., East 2nd Floor Jacksonville, FL 32246-6484	8,879,899	13.44 %
	9,092,961	13.77 %

Morgan Stanley Smith Barney
Harborside Financial Center
Plaza II, 3rd Floor
Jersey City, NJ 07311

National Financial Services LLC
For the Exclusive Benefit of Our Customers
Attn: Mutual Funds Dept. 5,196,632 7.87 %
499 Washington Boulevard, 4th Floor
Jersey City, NJ 07310

Pershing LLC
P.O. Box 2052 9,019,867 13.66 %
Jersey City, NJ 07303-2052

K-107

Name and Address	Number of Shares of Class	% of Class
UBS WM USA Omni Account M/F Attn: Department Manager Special Custody Acct. for the Exclusive Benefit of Customer 1000 Harbor Blvd. Weehawken, NJ 07086-6761	5,815,976	8.81 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	7,685,929	11.64 %
Class C		
Charles Schwab & Co., Inc. Special Custody Acct. for the Benefit of Customers Attn: Mutual Funds 211 Main Street San Francisco, CA 94105-1905	1,357,900	5.40 %
JP Morgan Securities, LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Center Brooklyn, NY 11245-0001	1,398,621	5.56 %
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr., East 2nd Floor Jacksonville, FL 32246-6484	4,621,789	18.38 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	4,327,757	17.21 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	1,822,315	7.25 %

Raymond James
Omnibus for Mutual Funds
Attn: Courtney Waller
880 Carillon Parkway
St. Petersburg, FL 33716-1102

1,590,049 6.32 %

K-108

Name and Address	Number of Shares of Class	% of Class
UBS WM USA Omni Account M/F Attn: Department Manager Special Custody Acct. for the Exclusive Benefit of Customer 1000 Harbor Blvd. Weehawken, NJ 07086-6761	1,732,862	6.89 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	4,575,688	18.20 %
Advisor Class		
Edward D. Jones & Co. For the Benefit of Customers Attn: Terrance Spencer 12555 Manchester Road Saint Louis, MO 63131-3729	9,932,133	6.99 %
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr., East 2nd Floor Jacksonville, FL 32246-6484	24,328,399	17.13 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	13,108,348	9.23 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Boulevard, 4th Floor Jersey City, NJ 07310	10,381,361	7.31 %
UBS WM USA Omni Account M/F	13,579,815	9.56 %

Attn: Department Manager
Special Custody Acct. for the
Exclusive Benefit of Customer
1000 Harbor Blvd.
Weehawken, NJ 07086-6761

Wells Fargo Clearing Services, LLC
Special Custody Acct. for the
Exclusive Benefit of Customer
2801 Market Street
Saint Louis, MO 63103-2523

10,118,875 7.12 %

K-109

AB National Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Drive San Diego, CA 92121-3091	2,886,592	5.13 %
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr., East 2nd Floor Jacksonville, FL 32246-6484	7,559,789	13.45 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	5,096,821	9.07 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Boulevard, 4th Floor Jersey City, NJ 07310	3,836,397	6.82 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	3,868,148	6.88 %
UBS WM USA Omni Account M/F Attn: Department Manager Special Custody Acct. for the Exclusive Benefit of Customer 1000 Harbor Blvd. Weehawken, NJ 07086-6761	4,351,703	7.74 %
Wells Fargo Clearing Services LLC Special Custody Account for the	6,899,953	12.27 %

Exclusive Benefit of Customer
2801 Market Street
Saint Louis, MO 63103-2523

Class B

Ascensus Trust Company C/F B.R.S. IRA Rollover 115 17th Place South La Crosse, WI 54601-4257	2,198	12.39 %
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D.D. TOD/DE Reno, NV 89502-2561	3,466	19.54 %
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K-110

Name and Address	Number of Shares of Class	% of Class
Oppenheimer & Co. Inc. FBO M.J.O. & J.G.O. TTEE O'Connell Joint Revocable Trust 4431 Chula Vista Pensacola, FL 32504	3,110	17.53 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	2,374	13.38 %
Y.A.W. DDS Opelousas, LA 70570-1413	2,048	11.55 %
Class C		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Drive San Diego, CA 92121-3091	435,801	5.05 %
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr., East 2nd Floor Jacksonville, FL 32246-6484	1,342,295	15.56 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	1,064,898	12.35 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310	606,255	7.03 %
Pershing LLC P.O. Box 2052	985,069	11.42 %

Jersey City, NJ 07303-2052

UBS WM USA

Omni Account M/F

Attn: Department Manager

Special Custody Acct. for the
Exclusive Benefit of Customer

1000 Harbor Blvd.

Weehawken, NJ 07086-6761

710,477 8.24 %

K-111

Name and Address	Number of Shares of Class	% of Class
Wells Fargo Clearing Services, LLC Special Custody Acct for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	1,211,889	14.05 %
Advisor Class		
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	4,729,784	7.00 %
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr., East 2nd Floor Jacksonville, FL 32246-6484	7,304,486	10.81 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	4,001,855	5.92 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310	6,413,699	9.49 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	5,207,307	7.71 %
Raymond James Omnibus for Mutual Funds Attn: Courtney Waller 880 Carillon Parkway St. Petersburg, FL 33716-1102	19,384,577	28.69 %

UBS WM USA
Omni Account M/F
Attn: Department Manager
Special Custody Acct. for the
Exclusive Benefit of Customer
1000 Harbor Blvd.
Weehawken, NJ 07086-6761

9,519,627	14.09 %
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Wells Fargo Clearing Services, LLC
Special Custody Acct for the
Exclusive Benefit of Customer
2801 Market Street
Saint Louis, MO 63103-2523

4,176,693	6.18 %
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K-112

AB New York Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
JPMorgan Securities LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Center Brooklyn, NY 11245-0001	9,182,295	21.36 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	2,469,081	5.74 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Boulevard, 4th Floor Jersey City, NJ 07310	5,274,641	12.27 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	9,077,077	21.12 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	2,283,887	5.31 %
Class B		
M.D. Brooklyn, NY 11224-4120	2,844	6.08 %
M.B.TOD/DE 29640 Fuengiola Malaga, Spain	2,921	6.24 %
Rafaelov Family Trust J.R. TTEE Brooklyn, NY 11218-2611	24,545	52.47 %

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R.C.K. Brooklyn, NY 11224-4129	4,914	10.50 %
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R.G. Brooklyn, NY 11224-4328	2,352	5.03 %
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Class C

JPMorgan Securities LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Center Brooklyn, NY 11245-0001	1,807,208	30.13 %
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K-113

Name and Address	Number of Shares of Class	% of Class
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr., East 2nd Floor Jacksonville, FL 32246-6484	356,668	5.95 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	456,322	7.61 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Boulevard, 4th Floor Jersey City, NJ 07310	372,914	6.22 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	1,644,522	27.42 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	567,964	9.47 %
Advisor Class		
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	1,487,737	12.83 %
LPL Financial Omnibus Customer Accounts Attn: Mutual Fund Trading 4707 Executive Drive San Diego, CA 92121-3091	929,529	8.01 %

MLPF&S

For the Sole Benefit of Its Customers

Attn: Fund Admin.

4800 Deer Lake Dr., East

2nd Floor

Jacksonville, FL 32246-6484

1,255,951 10.83 %

Morgan Stanley Smith Barney

Harborside Financial Center

Plaza II, 3rd Floor

Jersey City, NJ 07311

1,816,973 15.67 %

K-114

Name and Address	Number of Shares of Class	% of Class
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Boulevard, 4th Floor Jersey City, NJ 07310	1,087,723	9.38 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	1,541,793	13.29 %
UBS WM USA Omni Account M/F Attn: Department Manager Special Custody Acct. for the Exclusive Benefit of Customer 1000 Harbor Blvd. Weehawken, NJ 07086-6761	937,692	8.08 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	912,180	7.86 %

AB MUNICIPAL INCOME FUND II

AB Arizona Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
Edward D. Jones & Co. For the Benefit of Customers Attn: Terrance Spencer	587,359	6.33 %

12555 Manchester Road
Saint Louis, MO 63131-3729

JPMorgan Securities LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Center Brooklyn, NY 11245-0001	1,431,868	15.43 %
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MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr., East 2nd Floor Jacksonville, FL 32246-6484	889,999	9.59 %
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Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	738,914	7.96 %
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K-115

Name and Address	Number of Shares of Class	% of Class
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310	648,450	6.99 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	931,380	10.04 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	1,566,664	16.89 %
Class B		
TD Ameritrade FBO Margaret Reese Revocable Living Trust M.H.R. TR Tempe, AZ 85284-3135	223	35.78 %
T.W.V. Mesa, AZ 85213-2420	382	61.29 %
Class C		
JPMorgan Securities LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Center Brooklyn, NY 11245-0001	177,674	12.95 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	170,140	12.40 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Boulevard, 4th Floor	118,485	8.64 %

Jersey City, NJ 07310

Wells Fargo Clearing Services, LLC

Special Custody Acct. for the

Exclusive Benefit of Customer

441,267 32.17%

2801 Market Street

Saint Louis, MO 63103-2523

K-116

AB Massachusetts Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr., East 2nd Floor Jacksonville, FL 32246-6484	2,155,496	17.17 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	847,258	6.75 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Boulevard, 4th Floor Jersey City, NJ 07310	3,495,031	27.84 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	1,310,787	10.44 %
UBS WM USA Omni Account M/F Attn: Department Manager Special Custody Acct. for the Exclusive Benefit of Customer 1000 Harbor Blvd. Weehawken, NJ 07086-6761	683,299	5.44 %
Class B		
J.P.O. W. Springfield, MA 01089-2708	4,552	80.94 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor	814	14.47 %

Jersey City, NJ 07311

Class C

LPL Financial

Omnibus Customer Account

Attn: Mutual Fund Trading

518,472 15.95%

4707 Executive Drive

San Diego, CA 92121-3091

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Name and Address	Number of Shares of Class	% of Class
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr., East 2nd Floor Jacksonville, FL 32246-6484	544,669	16.75 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	186,157	5.73 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Boulevard, 4th Floor Jersey City, NJ 07310	340,356	10.47 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	316,356	9.73 %
Advisor Class		
Charles Schwab & Co. Special Custody Acct. for the Exclusive Benefit of Customers Attn: Mutual Funds 211 Main Street San Francisco, CA 94105-1905	263,268	5.62 %
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr., East 2nd Floor Jacksonville, FL 32246-6484	274,820	5.87 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Boulevard, 4th Floor	1,058,765	22.62 %

Jersey City, NJ 07310

SEI Private Trust Co.

Attn: Mutual Fund Administrator

1 Freedom Valley Drive

Oaks, PA 19456-9989

1,065,278 22.75%

K-118

AB Minnesota Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Drive San Diego, CA 92121-3091	350,700	5.85 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	1,410,343	23.52 %
Raymond James Omnibus for Mutual Funds Attn: Courtney Waller 880 Carillon Parkway St. Petersburg, FL 33716-1102	344,069	5.74 %
RBC Capital Markets LLC Mutual Fund Omnibus Processing Omnibus Attn: Mutual Fund Operations Manager 60 S. 6th St., MSC P08 Minneapolis, MN 55402-4413	357,356	5.96 %
UBS WM USA Omni Account M/F Attn: Department Manager Special Custody Acct. for the Exclusive Benefit of Customer 1000 Harbor Blvd. Weehawken, NJ 07086-6761	444,833	7.42 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	568,547	9.48 %

Class B

H.M. Circle Pines, MN 55014-1816	2,976	98.92%
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Class C

Edward D. Jones & Co. For the Benefit of Customers Attn: Terrance Spencer 12555 Manchester Road Saint Louis, MO 63131-3729	46,179	6.00 %
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K-119

Name and Address	Number of Shares of Class	% of Class
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Boulevard, 4th Floor Jersey City, NJ 07310	41,902	5.45 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	59,293	7.71 %
Raymond James Omnibus for Mutual Funds Attn: Courtney Waller 880 Carillon Parkway St. Petersburg, FL 33716-1102	42,438	5.52 %
RBC Capital Markets LLC Mutual Fund Omnibus Processing Omnibus	45,073	5.86 %
Attn: Mutual Funds Manager 60 South 6 th Street, MSC P08 Minneapolis, MN 55402-4413		
UBS WM USA Omni Account M/F Attn: Department Manager Special Custody Acct. for the Exclusive Benefit of Customer 1000 Harbor Blvd. Weehawken, NJ 07086-6761	63,442	8.25 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	103,525	13.46%

AB New Jersey Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
MLPF&S		
For the Sole Benefit of Its Customers		
Attn: Fund Admin.	1,193,747	12.44 %
4800 Deer Lake Dr., East		
2nd Floor		
Jacksonville, FL 32246-6484		
Morgan Stanley Smith Barney		
Harborside Financial Center	721,848	7.52 %
Plaza II, 3rd Floor		
Jersey City, NJ 07311		

K-120

Name and Address	Number of Shares of Class	% of Class
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Boulevard, 4th Floor Jersey City, NJ 07310	1,014,139	10.56 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	1,015,428	10.58 %
UBS WM USA Omni Account M/F Attn: Department Manager Special Custody Acct. for the Exclusive Benefit of Customer 1000 Harbor Blvd. Weehawken, NJ 07086-6761	848,881	8.84 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	1,372,214	14.30 %
Class B:		
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	13,488	90.04 %
Class C		
Charles Schwab & Co., Inc. Special Custody Acct. for the Benefit of Customers Attn: Mutual Funds 211 Main Street San Francisco, CA 94105-1905	73,431	5.34 %
JPMorgan Securities LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Center	119,625	8.70 %

Brooklyn, NY 11245-0001

MLPF&S

For the Sole Benefit of Its Customers

Attn: Fund Admin.

288,095 20.96%

4800 Deer Lake Dr., East

2nd Floor

Jacksonville, FL 32246-6484

Morgan Stanley Smith Barney

Harborside Financial Center

161,880 11.78%

Plaza II, 3rd Floor

Jersey City, NJ 07311

K-121

Name and Address	Number of Shares of Class	% of Class
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Boulevard, 4th Floor Jersey City, NJ 07310	111,424	8.11 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	85,429	6.21 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	343,279	24.97 %

AB Ohio Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
JPMorgan Securities LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Center Brooklyn, NY 11245-0001	962,143	11.93 %
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr., East 2nd Floor Jacksonville, FL 32246-6484	628,455	7.79 %
National Financial Services LLC For the Exclusive Benefit of Our Customers	746,450	9.26 %

Attn: Mutual Funds Dept.
499 Washington Boulevard, 4th Floor
Jersey City, NJ 07310

Pershing LLC
P.O. Box 2052 841,293 10.43 %
Jersey City, NJ 07303-2052

RBC Capital Markets LLC
Mutual Fund Omnibus Processing Omnibus
Attn: Mutual Funds Operations Manager 492,618 6.11 %
60 S. 6th Street, MSC P08
Minneapolis, MN 55402-4413

K-122

Name and Address	Number of Shares of Class	% of Class
UBS WM USA Omni Account M/F Attn: Department Manager Special Custody Acct. for the Exclusive Benefit of Customer 1000 Harbor Blvd. Weehawken, NJ 07086-6761	651,527	8.08 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	1,002,737	12.44 %
Class B		
M.L.G. TOD/DE Dublin, OH 43016-3274	2,581	99.30 %
Class C		
Charles Schwab & Co. Special Custody Acct. for the Benefit of Customers Attn: Mutual Funds 211 Main Street San Francisco, CA 94105-1905	99,405	8.65 %
Edward D. Jones & Co. For the Benefit of Customers Attn: Terrance Spencer 12555 Manchester Road Saint Louis, MO 63131-3729	59,562	5.18 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Drive San Diego, CA 92121-3091	59,196	5.15 %
MLPF&S For the Sole Benefit of Its Customers	92,299	8.03 %

Attn: Fund Admin.
4800 Deer Lake Dr., East
2nd Floor
Jacksonville, FL 32246-6484

National Financial Services LLC
For the Exclusive Benefit of Our Customers

Attn: Mutual Funds Dept. 84,040 7.31 %
499 Washington Boulevard, 4th Floor
Jersey City, NJ 07310

K-123

Name and Address	Number of Shares of Class	% of Class
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	175,253	15.24%
UBS WM USA Omni Account M/F Attn: Department Manager Special Custody Acct. for the Exclusive Benefit of Customer 1000 Harbor Blvd. Weehawken, NJ 07086-6761	88,190	7.67 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	135,327	11.77%

AB Pennsylvania Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Drive San Diego, CA 92121-3091	716,071	9.29 %
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr., East 2nd Floor Jacksonville, FL 32246-6484	468,591	6.08 %

Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	450,182	5.84 %
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National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Boulevard, 4th Floor Jersey City, NJ 07310	1,933,250	25.07 %
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Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	471,732	6.12 %
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K-124

Name and Address	Number of Shares of Class	% of Class
UBS WM USA Omni Account M/F Attn: Department Manager Special Custody Acct. for the Exclusive Benefit of Customer 1000 Harbor Blvd. Weehawken, NJ 07086-6761	386,437	5.01 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	909,321	11.79%
Class B		
A.C.K. TOD R.A.K. Subject to STA TOD Rules Middlesburg, PA 17842	1,632	48.03%
G.L.S. & M.A.S. JTWROS Millheim, PA 16854-0368	403	11.86%
R.C.L. Mechanicsburg, PA 17055-4084	1,193	35.11%
Class C		
Charles Schwab & Co. Special Custody Acct. for the Benefit of Customers Attn: Mutual Funds 211 Main Street San Francisco, CA 94105-1905	92,880	11.48%
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Drive San Diego, CA 92121-3091	49,006	6.06 %

MLPF&S

For the Sole Benefit of Its Customers

Attn: Fund Admin.

4800 Deer Lake Dr., East

2nd Floor

Jacksonville, FL 32246-6484

67,250 8.31 %

Morgan Stanley Smith Barney

Harborside Financial Center

Plaza II, 3rd Floor

Jersey City, NJ 07311

59,925 7.40 %

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Name and Address	Number of Shares of Class	% of Class
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Boulevard, 4th Floor Jersey City, NJ 07310	75,002	9.27 %

Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	274,905	33.97%
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AB Virginia Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
Charles Schwab & Co. Special Custody Account For the Exclusive Benefit of Customers Attn: Mutual Funds 211 Main Street San Francisco, CA 94105-1905	702,101	5.58 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	1,126,254	8.95 %
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr., East 2nd Floor	2,113,837	16.79 %

Jacksonville, FL 32246-6484

Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	1,149,075	9.13 %
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National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Boulevard, 4th Floor Jersey City, NJ 07310	823,886	6.54 %
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Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	787,611	6.26 %
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K-126

Name and Address	Number of Shares of Class	% of Class
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	1,688,190	13.41 %
Class B		
C.S.S. Hutchinson, KS 67501-8825	346	6.43 %
D.D.W. Richmond, VA 23337-3740	2,323	43.19 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Drive San Diego, CA 92121-3091	1,597	29.68 %
T.J.F. & C.A.F. JTWROS Chesterfield, VA 23832-3778	906	16.84 %
Class C		
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr., East 2nd Floor Jacksonville, FL 32246-6484	868,811	27.68 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	345,794	11.02 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Boulevard, 4th Floor	306,060	9.75 %

Jersey City, NJ 07310

Wells Fargo Clearing Services, LLC

Special Custody Acct. for the

Exclusive Benefit of Customer

427,856 13.63%

2801 Market Street

Saint Louis, MO 63103-2523

K-127

Name and Address	Number of Shares of Class	% of Class
Advisor Class		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	397,008	10.27 %
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr., East 2nd Floor Jacksonville, FL 32246-6484	561,668	14.52 %
National Financial Services, LLC For the Exclusive Benefit of our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310-1995	306,058	7.91 %
Raymond James Omnibus for Mutual Funds Attn: Courtney Waller 880 Carillon Parkway St. Petersburg, FL 33716-1102	233,297	6.03 %
UBS WM USA Omni Account M/F Attn: Department Manager Special Custody Acct. for the Exclusive Benefit of Customer 1000 Harbor Blvd. Weehawken, NJ 07086-6761	753,132	19.47 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	858,487	22.20 %

AB RELATIVE VALUE FUND, INC.

Name and Address	Number of <u>Shares of</u> <u>Class</u>	% of Class
Class A		
MLPF&S For the Sole Benefit of Its Customers		
Attn: Fund Admin.	24,557,986	10.67%
4800 Deer Lake Dr. East, 2 nd Floor		
Jacksonville, FL 32246-6484		

K-128

Name and Address	Number of Shares of Class	% of Class
Morgan Stanley Smith Barney		
Harborside Financial Center		
Plaza II, 3 rd Floor	13,684,341	5.95 %
Jersey City, NJ 07311		
National Financial Services LLC		
For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept.	12,797,732	5.56 %
499 Washington Blvd., 4 th Floor		
Jersey City, NJ 07310		
Pershing LLC		
P.O. Box 2052	16,628,343	7.22 %
Jersey City, NJ 07303-2052		
Wells Fargo Clearing Services, LLC		
Special Custody Account for the Exclusive Benefit of Customer		
2801 Market Street	19,658,094	8.54 %
Saint Louis, MO 63103-2523		
Class B		
Pershing LLC		
P.O. Box 2052	131,622	6.64 %
Jersey City, NJ 07303-2052		
Class C		
Charles Schwab & Co.		
For the Exclusive Benefit of Customers		
Mutual Fund Operations	805,151	8.26 %
211 Main Street		
San Francisco, CA 94105-1905		
	1,099,340	11.27 %

LPL Financial
Omnibus Customer Account
Attn: Mutual Fund Trading
4707 Executive Dr.
San Diego, CA 92121-3091

MLPF&S For the Sole Benefit of Its Customers

Attn: Fund Admin.

904,708 9.28 %

4800 Deer Lake Dr. East, 2nd Floor

Jacksonville, FL 32246-6484

Morgan Stanley Smith Barney

Harborside Financial Center

922,750 9.46 %

Plaza II, 3rd Floor

Jersey City, NJ 07311

K-129

Name and Address	Number of Shares of Class	% of Class
National Financial Services LLC		
For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept.	1,208,290	12.39 %
499 Washington Blvd., 4 th Floor		
Jersey City, NJ 07310		
Pershing LLC		
P.O. Box 2052	628,854	6.45 %
Jersey City, NJ 07303-2502		
Raymond James		
Omnibus for Mutual Funds		
House Acct Firm 92500015	553,625	5.68 %
Attn: Courtney Waller		
880 Carillon Parkway		
St Petersburg FL 33716-1102		
UBS WM USA		
Omni Account M/F		
Attn: Department Manager	761,850	7.81 %
1000 Harbor Blvd., 5 th Floor		
Weehawken, NJ 07086-6761		
Wells Fargo Clearing Services, LLC		
Special Custody Account for the Exclusive Benefit of Customer	688,910	7.07 %
2801 Market Street		
Saint Louis, MO 63103-2523		
Advisor Class		
MLPF&S For the Sole Benefit of Its Customers	5,072,941	16.24 %
Attn: Fund Admin.		

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4800 Deer Lake Dr. East, 2nd Floor

Jacksonville, FL 32246-6484

Morgan Stanley Smith Barney

Harborside Financial Center

5,788,275 18.53 %

Plaza II, 3rd Floor

Jersey City, NJ 07311

National Financial Services LLC

For the Exclusive Benefit of Our Customers

Attn: Mutual Funds Dept.

2,352,859 7.53 %

499 Washington Blvd., 4th Floor

Jersey City, NJ 07310

Pershing LLC

P.O. Box 2052

2,476,602 7.93 %

Jersey City, NJ 07303-2502

K-130

Name and Address	Number of Shares of Class	% of Class
UBS WM USA		
Omni Account M/F		
Attn: Department Manager	2,024,943	6.48 %
1000 Harbor Blvd., 5 th Floor		
Weehawken, NJ 07086-6761		
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	2,340,065	7.49 %
Class R		
Ascensus Trust Co FBO The Seabrook of Hilton Head Retirement Plan #208897 PO Box 10758 Fargo ND 58106-0758	78,444	5.93 %
Mid Atlantic Trust Co FBO Expolanka USA LLC 401K Profit 1251 Waterfront Place, Suite 525 Pittsburgh PA 15222-4228	133,944	10.13 %
MLPF&S For the Sole Benefit of Its Customers		
Attn: Fund Admin.	234,536	17.74 %
4800 Deer Lake Dr. East, 2 nd Floor		
Jacksonville, FL 32246-6484		
State Street Bank and Trust as TTEE and/or Cust. FBO ADP Access Product 1 Lincoln Street Boston, MA 02111-2901	168,539	12.75 %
Class K		

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Great-West Trust Company LLC FBO Employee Benefits Clients 401K 8515 E. Orchard Road, 2T2 Greenwood Village, CO 80111-5002	251,195	6.42 %
Great-West Trust Company LLC TTEE C Minnesota Surgical Associates 8515 E. Orchard Road, 2T2 Greenwood Village, CO 80111-5002	213,888	5.47 %
National Financial Services LLC 499 Washington Blvd. Jersey City, NJ 07310	2,141,616	54.76%
Voya Institutional Trust Company Qualified Plan 1 Orange Way, #B3N Windsor, CT 06095-4773	462,682	11.83 %

K-131

Name and Address	Number of Shares of Class	% of Class
Class I		
John Hancock Trust Company LLC FBO Ohio Education Association Defined Contribution Plan 690 Canton St., Suite 100 Westwood, MA 02090-2324	278,640	5.68 %
Matrix Trust Company as Agent for Newport Trust Company RG Johnson Co., Inc., 401(k) 35 Iron Point Circle, Suite. 300 Folsom, CA 95630-8589	252,645	5.15 %
National Financial Services LLC 499 Washington Blvd. Jersey City, NJ 07310	1,103,911	22.50 %
Nationwide Trust Company FSB C/O IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-2029	1,770,507	36.08 %
Class Z		
AB MMSRetirement Vintage 2020 1345 Avenue of the Americas New York, NY 10105-0302	513,184	6.10 %
AB MMSRetirement Vintage 2025 1345 Avenue of the Americas New York, NY 10105-0302	1,008,102	11.98 %
AB MMSRetirement Vintage 2030 1345 Avenue of the Americas New York, NY 10105-0302	1,236,547	14.69 %
AB MMSRetirement Vintage 2035 1345 Avenue of the Americas New York, NY 10105-0302	1,185,191	14.08 %
AB MMSRetirement Vintage 2040 1345 Avenue of the Americas	849,113	10.09 %

New York, NY 10105-0302

AB MMSRetirement Vintage 2045

1345 Avenue of the Americas

761,834 9.05 %

New York, NY 10105-0302

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AB SUSTAINABLE GLOBAL THEMATIC FUND, INC.

Name and Address	Number of Shares of Class	% of Class
Class A		
MLPF&S for the Sole Benefit of its Customers Attn: Fund Admin 4800 Deer Lake Drive East 2nd Floor Jacksonville, FL 32246-6484	523,369	9.98%
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	400,825	7.64%
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310	294,464	5.62%
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	346,930	6.62%
Wells Fargo Clearing Services LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	440,974	8.41%
Class C		
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	12,845	5.17%
	16,415	6.60%

Charles Schwab & Co.
Special Custody Acct For Benefit of Customers
Attention Mutual Funds
211 Main Street
San Francisco, CA 94105-1905

LPL Financial
Omnibus Customer Account
Attn: Mutual Fund Trading 14,161 5.70%
4707 Executive Drive
San Diego, CA 92121-3091

K-133

Name and Address	Number of Shares of Class	% of Class
MLPF&S for the Sole Benefit of its Customers Attn: Fund Admin 4800 Deer Lake Drive East 2nd Floor Jacksonville, FL 32246-6484	25,264	10.16%
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	41,054	16.52%
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310	30,552	12.29%
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	23,064	9.28 %
Wells Fargo Clearing Services LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	14,117	5.68 %
Advisor Class		
MLPF&S for the Sole Benefit of Its Customers Attn: Fund Admin 4800 Deer Lake Drive East 2nd Floor Jacksonville, FL 32246-6484	171,827	7.34 %
Class R		
Ascensus Trust Company FBO Asani Solutions 401(K) Plan P.O. Box 10758 Fargo, ND 58106-0758	2,154	7.54 %

Ascensus Trust Company FBO
Cyber Security 401(K) Plan
P.O. Box 10758
Fargo, ND 58106-0758

2,009	7.04 %
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State Street Bank and Trust as TTEE and/or
Cust FBO ADP Access Product
1 Lincoln Street
Boston, MA 02111-2901

4,967	17.40%
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K-134

Name and Address	Number of Shares of Class	% of Class
State Street Bank and Trust FBO ADP/MSDW Alliance Attn: Ralph Campbell 1 Lincoln Street Boston, MA 02111-2900	2,471	8.65 %
S.F. and R.G. TTEES INRAD Inc. 401K c/o Fascore LLC 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	2,841	9.95 %
Class K		
Great-West Trust Company, LLC TTEE FBO: Egglan's Best 401K PSP c/o Fascore LLC 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	1,417	6.22 %
Great-West Trust Company, LLC TTEE Cust. Eric J. Smith Architects 401K Plan PSP c/o Mutual Fund Trading 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	1,329	5.84 %
Great-West Trust Company, LLC TTEE FBO Lam Design Associates Inc. Profit Sharing Trust 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	3,589	15.76 %
Mid Atlantic Trust Co FBO Pacific Systems Group Inc. 401K PR 1251 Waterfront Pl., Ste. 525 Pittsburgh, PA 15222-4228	1,195	5.25 %
Mid Atlantic Trust Co FBO Seidner & Co. Inc. 401K PSP & Trust 1251 Waterfront Pl., Ste. 525	1,427	6.27 %

Pittsburgh, PA 15222-4228

State Street Bank and Trust as TTEE and/or Cust FBO ADP Access Product 1 Lincoln Street Boston, MA 02111-2900	2,596	11.40%
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Structural Associates Inc. TTEE FBO Structural Associates Inc. 401K c/o Fascore LLC 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	1,347	5.91 %
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K-135

Name and Address	Number of Shares of Class	% of Class
Class I		
Great-West Trust Company, LLC FBO Employee Benefits Clients 401K 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	1,887	11.59%
John Hancock Life Insurance Company (USA) Attn: RPS Trading OPS ST6 601 Congress Street Boston, MA 02210-2805	2,400	14.74%
State Street Bank and Trust Co. FBO Various Retirement Plans Transamerica Retirement Solutions Harrison, NY 10528	895	5.50 %
TD Ameritrade FBO PO Box 17748 Denver, CO 80217-0748	4,782	29.37%
TD Ameritrade FBO Voya Institutional Trust Co. as Custodian PSP for Emp. of AllianceBernstein L.P. FBO Benjamin Ruegsegger 749 Polo Road Bryn Mawr, PA 19010-3825	1,714	10.53%
TD Ameritrade FBO Voya Institutional Trust Co. as Custodian PSP for Emp. of AllianceBernstein L.P. FBO Daniel C. Roarty 1115 Red Rose Lane Villanova, PA 19085-2120	1,393	8.55 %

AB SUSTAINABLE INTERNATIONAL THEMATIC FUND, INC.

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Name and Address	Number of Shares of Class	% of Class
Class A		
MLPF&S for the Sole Benefit of its Customers		
Attn: Fund Admin		
4800 Deer Lake Drive East 2 nd Floor	1,160,291	10.53 %
Jacksonville, FL 32246-6484		
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	1,009,752	9.17 %
National Financial Services LLC		
For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept.		
499 Washington Blvd., 4 th Floor	696,988	6.33 %
Jersey City, NJ 07310		

K-136

Name and Address	Number of Shares of Class	% of Class
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	665,093	6.04 %
UBS WM USA Omni Account M/F Attn: Department Manager 1000 Harbor Boulevard, 5th Floor Weehawken, NJ 07086-6761	623,213	5.66 %
Wells Fargo Clearing Services LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	737,435	6.69 %
Class C		
Charles Schwab & Co. Special Custody Account For the Benefit of Customers Attn: Mutual Funds 211 Main Street San Francisco, CA 94105-4151	37,348	7.42 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Drive San Diego, CA 92121-3091	41,912	8.33 %
MLPF&S for the Sole Benefit of its Customers Attn: Fund Admin 4800 Deer Lake Drive East 2nd Floor Jacksonville, FL 32246-6484	45,153	8.97 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	52,888	10.51 %

National Financial Services LLC
For the Exclusive Benefit of Our Customers
Attn: Mutual Funds Dept. 80,975 16.09%
499 Washington Blvd., 4th Floor
Jersey City, NJ 07310

Raymond James
Omnibus for Mutual Funds
House Acct Firm 39,041 7.76 %
Attn: Courtney Waller
880 Carillon Parkway
St. Petersburg, FL 33716-1102

K-137

Name and Address	Number of Shares of Class	% of Class
UBS WM USA Omni Account M/F Attn: Department Manager 1000 Harbor Boulevard, 5th Floor Weehawken, NJ 07086-6761	35,987	7.15 %
Advisor Class		
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	212,155	8.86 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	202,592	8.46 %
UBS WM USA Omni Account M/F Attn: Department Manager 1000 Harbor Boulevard, 5th Floor Weehawken, NJ 07086-6761	365,022	15.24 %
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	338,696	14.14 %
Class R		
American United Life Cust. FBO American United Trust Separate Accounts Administration P.O. Box 368 Indianapolis, IN 46206-0368	29,928	6.06 %
Hartford Life Insurance Company Separate Account 401 Attn: UIT Operations	173,923	35.22 %

P.O. Box 2999
Hartford, CT 06104-2999

MLPF&S for the Sole Benefit of its Customers

Attn: Fund Admin
4800 Deer Lake Drive East 95,012 19.24 %
2nd Floor
Jacksonville, FL 32246-6484

Reliance Trust Company Custodian
FBO MassMutual Omnibus PPL 31,567 6.39 %
P.O. Box 28004
Atlanta, GA 30358-004

K-138

Name and Address	Number of Shares of Class	% of Class
Class K		
Ascensus Trust Company FBO International Integrated Solutions 401(K) Profit Sharing Plan & Trust P.O. Box 10758 Fargo, ND 58106-0758	44,822	11.65 %
Ascensus Trust Company FBO Stepbrand Enterprises 401(K) PS Plan & Trust P.O. Box 10758 Fargo, ND 58106-0758	24,198	6.29 %
Great-West Trust Company, LLC TTEE C Digestive Healthcare of Georgia PC 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	34,500	8.96 %
Great-West Trust Company, LLC TTEE FBO Eye Associates of Tallahassee PA Profit Sharing 401K 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	39,379	10.23 %
Great-West Trust Company, LLC TTEE FBO Hamilton Cardiology Associates P.A. 401K Plan 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	27,304	7.09 %
Lincoln Retirement Services Company, LLC FBO Texas A&M P.O. Box 7876 Fort Wayne, IN 46801-7876	21,103	5.48 %
Lincoln Retirement Services Company, LLC FBO University of Texas ORP P.O. Box 7876 Fort Wayne, IN 46801-7876	21,202	5.51 %

Class I

FIIOC as Agent for Certain Employee Benefit Plans 100 Magellan Way KWIC Covington, KY 41015-1987	29,175	27.43 %
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Nationwide Trust Company FSB c/o IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-2029	24,258	22.81 %
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Sanford Bernstein & Co. LLC 1 N. Lexington Avenue White Plains, NY 10601-1712	23,004	21.63 %
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K-139

Name and Address	Number of Shares of Class	% of Class
T. Rowe Price Retirement Plan Services Retirement Plan Clients 4515 Painters Mill Road Owings Mills, MD 21117-4903	13,726	12.91 %
TD Ameritrade FBO Voya Institutional Trust Co. as Custodian PSP for Emp. of AllianceBernstein L.P. FBO D.C.R. Villanova, PA 19085-2120	6,182	5.81 %

AB TRUST

AB Discovery Value Fund

Name and Address	Number of Shares of Class	% of Class
Class A		
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	1,675,188	8.19 %
MLPF&S for the Sole Benefit of Its Customers		
Attn: Fund Admin. 4800 Deer Lake Dr. East, 2 nd Floor Jacksonville, FL 32246-6484	2,356,465	11.52 %

National Financial Services LLC

For the Exclusive Benefit of Our Customers

Attn: Mutual Funds Dept. 1,298,507 6.35 %

499 Washington Blvd., 4th Floor

Jersey City, NJ 07310

Wells Fargo Clearing Services, LLC

Special Custody Account for the Exclusive Benefit of Customer

2801 Market St.

Saint Louis, MO 63103-2523

1,175,212 5.75 %

Class B

MLPF&S for the Sole Benefit of Its Customers

Attn: Fund Admin.

10,379 11.37 %

4800 Deer Lake Dr. East, 2nd Floor

Jacksonville, FL 32246-6484

Pershing LLC

P.O. Box 2052

Jersey City, NJ 07303-2052

5,462 5.98 %

K-140

Name and Address	Number of Shares of Class	% of Class
Class C		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	337,956	9.95 %
MLPF&S For the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr. East, 2 nd Floor Jacksonville, FL 32246-6484	947,210	27.89 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3 rd Floor Jersey City, NJ 07311	286,590	8.44 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310	365,778	10.77 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	251,905	7.42 %
Raymond James Omnibus for Mutual Funds Attn: Courtney Waller 880 Carillon Parkway St. Petersburg, FL 33716-1102	176,289	5.19 %
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market St. Saint Louis, MO 63103-2523	431,276	12.70 %
Advisor Class		

MAC & Co.
c/o The Bank of New York Mellon
500 Grant Street
Rm. 151-1010
Pittsburgh, PA 15219-2502

3,467,287 5.04 %

National Financial Services LLC
For the Exclusive Benefit of Our Customers
Attn: Mutual Funds Dept.
499 Washington Blvd., 4th Floor
Jersey City, NJ 07310

12,904,935 18.78 %

K-141

Name and Address	Number of Shares of Class	% of Class
Class R		
Hartford Life Insurance Company Separate Account 401 Attn: UIT Operations P.O. Box 2999 Hartford, CT 06104-2999	1,217,397	32.71 %
State Street Bank and Trust as TTEE And/or Cust. FBO ADP Access Product 1 Lincoln Street Boston, MA 02111-2901	514,638	13.83 %
Voya Retirement Insurance and Annuity Co. Qualified Plan 1 Orange Way, #B3N Windsor, CT 06095-4773	242,416	6.51 %
Class K		
Nationwide Life Insurance Company FSB C/O IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-2029	227,458	13.62 %
Nationwide Life Insurance Company QPVA C/O IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-2029	99,837	5.98 %
Class I		
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	1,601,184	14.01 %

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FIIOC as Agent for Certain Employee Benefit Plans 100 Magellan Way KWIC Covington, KY 41015-1987	880,538	7.71 %
Matrix Trust Company as Cust. FBO Paul Hastings LLP Defined Contrib. P.O. Box 52129 Phoenix, AZ 85072-2129	1,237,419	10.83 %
MG Trust Company Cust. FBO United of Omaha for Various Ret. Pla. 717 17 th Street, Suite 1300 Denver, CO 80202-3304	802,605	7.02 %

K-142

Name and Address	Number of Shares of Class	% of Class
MLPF&S For the Sole Benefit of Its Customers		
Attn: Fund Admin.	715,149	6.26 %
4800 Deer Lake Dr. East, 2 nd Floor		
Jacksonville, FL 32246-6484		
PIMS/Prudential Ret. Plan Nominee Trustee Custodian		
105 Toll Bros. 401(k) Savings Plan	1,186,754	10.39 %
250 Gibraltar Rd.		
Horsham, PA 19044-2323		
Voya Institutional Trust Company Qualified Plan		
1 Orange Way, #B3N	3,154,055	27.60 %
Windsor, CT 06095-4773		
Class Z		
AB Wealth Appreciation Strategy APPVM		
1345 Avenue of the Americas	1,452,184	5.12 %
New York, NY 10105-0302		
Charles Schwab & Co. For the Exclusive Benefit of Customers		
Mutual Fund Operations	1,900,150	6.70 %
211 Main Street		
San Francisco, CA 94105-1905		
FIIOC As Agent For Certain Employee Benefits Plans		
100 Magellan Way KWIC	13,254,057	46.75 %
Covington, KY 41015-1987		

AB International Value Fund

Name and Address

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Number % of
of Shares Class
of Class

Class A

Hartford Life Insurance Company
Separate Account 401
Attn: UIT Operations
P.O. Box 2999
Hartford, CT 06104-2999

628,188 6.16%

MLPF&S for the Sole Benefit of Its
Customers

Attn: Fund Admin

957,728 9.39%

4800 Deer Lake Dr. East, 2nd Floor

Jacksonville, FL 32246-6484

Morgan Stanley Smith Barney

Harborside Financial Center

759,091 7.44%

Plaza II, 3rd Floor

Jersey City, NJ 07311

K-143

Name and Address	Number of Shares of Class	% of Class
National Financial Services LLC		
For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept.	1,003,198	9.83 %
499 Washington Blvd., 4 th Floor		
Jersey City, NJ 07310		
Pershing LLC		
P.O. Box 2052	588,946	5.77 %
Jersey City, NJ 07303-2052		
Wells Fargo Clearing Services, LLC		
Special Custody Account for the Exclusive Benefit of Customer		
2801 Market St.	558,305	5.47 %
Saint Louis, MO 63103-2523		
Class B		
National Financial Services LLC		
For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept.	4,902	10.36 %
499 Washington Blvd., 4 th Floor		
Jersey City, NJ 07310		
Class C		
Charles Schwab & Co.		
Special Custody Acct FBO Customers		
Attn: Mutual Funds	47,898	5.91 %
211 Main Street		
San Francisco, CA 94105-1905		
LPL Financial		
Omnibus Customer Account	74,614	9.20 %
Attn: Mutual Fund Trading		

4707 Executive Dr.
San Diego, CA 92121-3091

MLPF&S For the Sole Benefit of Its Customers

Attn: Fund Admin.

186,708 23.03%

4800 Deer Lake Dr. East, 2nd Floor

Jacksonville, FL 32246-6484

Morgan Stanley Smith Barney

Harborside Financial Center

85,169 10.51%

Plaza II, 3rd Floor

Jersey City, NJ 07311

National Financial Services LLC

For the Exclusive Benefit of Our Customers

Attn: Mutual Funds Dept.

111,855 13.80%

499 Washington Blvd., 4th Floor

Jersey City, NJ 07310

K-144

Name and Address	Number of Shares of Class	% of Class
UBS WM USA		
Omni Account M/F		
Attn: Dept. Manager	53,820	6.64 %
1000 Harbor Blvd., 5 th Floor		
Weehawken, NJ 07086-6761		
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market St. Saint Louis, MO 63103-2523	43,966	5.42 %
Advisor Class		
Morgan Stanley Smith Barney		
Harborside Financial Center		
Plaza II, 3 rd Floor	276,852	7.39 %
Jersey City, NJ 07311		
National Financial Services LLC		
For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept.	366,022	9.77 %
499 Washington Blvd., 4 th Floor		
Jersey City, NJ 07310		
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	308,914	8.25 %
Sanford Bernstein & Co. LLC 1 N. Lexington Ave. White Plains, NY 10601-1785	316,008	8.44 %

UBS WM USA

Omni Account M/F

Attn: Department Manager 722,689 19.30%

1000 Harbor Blvd 5th Floor

Weehawken, NJ 07086-6761

Wells Fargo Clearing Services, LLC

Special Custody Account for the Exclusive Benefit of Customer 322,256 8.60 %

2801 Market St.

Saint Louis, MO 63103-2523

Class R

Hartford Life Insurance Company

Separate Account 401

Attn: UIT Operations 504,369 46.61%

P.O. Box 2999

Hartford, CT 06104-2999

K-145

Name and Address	Number of Shares of Class	% of Class
MLPF&S For the Sole Benefit of Its Customers		
Attn: Fund Admin.	129,660	11.98 %
4800 Deer Lake Dr. East, 2 nd Floor		
Jacksonville, FL 32246-6484		
Reliance Trust Co. Custodian FBO MassMutual Omnibus PPL P.O. Box 28004 Atlanta, GA 30358-0004	148,703	13.74 %
Class K		
Great-West Trust Company LLC TTEE C Minnesota Surgical Associates 8515 E. Orchard Road, 2T2 Greenwood Village, CO 80111-5002	80,544	9.52 %
Matrix Trust Company as Trustee FBO EPlan Services Group Trust C/O Mutual Funds P.O. Box 52129 Phoenix, AZ 85072-2129	93,950	11.11 %
Nationwide Trust Company FSB C/O IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-2029	144,808	17.12 %
Class I		
VRSCO FBO AIGFSB Custodian Trustee FBO Kelsey-Seybold Health System 401K 2727-A Allen Parkway 4-D1 Houston, TX 77019-2107	359,409	57.93 %

AB Value Fund

Name and Address	Number of Shares of Class	% of Class
Class A		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	218,861	6.48 %
MLPF&S for the Sole Benefit		
of Its Customers		
Attn: Fund Admin. 4800 Deer Lake Dr. East, 2 nd Floor Jacksonville, FL 32246-6484	477,126	14.12 %

K-146

Name and Address	Number of Shares of Class	% of Class
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3 rd Floor Jersey City, NJ 07311 National Financial Services LLC For the Exclusive Benefit of Our Customers	255,205	7.55 %
Attn: Mutual Funds Dept. 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310	170,646	5.05 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	225,873	6.68 %
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market St. Saint Louis, MO 63103-2523	233,812	6.92 %
Class B		
Ascensus Trust Company C/F M.E. Roth Conversion Durham, NC 27701-1536	2,347	5.47 %
Charles Schwab & Co. Special Custody Acct FBO Customers Attn: Mutual Funds 211 Main Street San Francisco, CA 94105-1905	6,325	14.73 %
J.M.D. Cust. FBO D.D. Jr.	2,370	5.52 %

Under-NY UTMA
 Farmingdale, NY 11735-1913

P.S. TOD/DE Whitestone, NY 11357-1720	2,564	5.97 %
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Class C

Capital Bank & Trust Company TTEE F ESS Retirement Savings Plan 401K c/o Fascore LLC 8515 E. Orchard Road, 2T2 Greenwood Village, CO 80111-5002	14,182	6.54 %
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Charles Schwab & Co. Special Custody Acct FBO Customers Attn: Mutual Funds 211 Main Street San Francisco, CA 94105-1905	13,907	6.41 %
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K-147

Name and Address	Number of Shares of Class	% of Class
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	16,335	7.53 %
MLPF&S for the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr. East, 2 nd Floor Jacksonville, FL 32246-6484	24,615	11.35 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310	35,851	16.54 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	14,949	6.90 %
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market St. Saint Louis, MO 63103-2523	15,681	7.32 %
Class R American United Life Cust. FBO American United Trust Separate Accounts Administration P.O. Box 368 Indianapolis, IN 46206-0368	5,171	9.24 %

Matrix Trust Company Cust. FBO

Alliant Integrators, Inc.

3,531 6.31 %

717 17th Street, Suite 1300

Denver, CO 80202-3304

Matrix Trust Company Cust. FBO

Pimlico, LLC

2,993 5.35 %

717 17th Street, Suite 1300

Denver, CO 80202-3304

Matrix Trust Company Cust. FBO

Stooss USA, Inc.

6,370 11.38 %

717 17th Street, Suite 1300

Denver, CO 80202-3304

MLPF&S for the Sole Benefit of Its Customers

Attn: Fund Admin.

15,846 28.31 %

4800 Deer Lake Dr., East. 2nd Floor

Jacksonville, FL 32246-6484

K-148

Name and Address	Number of Shares of Class	% of Class
Class K		
Ascensus Trust Company FBO Stepbrand Enterprises 401(k) Profit Sharing Plan and Trust P.O. Box 10758 Fargo, ND 58106-0758	37,970	6.62 %
Great-West Trust Company LLC TTEE FBO Philadelphia Eye Associates Ltd. 401(K) Profit Sharing Plan 8515 E. Orchard Road, 2T2 Greenwood Village, CO 80111-5002	29,095	5.07 %
Great-West Trust Company LLC TTEE C Cranemere LLC 401K 8515 E. Orchard Road, 2T2 Greenwood Village, CO 80111-5002	34,658	6.04 %
Great-West Trust Company LLC TTEE C Crystal Steel 401K Savings Plan 8515 E. Orchard Road, 2T2 Greenwood Village, CO 80111-5002	30,043	5.24 %
Class I		
A.F.G., B.L.J.		
or D.T. TTEES		
Cadaret, Grant 401K/PSP	76,860	43.32 %
110 W Fayette Street 5 th Floor		
Syracuse, NY 13202-1324		
MLPF&S for the Sole Benefit of Its Customers	99,545	56.11 %
Attn: Fund Admin.		
4800 Deer Lake Dr., East. 2 nd Floor		

Jacksonville, FL 32246-6484

AB UNCONSTRAINED BOND FUND, INC.

Name and Address	Number of Shares of Class	% of Class
Class A		
MLPF&S for the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr. East, 2nd Floor Jacksonville, FL 32246-6484	291,754	7.93 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310	1,038,339	28.22 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	349,514	9.50 %

K-149

Name and Address	Number of Shares of Class	% of Class
Sanford Bernstein & Co. LLC 1 N. Lexington Ave. White Plains, NY 10601-1712	232,608	6.32 %
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market St. Saint Louis, MO 63103-2523	261,823	7.11 %
Class B		
Charles Schwab & Co., Inc. Special Custody Account FBO Customers Attn: Mutual Funds Operations 211 Main Street San Francisco, CA 94105-1905	993	6.94 %
Ascensus Trust Company C/F D.A. SEP IRA Ridgefield Park, NJ 07660-1557	1,816	12.69%
Ascensus Trust Company Fredericktown Veterinary Clinic R.A.L. Danville, OH 43014-9502	1,060	7.41 %
Ascensus Trust Company Phoenix Boys Choir G.W.S. Phoenix, AZ 85014-1056	2,732	19.08%
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	742	5.19 %
MLPF&S for the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr. East, 2nd Floor Jacksonville, FL 32246-6484	2,040	14.25%

Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	3,608	25.20%
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Class C

MLPF&S for the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Dr. East, 2nd Floor Jacksonville, FL 32246-6484	204,116	15.97%
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K-150

Name and Address	Number of Shares of Class	% of Class
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3rd Floor Jersey City, NJ 07311	147,241	11.52 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310	350,897	27.45 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	119,497	9.35 %
Raymond James Omnibus for Mutual Funds House Account Firm Attn: Courtney Waller 880 Carillon Parkway St. Petersburg, FL 33716-1102	134,655	10.53 %
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market St. Saint Louis, MO 63103-2523 Advisor Class	126,931	9.93 %
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	1,616,217	6.17 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310-1995	2,532,661	9.66 %
Pershing LLC P.O. Box 2052	1,540,620	5.88 %

Jersey City, NJ 07303-2052

UBS WM USA

Omni Account M/F

Attn: Department Manager

1000 Harbor Blvd., 5th Floor

Weehawken, NJ 07086-6761

2,034,058 7.76 %

K-151

Name and Address	Number of Shares of Class	% of Class
Class R		
Matrix Trust Company Cust. FBO Miscor Group 401(K) Plan & Trust 717 17th Street, Suite 1300 Denver, CO 80202-3304	81,083	60.51 %
Minnesota Life Insurance Company 400 Robert Street N., Ste. A Saint Paul, MN 55101-2099	27,493	20.52 %
Structura Inc. Trustee FBO Structura Inc. 401K Plan c/o Fascore LLC 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	7,036	5.25 %
Class K		
Great-West Trust Company LLC TTEE C Stoner, Albright & Company Retirement Plan 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	17,665	53.85 %
Great-West Trust Co. LLC TTEE FBO Associated Specialist in Nephrology and Hypertension LLC 401K PSP 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	7,347	22.40 %
Mid Atlantic Trust Company FBO B C Flynn Contracting Corp 401K P 1251 Waterfront Place, Suite 525 Pittsburgh, PA 15222-4228	1,751	5.34 %
Mid Atlantic Trust Company FBO Roadside Development LLC 401(K) PRO 1251 Waterfront Place, Suite 525 Pittsburgh, PA 15222-4228	3,356	10.23 %
Class I		
	3,512,891	79.61 %

National Financial Services LLC
499 Washington Boulevard
Jersey City, NJ 07310-1995

Sanford Bernstein & Co. LLC
1 N. Lexington Ave.
White Plains, NY 10601-1712
Class Z

893,489 20.25%

AB MMSRetirement Vintage 2015
1345 Avenue of the Americas
New York, NY 10105-0302

104,192 6.73 %

K-152

Name and Address	Number of Shares of Class	% of Class
AB MMSRetirement Vintage 2020 1345 Avenue of the Americas New York, NY 10105-0302	239,266	15.45 %
AB MMSRetirement Vintage 2025 1345 Avenue of the Americas New York, NY 10105-0302	351,114	22.68 %
AB MMSRetirement Vintage 2030 1345 Avenue of the Americas New York, NY 10105-0302	143,302	9.25 %
AB Multi-Manager Retirement 2020 CIT 1345 Avenue of the Americas New York, NY 10105-0302	121,524	7.85 %
AB Multi-Manager Retirement 2025 CIT 1345 Avenue of the Americas New York, NY 10105-0302	177,809	11.48 %
AB Multi-Manager Retirement 2030 CIT 1345 Avenue of the Americas New York, NY 10105-0302	185,219	11.96 %

AB VARIABLE PRODUCTS SERIES FUND, INC.

AB Balanced Wealth Strategy Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
American General Life Insurance Company of Delaware	2,099,590	89.84 %

Attn: Patrick Booker
2727A Allen Parkway, MS Life 4-D1
Houston, TX 77019-2107

The United States Life Insurance
Company in the City of New York
Attn: Chris Beauman 129,884 5.56 %
2727A Allen Parkway, MS 4-D1
Houston, TX 77019-2116

Class B

Delaware Life Insurance Company
Variable Account F 3,791,670 17.64 %
1601 Trapelo Road, Suite 30
Waltham, MA 02451-7360

K-153

Name and Address	Number of Shares of Class	% of Class
GE Life and Annuity Assurance Company 6610 W. Broad Street Building 3, 5th Floor Attn: Variable Accounting Richmond, VA 23230-1702	1,308,291	6.09 %
SunAmerica Annuity and Life Assurance Company Attn: Variable Annuity Accounting 2727A Allen Parkway, 4 DI Houston, TX 77019	1,605,769	7.47 %
Talcott Resolution Life and Annuity Insurance Company P.O. Box 5051 Hartford, CT 06102-5051	2,744,790	12.77 %
Talcott Resolution Life Insurance Company P.O. Box 5051 Hartford, CT 06102-5051	1,284,174	5.97 %
Transamerica Life Insurance Co. Separate Account VA B 4333 Edgewood Road NE, MS 4410 Cedar Rapids, IA 52499-0001	8,224,458	38.25 %

AB Dynamic Asset Allocation Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
Nationwide Life Insurance Co. NWVAII c/o IPO Portfolio Accounting	4,148	14.38 %

P.O. Box 182029
Columbus, OH 43218-2029

Nationwide Life Insurance Co.
NWVLI4
c/o IPO Portfolio Accounting
P.O. Box 182029
Columbus, OH 43218-2029

2,003 6.94 %

Nationwide Life Insurance Co.
NWVLI7
c/o IPO Portfolio Accounting
P.O. Box 182029
Columbus, OH 43218-2029

11,114 38.52%

Nationwide Life & Annuity Insurance Co.
NWVL-G
c/o IPO Portfolio Accounting
P.O. Box 182029
Columbus, OH 43218-2029

11,576 40.12%

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Name and Address	Number of Shares of Class	% of Class
Class B		
Delaware Life Insurance Company Variable Account F 1601 Trapelo Road, Suite 30 Waltham, MA 02451-7360	6,635,197	14.47 %
Minnesota Mutual Life Mail Station A6-4105 400 Robert Street N Saint Paul, MN 55101-2099	13,280,326	28.96 %
Ohio National Life Insurance Co. FBO Its Separate Accounts One Financial Way Attn: Cathy Gehr, Mail Code 56 Cincinnati, OH 45242-5800	22,028,445	48.04 %

AB Global Thematic Growth Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
American General Life Insurance Company of Delaware Attn: Patrick Booker 2727A Allen Parkway, MS Life 4-D1 Houston, TX 77019-2107	275,677	21.02 %
Lincoln Life Variable Annuity Account N - 6 HO2 Fund Accounting 1300 S. Clinton Street Fort Wayne, IN 46802-3506	505,100	38.52 %
	245,935	18.76 %

Transamerica Advisors Life Insurance Company
Merrill Lynch Life Variable Annuity Separate Account A
4333 Edgewood Road NE, MS 4410
Cedar Rapids, IA 52499-0001

Transamerica Financial Life Insurance Company
ML of New York Variable Annuity Separate Account A 74,198 5.66 %
4333 Edgewood Road NE, MSC 4410
Cedar Rapids, IA 52499-3830

Class B
IDS Life Insurance Co.
707 2nd Avenue SO 265,007 7.76 %
Route H19/5889
Minneapolis, MN 55402-2405

K-155

Name and Address	Number of Shares of Class	% of Class
Lincoln Life Variable Annuity Account N - 6 HO2 Fund Accounting 1300 S. Clinton Street Fort Wayne, IN 46802-3506	1,974,011	57.79%

AB Growth Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
American General Life Insurance Company of Delaware Attn: Patrick Booker 2727A Allen Parkway, MS Life 4-D1 Houston, TX 77019-2107	427,856	48.30%
American General Life Insurance Company of Delaware Attn: Patrick Booker 2727A Allen Parkway, MS Life 4-D1 Houston, TX 77019-2107	53,091	5.99%
Great-West Life & Annuity Insurance Company FBO Schwab Annuities 8515 E. Orchard Road Greenwood Village, CO 80111-5002	102,956	11.62%
Great-West Life & Annuity FBO Schwab Annuities Advisor Choice 8515 E. Orchard Road 2T2 Greenwood Village, CO 80111-5002	84,759	9.57%
Great-West Life & Annuity FBO Schwab Annuities One Source CHO 8515 E. Orchard Road 2T2 Greenwood Village, CO 80111-5002	49,553	5.59%

The United States Life Insurance
Company in the City of New York
Attn: Chris Beauman
2727A Allen Parkway, MS 4-D1
Houston, TX 77019-2116

75,658 8.54 %

Class B

Allstate Life Insurance Company
3100 Sanders Road, #N4A
Northbrook, IL 60062-7156

404,129 37.00 %

American General Life
Insurance Company of Delaware
Attn: Ed Bacon
2727A Allen Parkway, #4D1
Houston, TX 77019-2107

302,456 27.69 %

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Name and Address	Number of Shares of Class	% of Class
Lincoln Life and Annuity Company of New York Separate Acct L 1300 South Clinton Street Fort Wayne, IN 46802-3518	59,597	5.46 %
SunAmerica Annuity and Life Assurance Company Attn: Variable Annuity Accounting 2727A Allen Parkway, 4 DI Houston, TX 77019	186,293	17.06%

AB Growth and Income Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
American General Life Insurance Company of Delaware Attn: Patrick Booker 2727A Allen Parkway MS Life 4-D1 Houston, TX 77019-2107	1,030,759	23.33 %
Jefferson National Life Insurance Company Attn: Separate Accounts 10350 Ormsby Park Place, Suite 600 Louisville, KY 40223-6175	362,918	8.21 %
Lincoln Life Variable Annuity Account N - 6 HO2 Fund Accounting 1300 S. Clinton Street Fort Wayne, IN 46802-3506	915,377	20.72 %
Nationwide Life Insurance Company NWVL14 c/o IPO Portfolio Accounting P.O. Box 182029	689,900	15.62 %

Columbus, OH 43218-2029

Class B

GE Life and Annuity
Assurance Company
6610 W. Broad Street
Building 3, 5th Floor
Attn: Variable Accounting
Richmond, VA 23230-1702
1,437,806 5.49 %

Guardian Insurance &
Annuity Co., Inc.
Attn: James Nemeth
3900 Burgess Place
Retirement Solutions FM&C NRO
Bethlehem, PA 18017-9097
4,475,946 17.09 %

K-157

Name and Address	Number of Shares of Class	% of Class
Guardian Insurance & Annuity Co., Inc. Attn: James Nemeth 3900 Burgess Place Retirement Solutions FM&C NRO Bethlehem, PA 18017-9097	2,286,773	8.73 %
IDS Life Insurance Corp. 707 2 nd Ave. SO	4,337,681	16.57 %
Route H19/5889 Minneapolis, MN 55402-2405		
Transamerica Life Insurance Company Separate Account VA B 4333 Edgewood Road, NE MS 4410 Cedar Rapids, IA 52499-0001	7,183,974	27.44 %

AB Intermediate Bond Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
American General Life Insurance Company of Delaware Attn: Patrick Booker 2727A Allen Parkway, MS Life 4-D1 Houston, TX 77019-2107	2,717,322	79.59 %
The United States Life Insurance Company in the City of New York Attn: Chris Beauman 2727A Allen Parkway, MS 4D-1 Houston, TX 77019-2116	286,399	8.39 %

Class B

Allmerica Financial Life Insurance & Annuity Company One Security Benefit Place Topeka, KS 66636-1000	113,812	8.63 %
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SunAmerica Annuity and Life Assurance Company Attn: Variable Annuity Accounting 2727A Allen Parkway, 4-DI Houston, TX 77019	919,163	69.71 %
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Talcott Resolution Life Insurance Company P.O. Box 5051 Hartford, CT 06102-5051	148,634	11.27 %
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AB International Growth Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
American General Life Insurance Company of Delaware Attn: Patrick Booker 2727A Allen Parkway, MS Life 4-D1 Houston, TX 77019-2107	574,943	48.26%
Great-West Life & Annuity FBO Variable Annuity 1 Oncesource 8515 E. Orchard Road, #2T2 Greenwood Village, CO 80111-5002	288,945	24.25%
Great-West Life & Annuity FBO Variable Annuity 1 Select 8515 E. Orchard Road, #2T2 Greenwood Village, CO 80111-5002	91,296	7.66 %
Class B		
AXA Equitable Life Separate Account-70 1290 Avenue of the Americas 11th Floor New York, NY 10104-1472	395,762	25.31%
Delaware Life Insurance Company Variable Account F 1601 Trapelo Road, Suite 30 Waltham, MA 02451-7360	323,785	20.71%
Hartford Life & Annuity Separate Account Attn: UIT Operations P.O. Box 2999 Hartford, CT 06104-2999	141,409	9.04 %
SunAmerica Annuity and Life Assurance Company	179,010	11.45%

Attn: Variable Annuity Accounting
2727A Allen Parkway, 4 DI
Houston, TX 77019

Talcott Resolution Life and Annuity Insurance Company P.O. Box 5051 Hartford, CT 06102-5051	242,881	15.53 %
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Talcott Resolution Life Insurance Company P.O. Box 5051 Hartford, CT 06102-5051	101,482	6.49 %
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AB International Value Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
American General Life Insurance Company of Delaware Attn: Patrick Booker 2727A Allen Parkway, MS Life 4-D1 Houston, TX 77019-2107	314,414	6.75 %
AUL American Individual Variable Annuity Unit Trust 1 Separate Accounts Administration P.O. Box 368 Indianapolis, IN 46206-0368	1,189,030	25.53 %
Lincoln Life Variable Annuity Account N - 6 HO2 Fund Accounting 1300 S. Clinton Street Fort Wayne, IN 46802-3506	1,011,773	21.72 %
Nationwide Life Insurance Company NWPP c/o IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-2029	382,184	8.21 %
Nationwide Life Insurance Company NWVLI4 c/o IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-2029	405,573	8.71 %
Class B		
Delaware Life Insurance Company Variable Account F 1601 Trapelo Road, Suite 30 Waltham, MA 02451-7360	2,055,682	8.03 %
GE Life and Annuity Assurance Company	2,566,771	10.03 %

6610 W. Broad Street
Building 3, 5th Floor
Attn: Variable Accounting
Richmond, VA 23230-1702

IDS Life Insurance Corp. 707 2nd Ave. SO Route H19/5889 Minneapolis, MN 55402-2405	10,773,967	42.10%
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Talcott Resolution Life and Annuity Insurance Company P.O. Box 5051 Hartford, CT 06102-5051	4,020,995	15.71%
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Name and Address	Number of Shares of Class	% of Class
Talcott Resolution Life Insurance Company P.O. Box 5051 Hartford, CT 06102-5051	2,132,283	8.33 %

AB Large Cap Growth Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
American General Life Insurance Company of Delaware Attn: Patrick Booker 2727A Allen Parkway, MS Life 4-D1 Houston, TX 77019-2107	530,018	15.33 %
American General Life Insurance Company of Delaware Attn: Patrick Booker 2727A Allen Parkway, MS Life 4-D1 Houston, TX 77019-2107	262,153	7.58 %
Transamerica Advisors Life Insurance Company Merrill Lynch Life Variable Annuity Separate Account A 4333 Edgewood Road NE, MS 4410 Cedar Rapids, IA 52499-0001	1,486,492	43.00 %
Transamerica Advisors Life Insurance Company Merrill Lynch Variable Life Separate Account 4333 Edgewood Road NE, MS 4410 Cedar Rapids, IA 52499-0001	248,905	7.20 %
Transamerica Advisors Life Insurance Company Merrill Lynch Variable Life Separate Account II 4333 Edgewood Road NE, MS 4410 Cedar Rapids, IA 52499-0001	279,022	8.07 %

Class B

Allstate Life Insurance Company 3100 Sanders Road, #N4A Northbrook, IL 60062-7156	259,875	6.42 %
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American General Life Insurance Company of Delaware Attn: Ed Bacon 2727A Allen Parkway, #4D1 Houston, TX 77019-2107	332,806	8.22 %
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GE Life and Annuity Assurance Company 6610 W. Broad Street Building 3, 5th Floor Attn: Variable Accounting Richmond, VA 23230-1702	433,053	10.69 %
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Name and Address	Number of Shares of Class	% of Class
IDS Life Insurance Company 707 2nd Ave. SO Route H19/5889 Minneapolis, MN 55402-2405	1,428,375	35.28 %
SunAmerica Annuity and Life Assurance Company Attn: Variable Annuity Accounting 2727A Allen Parkway, 4 DI Houston, TX 77019	223,379	5.52 %
Transamerica Life Insurance Company Separate Account VA B 4333 Edgewood Road NE, MS 4410 Cedar Rapids, IA 52499-0001	549,561	13.57 %

AB Real Estate Investment Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
American General Life Insurance Company of Delaware Attn: Patrick Booker 2727A Allen Parkway, MS Life 4-D1 Houston, TX 77019-2107	714,366	21.10 %
Great West Life & Annuity Insurance Company FBO Schwab Annuities Attn: Investment Div. 8515 E. Orchard Road, #2T2 Englewood, CO 80111-5002	1,712,744	50.58 %
Nationwide Life Insurance Company NWPP	437,872	12.93 %

c/o IPO Portfolio Accounting
P.O. Box 182029
Columbus, OH 43218-2029

Class B

AXA Equitable Life Separate Account-70
1290 Avenue of the Americas
11th Floor
New York, NY 10104-1472

634,107	31.24%
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Guardian Insurance & Annuity Co. Inc.
3900 Burgess Place
Bethlehem, PA 18017-9097

117,602	5.79 %
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Guardian Insurance & Annuity Co. Inc.
3900 Burgess Place
Bethlehem, PA 18017-9097

342,222	16.86%
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Name and Address	Number of Shares of Class	% of Class
Hartford Life & Annuity Separate Account Attn: UIT Operations P.O. Box 2999 Hartford, CT 06104-2999	146,057	7.20 %
Midland National Life Insurance Co. Attn: Product Valuation SE2 5801 SW 6th Avenue Topeka, KS 66636-1001	274,075	13.50%
SunAmerica Annuity and Life Assurance Company Attn: Variable Annuity Accounting 2727A Allen Parkway, 4 DI Houston, TX 77019	313,045	15.42%

AB Small Cap Growth Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
American General Life Insurance Company of Delaware Attn: Patrick Booker 2727A Allen Parkway, MS Life 4-D1 Houston, TX 77019-2107	705,755	52.55 %
Principal Life Insurance Co. Cust. FBO Principal Executive Variable Universal Life II Attn: Individual Life Accounting 711 High Street Des Moines, IA 50392-0001	67,470	5.02 %
Principal Life Insurance Co. Cust. FBO Principal Investment Plus Variable Annuity Attn: Individual Life Accounting	268,225	19.97%

711 High Street
Des Moines, IA 50392-0001

Class B

GE Life and Annuity Assurance Company 6610 W. Broad Street Building 3, 5th Floor Attn: Variable Accounting Richmond, VA 23230-1702	883,491	36.64%
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Ohio National Life Insurance Co. FBO Its Separate Accounts One Financial Way Attn: Cathy Gehr, Mail Code 56 Cincinnati, OH 45242-5800	1,044,165	43.31%
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Name and Address	Number of Shares of Class	% of Class
SunAmerica Annuity and Life Assurance Company Attn: Variable Annuity Accounting 2727A Allen Parkway, 4 DI Houston, TX 77019	288,250	11.96%

AB Small-Mid Cap Value Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
American General Life Insurance Company of Delaware Attn: Patrick Booker 2727A Allen Parkway, MS Life 4-D1 Houston, TX 77019-2107	645,662	6.30 %
Lincoln Life Variable Annuity Account N - 6 HO2 Fund Accounting 1300 S. Clinton Street Fort Wayne, IN 46802-3506	5,571,740	54.35 %
Nationwide Life Insurance Company NWVLI4 c/o IPO Portfolio Accounting P.O. Box 182029 Columbus, OH 43218-2029	958,323	9.35 %
New York Life Insurance and Annuity Corporation 30 Hudson Street Jersey City, NJ 07302-4600	757,731	7.39 %
Class B		
Hartford Life & Annuity Separate Account	1,108,095	5.32 %

Attn: UIT Operations
P.O. Box 2999
Hartford, CT 06104-2999

Lincoln Life Variable Annuity
Account N - 6 HO2 Fund Accounting
1300 S. Clinton Street
Fort Wayne, IN 46802-3506

9,574,018	45.93 %
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Nationwide Life Insurance Company
NWVA2
c/o IPO Portfolio Accounting
P.O. Box 182029
Columbus, OH 43218-2029

5,021,806	24.09 %
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Talcott Resolution Life and
Annuity Insurance Company
P.O. Box 5051
Hartford, CT 06102-5051
K-164

1,201,799	5.77 %
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AB Value Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
Transamerica Advisors Life Insurance Company Merrill Lynch Life Variable Annuity Separate Account A 4333 Edgewood Road NE, MS 4410 Cedar Rapids, IA 52499-0001	59,441	90.94 %
Class B		
American General Life Insurance Company of Delaware Attn: Ed Bacon 2727A Allen Parkway, #4D1 Houston, TX 77019-2107	603,694	15.97 %
SunAmerica Annuity and Life Assurance Company Attn: Variable Annuity Accounting 2727A Allen Parkway, 4 DI Houston, TX 77019	436,859	11.56 %
Talcott Resolution Life and Annuity Insurance Company P.O. Box 5051 Hartford, CT 06102-5051	1,546,165	40.91 %
Talcott Resolution Life Insurance Company P.O. Box 5051 Hartford, CT 06102-5051	918,365	24.30 %

AB Global Risk Allocation – Moderate Portfolio

Name and Address	Number of Shares of Class	% of Class
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Class A

AllianceBernstein L.P.
Attn: Brett Mather-Seed Account 1,100 100.00%
1 N. Lexington Avenue
White Plains, NY 10601-1712

Class B

Ohio National Life Insurance Co.
FBO Its Separate Accounts
One Financial Way 8,718,641 98.28 %
Attn: Cathy Gehr, Mail Code 56
Cincinnati, OH 45242-5800

K-165

ALLIANCE CALIFORNIA MUNICIPAL INCOME FUND, INC.

Name and Address	Number of Shares of Class	% of Class
Common Shares		
The Bank of New York Mellon Attn: Jennifer May 525 William Penn Place Suite 153-0400 Pittsburgh, PA 15259	1,341,441	15.71 %
Charles Schwab & Co., Inc. Attn: Christina Young 2423 E. Lincoln Drive Phoenix, AZ 85016-1215	940,612	11.02 %
Merrill Lynch, Pierce, Fenner & Smith Attn: Earl Weeks 4804 Deerlake Drive East Jacksonville, FL 32246	457,464	5.36 %
Morgan Stanley Smith Barney LLC Attn: John Barry	494,993	5.80 %
1300 Thames Street, 6 th Floor Baltimore, MD 21231		
National Financial Services LLC Attn: Joanne Padarathsingh 499 Washington Blvd. Jersey City, NJ 07310	699,568	8.19 %
Wells Fargo Clearing Services LLC Attn: Matt Buettner 2801 Market Street St. Louis, MO 63103	1,047,441	12.27 %

ALLIANCEBERNSTEIN GLOBAL HIGH INCOME FUND, INC.

Name and Address	Number of Shares of Class	% of Class
Common Shares		
Charles Schwab & Co., Inc. Attn: Christina Young 2423 E. Lincoln Drive Phoenix, AZ 85016-1215	8,492,588	10.01 %
Merrill Lynch, Pierce, Fenner & Smith Attn: Earl Weeks 4804 Deerlake Drive East Jacksonville, FL 32246	5,907,484	6.96 %
Morgan Stanley Smith Barney LLC Attn: John Barry 1300 Thames Street, 6 th Floor Baltimore, MD 21231 K-166	10,370,456	12.22 %

Name and Address	Number of Shares of Class	% of Class
National Financial Services LLC Attn: Joanne Padarathsingh 499 Washington Blvd. Jersey City, NJ 07310	11,724,589	13.82 %
Pershing LLC Attn: Joseph Lavara One Pershing Plaza Jersey City, NJ 07399	5,937,388	7.00 %
TD Ameritrade Clearing, Inc. Attn: Suzanne Brodd 200 S. 108 th Ave. Omaha, NE 68154-2631	8,355,403	9.85 %
Wells Fargo Clearing Services LLC Attn: Matt Buettner 2801 Market Street St. Louis, MO 63103	5,334,020	6.29 %

ALLIANCEBERNSTEIN NATIONAL MUNICIPAL INCOME FUND, INC.

Name and Address	Number of Shares of Class	% of Class
Common Shares		
The Bank of New York Mellon Attn: Jennifer May 525 William Penn Place Suite 153-0400 Pittsburgh, PA 15259	2,229,589	7.80 %
Charles Schwab & Co., Inc. Attn: Christina Young	2,386,562	8.30 %

2423 E. Lincoln Drive
Phoenix, AZ 85016-1215

Merrill Lynch, Pierce, Fenner & Smith

Attn: Earl Weeks
4804 Deerlake Drive East
Jacksonville, FL 32246

1,599,139	5.60	%
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Morgan Stanley Smith Barney LLC

Attn: John Barry
2,802,303 10.10%

1300 Thames Street, 6th Floor

Baltimore, MD 21231

National Financial Services LLC

Attn: Joanne Padarathsingh
499 Washington Blvd.
Jersey City, NJ 07310

2,543,766	8.90	%
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K-167

Name and Address	Number of Shares of Class	% of Class
Pershing LLC Attn: Joseph Lavara One Pershing Plaza Jersey City, NJ 07399	2,166,964	7.60 %
State Street Bank and Trust Company Attn: Christine Sullivan 1776 Heritage Drive North Quincy, MA 02171	1,475,742	5.20 %
TD Ameritrade Clearing, Inc. Attn: Suzanne Brodd 200 S. 108 th Ave. Omaha, NE 68154-2631	2,130,295	7.40 %
Wells Fargo Clearing Services LLC Attn: Matt Buettner 2801 Market Street St. Louis, MO 63103	2,858,646	10.00 %

THE AB PORTFOLIOS

AB All Market Total Return Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A Edward D. Jones & Co. For the Benefit of Customers Attn: Terrance Spencer 12555 Manchester Road	2,396,487	5.60 %

Saint Louis, MO 63131-3729

JP Morgan Securities LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Center Brooklyn, NY 11245-0001	8,261,921	19.30 %
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LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	3,308,357	7.73 %
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MLPF&S for the Sole Benefit of Its Customers

Attn: Fund Admin. 4800 Deer Lake Drive East, 2 nd Floor Jacksonville, FL 32246-6484	4,544,820	10.62 %
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Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	4,882,539	11.41 %
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K-168

Name and Address	Number of Shares of Class	% of Class
Wells Fargo Clearing Services, LLC Special Custody Account for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	2,258,207	5.28 %
Class B		
JP Morgan Securities LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Center Brooklyn, NY 11245-0001	45,424	10.83 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	41,225	9.83 %
MLPF&S for the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Drive East, 2 nd Floor Jacksonville, FL 32246-6484	25,553	6.09 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	71,856	17.13 %
Class C		
JP Morgan Securities LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Center Brooklyn, NY 11245-0001	386,545	8.82 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr.	587,445	13.40 %

San Diego, CA 92121-3091

MLPF&S for the Sole Benefit of Its Customers

Attn: Fund Admin.

326,187 7.44 %

4800 Deer Lake Drive East, 2nd Floor

Jacksonville, FL 32246-6484

National Financial Services LLC

For the Exclusive Benefit of Our Customers

Attn: Mutual Funds Dept.

351,405 8.01 %

499 Washington Blvd., 4th Floor

Jersey City, NJ 07310

Pershing LLC

P.O. Box 2052

511,283 11.66 %

Jersey City, NJ 07303-2052

K-169

Name and Address	Number of Shares of Class	% of Class
Raymond James Omnibus For Mutual Funds House Acct. Firm Attn: Courtney Waller 880 Carillon Parkway St. Petersburg, FL 33716-1102	327,888	7.48 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	350,147	7.99 %
Advisor Class		
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	443,095	7.99 %
Class R		
Capital Bank & Trust Company Warrior Roofing Manufacturing Retirement Plan c/o Plan Premier/FASCORP 8515 E. Orchard Rd., #2T2 Greenwood Village, CO 80111-5002	39,329	12.79 %
M.L., C.M. FBO Lucash-Montgomery Builders LLC 401K PSP & Trust 340 Pennington Rocky Hill Rd. Pennington, NJ 08534-2129	44,224	14.38 %
Reliance Trust Company Custodian FBO MassMutual Omnibus PPL P.O. Box 28004 Atlanta, GA 30358-0004	49,661	16.15 %
State Street Bank and Trust Company FBO ADP/MSDW Alliance	89,485	29.10 %

Attn: Ralph Campbell
1 Lincoln Street
Boston, MA 02111-2900

Class K

Great-West Trust Company LLC TTEE C
Bonne Bridges Mueller O'Keefe Nichol
8515 E. Orchard Rd., #2T2
Greenwood Village, CO 80111-5002

119,074 9.80 %

K-170

Name and Address	Number of Shares of Class	% of Class
Great-West Trust Company LLC TTEE C Cristalino Inc. 401K 8515 E. Orchard Rd., #2T2 Greenwood Village, CO 80111-5002	143,804	11.83%
Great-West Trust Company LLC TTEE FBO Shapco Printing Inc. 401K Plan 8515 E. Orchard Rd., #2T2 Greenwood Village, CO 80111-5002	180,479	14.85%
Great-West Trust Company LLC TTEE F Social Studies School Service 401K 8515 E. Orchard Rd., #2T2 Greenwood Village, CO 80111-5002	232,509	19.13%
Great-West Trust Company LLC TTEE C Universal Tone Management LLC 8515 E. Orchard Rd., #2T2 Greenwood Village, CO 80111-5002	62,382	5.13%
Class I		
MSSB FBO K.P. Custody for J.P. UTMA/MD Ellicott City, MD 21042-7624	3,100	20.56%
PAI Trust Company, Inc. Edwin Evers, Inc. 401(K) P/S Plan 1300 Enterprise Drive De Pere, WI 54115-4934	11,978	79.44%

AB Conservative Wealth Strategy

Name and Address	Number of Shares of Class	% of Class
Class A		

Edward D. Jones & Co.
For the Benefit of Customers
Attn: Terrance Spencer 706,229 5.23 %
12555 Manchester Road
Saint Louis, MO 63131-3729

JP Morgan Securities LLC
For the Exclusive Benefit of Customers 4,073,903 30.15 %
4 Chase Metrotech Center
Brooklyn, NY 11245-0001

LPL Financial
Omnibus Customer Account
Attn: Mutual Fund Trading 918,605 6.80 %
4707 Executive Dr.
San Diego, CA 92121-3091

K-171

Name and Address	Number of Shares of Class	% of Class
MLPF&S for the Sole Benefit of Its Customers		
Attn: Fund Admin.	1,287,626	9.53 %
4800 Deer Lake Drive East, 2 nd Floor		
Jacksonville, FL 32246-6484		
Pershing LLC		
P.O. Box 2052	1,153,339	8.54 %
Jersey City, NJ 07303-2052		
Class B		
JP Morgan Securities LLC		
For the Exclusive Benefit of Customers	13,506	15.80 %
4 Chase Metrotech Center		
Brooklyn, NY 11245-0001		
LPL Financial		
Omnibus Customer Account	5,521	6.46 %
Attn: Mutual Fund Trading		
4707 Executive Dr.		
San Diego, CA 92121-3091		
MLPF&S for the Sole Benefit of Its Customers		
Attn: Fund Admin.	6,208	7.26 %
4800 Deer Lake Drive East, 2 nd Floor		
Jacksonville, FL 32246-6484		
Pershing LLC		
P.O. Box 2052	20,089	23.50 %
Jersey City, NJ 07303-2052		
Class C		
JP Morgan Securities LLC		
For the Exclusive Benefit of Customers	448,981	23.34 %
4 Chase Metrotech Center		

Brooklyn, NY 11245-0001

LPL Financial

Omnibus Customer Account

Attn: Mutual Fund Trading

301,770 15.69%

4707 Executive Dr.

San Diego, CA 92121-3091

MLPF&S for the Sole Benefit of Its Customers

Attn: Fund Admin.

119,119 6.19 %

4800 Deer Lake Drive East, 2nd Floor

Jacksonville, FL 32246-6484

National Financial Services LLC

For the Exclusive Benefit of Our Customers

Attn: Mutual Funds Dept.

163,856 8.52 %

499 Washington Blvd., 4th Floor

Jersey City, NJ 07310

K-172

Name and Address	Number of Shares of Class	% of Class
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	129,271	6.72 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	123,216	6.41 %
Advisor Class		
Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	52,292	7.55 %
National Financial Services LLC For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept. 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310-1995	66,868	9.66 %
Pershing LLC PO Box 2052 Jersey City NJ 07303-2052	35,511	5.13 %
Raymond James Omnibus for Mutual Funds House Acct Firm Attn Courtney Waller 880 Carillon Parkway St Petersburg FL 33716-1102	41,516	6.00 %
Sanford Bernstein & Co., LLC 1 N. Lexington Avenue White Plains, NY 10601-1785	117,504	16.97 %

Wells Fargo Clearing Services, LLC
Special Custody Acct. for the
Exclusive Benefit of Customer 69,677 10.06 %
2801 Market Street
Saint Louis, MO 63103-2523

Class R

MG Trust Company Cust. FBO

Location Age 401(K) PSP 32,143 10.01 %
717 17th St., Ste. 1300
Denver, CO 80202-3304

K-173

Name and Address	Number of Shares of Class	% of Class
Mid Atlantic Trust Company FBO Simon Eye Associates 401(K) Profit 1251 Waterfront Place, Suite 525 Pittsburgh, PA 15222-4228	25,388	7.90 %
MLPF&S for the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Drive East, 2 nd Floor Jacksonville, FL 32246-6484	16,583	5.16 %
Reliance Trust Company Custodian FBO MassMutual Omnibus PPL P.O. Box 28004 Atlanta, GA 30358-0004	47,262	14.71 %
State Street Bank and Trust Company FBO ADP/MSDW Alliance Attn: Ralph Campbell 1 Lincoln Street Boston, MA 02111-2900	113,884	35.45 %
Class K		
Great-West Trust Company LLC TTEE F Aaronson Dickerson Cohn & Lanzone A P.C. 401K PSP c/o Fascore LLC 8515 E. Orchard Rd., #2T2 Greenwood Village, CO 80111-5002	45,430	7.83 %
Great-West Trust Company LLC TTEE F Feingold & Edelblum LLC 401k c/o Fascore LLC 8515 E Orchard Rd, #2T2 Greenwood Village, CO 80111-5002	58,790	10.14 %
Great-West Trust Company LLC TTEE FBO Hamilton Cardiology Associates P.A. 401K Plan 8515 E. Orchard Rd., #2T2 Greenwood Village, CO 80111-5002	40,217	6.93 %

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Great-West Trust Company LLC TTEE C
Perry Hay & Chu PSP
8515 E. Orchard Rd., #2T2
Greenwood Village, CO 80111-5002

30,871 5.32 %

Great-West Trust Company LLC TTEE C
Resource Engineering Inc. PSP
8515 E. Orchard Rd., #2T2
Greenwood Village, CO 80111-5002

108,592 18.72

K-174

Name and Address	Number of Shares of Class	% of Class
Great-West Trust Company LLC TTEE FBO Ross Langan & McKendree LLP Cash or Deferred Arrangement PSP 8515 E. Orchard Rd., #2T2 Greenwood Village, CO 80111-5002	62,338	10.75%
Great-West Trust Company LLC TTEE F The Spektors DDS PS 401K PSP 8515 E. Orchard Rd., #2T2 Greenwood Village, CO 80111-5002	130,422	22.49%
Class I		
AllianceBernstein L.P. Attn: Brent Mather-Seed Acct 1 N Lexington Ave White Plains NY 10601-1712	811	99.96%
AB Growth Fund		
Class A		
MLPF&S for the Sole Benefit of its Customers		
Attn: Fund Admin 4800 Deer Lake Drive East, 2 nd Floor Jacksonville, FL 32246-6484	933,683	9.61%
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3 rd Floor Jersey City, NJ 07311	893,847	9.20%
National Financial Services LLC	619,159	6.38%

For the Exclusive Benefit of Our Customers

Attn: Mutual Funds Dept.

499 Washington Blvd., 4th Floor

Jersey City, NJ 07310

Pershing LLC

P.O. Box 2052

506,514 5.22%

Jersey City, NJ 07303-2052

Wells Fargo Clearing Services LLC

Special Custody Account for the

Exclusive Benefit of Customer

775,564 7.99%

2801 Market Street

Saint Louis, MO 63103-2523

K-175

Name and Address	Number of Shares of Class	% of Class
Class C		
Charles Schwab & Co. Special Custody Account For the Exclusive Benefit of Customers Attn: Mutual Funds 211 Main Street San Francisco, CA 94105-1905	56,828	8.48 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Drive San Diego, CA 92121-3091	45,261	6.75 %
MLPF&S for the Sole Benefit of Its Customers Attn: Fund Admin 4800 Deer Lake Drive East 2 nd Floor Jacksonville, FL 32246-6484	53,787	8.03 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3 rd Floor Jersey City, NJ 07311	47,620	7.11 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310	52,489	7.83 %
Pershing LLC P.O. Box 2052	48,783	7.28 %

Jersey City, NJ 07303-2052

Raymond James

Omnibus for Mutual Funds

Attn: Courtney Waller

146,837 21.91 %

880 Carillon Parkway

St. Petersburg, FL 33716-1102

Wells Fargo Clearing Services, LLC

Special Custody Account for the

Exclusive Benefit of Customer

60,811 9.08 %

2801 Market Street

Saint Louis, MO 63103-2523

Advisor Class

MLPF&S for the Sole Benefit of its Customers

Attn: Fund Admin

4800 Deer Lake Drive East

112,306 10.67 %

2nd Floor

Jacksonville, FL 32246-6484

K-176

Name and Address	Number of Shares of Class	% of Class
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3 rd Floor Jersey City, NJ 07311	58,458	5.56 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310-1995	119,529	11.36 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	401,040	38.11 %
UBS WM USA Omni Account M/F Attn: Department Manager 1000 Harbor Boulevard, 5th Floor Weehawken, NJ 07086-6761	93,099	8.85 %
Class R		
Hartford Life Insurance Company Separate Account Attn: UIT Operations P.O. Box 2999 Hartford, CT 06104-2999	20,358	73.41 %
MLPF&S for the Sole Benefit of its Customers Attn: Fund Admin 4800 Deer Lake Drive East 2 nd Floor Jacksonville, FL 32246-6484	3,035	10.94 %

Class K

Ascensus Trust Company FBO Luken, LLC 401(K) and Profit Sharing P.O. Box 10758 Fargo, ND 58106-0758	2,969	16.34 %
Great-West Trust Company, LLC TTEE C Apex Technical School Inc. 401K 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	1,267	6.97 %
Great-West Trust Company, LLC TTEE C The Office Furniture Warehouse PSP c/o Fascore LLC 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	1,923	10.58 %

K-177

Name and Address	Number of Shares of Class	% of Class
Great-West Trust Company, LLC TTEE C Sucherman-Insalaco LLC RP 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	4,671	25.71 %
Great-West Trust Company, LLC TTEE F Aaronson, Dickerson, Cohn & Lanzone APC 401K c/o Fascore LLC 8515 East Orchard Road, #2T2 Greenwood Village, CO 80111-5002	2,487	13.69 %
Luciano Prida & Company PA 401K Plan 1106 N. Franklin Street Tampa, FL 33602-3841	4,460	24.55 %
Class I		
MLPF&S for the Sole Benefit of its Customers		
Attn Fund Admin 4800 Deer Lake Drive East, 2 nd Floor Jacksonville FL 32246-6484	10,994	5.23 %
National Financial Services LLC 499 Washington Blvd Jersey City, NJ 07310-1995	171,533	81.68 %

AB Tax-Managed All Market Income Portfolio

Class A

Edward D. Jones & Co. For the Benefit of Customers Attn: Terrance Spencer	510,106	9.23 %
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12555 Manchester Road
Saint Louis, MO 63131-3729

JP Morgan Securities LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Center Brooklyn, NY 11245-0001	735,494	13.31 %
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LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	634,089	11.48 %
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MLPF&S for the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Drive East, 2 nd Floor Jacksonville, FL 32246-6484	408,913	7.40 %
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K-178

Name and Address	Number of Shares of Class	% of Class
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	608,117	11.01 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	298,152	5.40 %
Class B		
JP Morgan Securities LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Center Brooklyn, NY 11245-0001	4,737	9.90 %
D.A.L. Jr. R.R.L. JTWROS Allentown, NJ 08501-1916	8,029	16.78 %
C.M. & S.B. JTWROS Port Murray, NJ 07865-3003	2,462	5.15 %
D.S.S. & E.P.S. JTWROS Baldwinsville, NY 13027-9268	4,230	8.84 %
B.G.S. & L.M.S. JTWROS Big Pool, MD 21711-1327	4,113	8.60 %
Class C		
Charles Schwab & Co. Inc. Special Custody Account For the Benefit of Customers Attention Mutual Funds 211 Main Street San Francisco, CA 94105-1905	47,506	8.10 %

JP Morgan Securities LLC
For the Exclusive Benefit of Customers 68,512 11.67 %
4 Chase Metrotech Center
Brooklyn, NY 11245-0001

LPL Financial
Omnibus Customers Account
Attn: Mutual Fund Trading 89,016 15.17 %
4707 Executive Dr.
San Diego, CA 92121-3091

K-179

Name and Address	Number of Shares of Class	% of Class
MLPF&S for the Sole Benefit of Its Customers		
Attn: Fund Admin.		
4800 Deer Lake Drive East, 2 nd Floor	62,571	10.66%
Jacksonville, FL 32246-6484		
National Financial Services LLC		
For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept.	62,137	10.59%
499 Washington Blvd., 4 th Floor		
Jersey City, NJ 07310		
Pershing LLC		
P.O. Box 2052	46,311	7.89 %
Jersey City, NJ 07303-2052		
UBS WM USA		
Omni Account M/F		
Attn: Department Manager	55,797	9.51 %
1000 Harbor Boulevard, 5th Floor		
Weehawken, NJ 07086-6761		
Advisor Class		
Sanford Bernstein & Co., LLC		
1 N. Lexington Ave.	242,447	8.49 %
White Plains, NY 10601-1712		

AB Tax-Managed Wealth Appreciation Strategy

Name and Address	Number of Shares of Class	% of Class
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Class A

Edward D. Jones & Co. For the Benefit of Customers Attn: Terrance Spencer 12555 Manchester Road Saint Louis, MO 63131-3729	164,347	6.86 %
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JP Morgan Securities LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Center Brooklyn, NY 11245-0001	125,324	5.23 %
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LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	356,963	14.91 %
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MLPF&S for the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Drive East, 2nd Floor Jacksonville, FL 32246-6484	207,796	8.68 %
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K-180

Name and Address	Number of Shares of Class	% of Class
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	347,292	14.50 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	239,970	10.02 %
Class B		
Ascensus Trust Company C/F A.A.W. Roth IRA 94-1166 Polinahe Pl Waipahu, HI 96797-4034	1,307	7.02 %
Dr. N.C. Pikesville, MD 21208-6402	1,282	6.89 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	1,974	10.61 %
R.A.N. & D.N. JTWROS Franklinville, NJ 08322-2133	1,906	10.24 %
D.S.S. & E.P.S. JTWROS Baldwinsville, NY 13027-9268	1,069	5.74 %
N.J.T. III & B.L.V. Tenant in Common Newtown Square, PA 19073-1619	2,099	11.28 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street	2,331	12.53 %

Saint Louis, MO 63103-2523

P.C.Z. Austin, TX 78730-3501	2,151	11.56 %
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Class C

Charles Schwab & Co. For the Exclusive Benefit of Customers Mutual Fund Operations 211 Main Street San Francisco, CA 94105-1905	86,748	16.40 %
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K-181

Name and Address	Number of Shares of Class	% of Class
Charles Schwab & Co. Inc. Special Custody Acct For the Benefit of Customers Attention Mutual Funds 211 Main Street San Francisco, CA 94105-1905	44,987	8.51 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	34,219	6.47 %
MLPF&S for the Sole Benefit of Its Customers Attn: Fund Admin. 4800 Deer Lake Drive East, 2nd Floor Jacksonville, FL 32246-6484	42,467	8.03 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	61,809	11.69 %
J.S. & S.S. JTWROS Pottstown, PA 19465-7868	26,593	5.03 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	52,680	9.96 %

AB Wealth Appreciation Strategy

Name and Address	Number of Shares of Class	% of Class
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Class A

JP Morgan Securities LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Center Brooklyn, NY 11245-0001	3,140,599	13.39 %
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LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	1,966,835	8.39 %
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MLPF&S for the Sole Benefit of Its Customers

Attn: Fund Admin. 4800 Deer Lake Drive East, 2 nd Floor Jacksonville, FL 32246-6484	2,014,228	8.59 %
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K-182

Name and Address	Number of Shares of Class	% of Class
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310	1,215,238	5.18 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	2,212,939	9.44 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	1,279,891	5.46 %
Class B		
Charles Schwab & Co. Inc. Special Custody Account FBO Customers Attn: Mutual Funds 211 Main Street San Francisco, CA 94105-1905	16,227	5.02 %
JP Morgan Securities LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Center Brooklyn, NY 11245-0001	17,485	5.41 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	16,927	5.24 %
National Financial Services LLC For the Exclusive Benefit of Our Customers Attn: Mutual Funds Dept. 499 Washington Blvd., 4th Floor Jersey City, NJ 07310	18,819	5.83 %
	23,113	7.15 %

Pershing LLC
P.O. Box 2052
Jersey City, NJ 07303-2052

Class C

LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr. San Diego, CA 92121-3091	392,502	16.86 %
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K-183

Name and Address	Number of Shares of Class	% of Class
Morgan Stanley Smith Barney Harborside Financial Center Plaza II, 3 rd Floor Jersey City, NJ 07311	131,111	5.63 %
National Financial Services LLC For the Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept. 499 Washington Blvd., 4 th Floor Jersey City, NJ 07310	224,094	9.62 %
Pershing LLC P.O. Box 2052 Jersey City, NJ 07303-2052	280,070	12.03 %
Raymond James Omnibus For Mutual Funds Attn: Courtney Waller 880 Carillon Parkway St. Petersburg, FL 33716-1102	203,884	8.76 %
Wells Fargo Clearing Services, LLC Special Custody Acct. for the Exclusive Benefit of Customer 2801 Market Street Saint Louis, MO 63103-2523	217,384	9.34 %
Class R		
Ascensus Trust Company FBO A.C.H. Solo-K P.O Box 10758 Fargo, ND 58106-0758	11,402	6.31 %
MG Trust Company Cust. FBO	23,698	13.11 %
Location Age 401(K) PSP		

717 17th St., Ste. 1300

Denver, CO 80202-3304

Mid Atlantic Trust Company FBO

S.A. 401(K) PSP & Trust

1251 Waterfront Place, Suite 525

Pittsburgh, PA 15222-4228

25,516 14.12%

Reliance Trust Company Custodian

FBO MassMutual Omnibus PPL

P.O. Box 28004

Atlanta, GA 30358-0004

31,770 17.58%

State Street Bank and Trust Company

FBO ADP/MSDW Alliance

Attn: Ralph Campbell

1 Lincoln Street

Boston, MA 02111-2900

K-184

10,081 5.58%

Name and Address	Number of Shares of Class	% of Class
Class K		
Great-West Trust Company LLC TTEE C Agmotion Inc. 401K 8515 E. Orchard Rd., #2T2 Greenwood Village, CO 80111-5002	116,099	16.80%
Great-West Trust Company LLC TTEE C FBO College of Westchester Business School 401K c/o Fascore LLC 8515 E. Orchard Rd., #2T2 Greenwood Village, CO 80111-5002	56,248	8.14 %
Great-West Trust Company LLC TTEE C News 2 You Inc. 401K 8515 E. Orchard Rd., #2T2 Greenwood Village, CO 80111-5002	38,393	5.56 %
Great-West Trust Company LLC TTEE F The Marco Retirement Plan 401K c/o Fascore LLC 8515 E. Orchard Rd., #2T2 Greenwood Village, CO 80111-5002	75,066	10.86%
P./G./E. K. FBO Kunhardt Films LLC Incentive Pleasantville, NY 10570-3046	46,988	6.80 %

Class I

Matrix Trust Company Agent for TRP RPS RK FBO 401K EEE Investments 401K c/o Commonwealth Investments 50 Salem St., Building A Lynnfield, MA 01940-2600	50,661	100 %
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SANFORD C. BERNSTEIN FUND II, INC.**Bernstein Intermediate Duration Institutional Portfolio**

To the knowledge of the Fund, no person owned of record or beneficially more than 5% of the Fund's outstanding voting securities as of July 13, 2018.

BERNSTEIN FUND, INC.

International Strategic Equities Portfolio

Name and Address	Number of Shares of Class	% of Class
Class Z		
AB Tax Managed Wealth Appreciation 1345 Avenue of the Americas New York, NY 10105-0302	11,147,398	9.96%

K-185

Name and Address	Number of Shares of Class	% of Class
AB Wealth Appreciation Strategy 1345 Avenue of the Americas New York, NY 10105-0302	20,615,532	18.41 %
SCB Overlay A Portfolio 1345 Avenue of the Americas New York, NY 10105-0302	25,254,417	22.56 %
SCB Tax Aware Overlay A Portfolio 1345 Avenue of the Americas New York, NY 10105-0302	52,453,789	46.85 %

International Small Cap Portfolio

Name and Address	Number of Shares of Class	% of Class
Class Z		
AB Tax Managed Wealth Appreciation 1345 Avenue of the Americas New York, NY 10105-0302	3,264,788	9.60 %
AB Wealth Appreciation Strategy 1345 Avenue of the Americas New York, NY 10105-0302	6,205,388	18.25 %
SCB Overlay A Portfolio 1345 Avenue of the Americas New York, NY 10105-0302	7,737,014	22.76 %
SCB Tax Aware Overlay A Portfolio 1345 Avenue of the Americas New York, NY 10105-0302	16,052,682	47.22 %

Small Cap Core Portfolio

Name and Address	Number of Shares of Class	% of Class
Class Z		
AB Tax Managed Wealth Appreciation 1345 Avenue of the Americas New York, NY 10105-0302	1,587,299	9.66 %
AB Wealth Appreciation Strategy 1345 Avenue of the Americas New York, NY 10105-0302	2,972,488	18.10%
SCB Overlay A Portfolio 1345 Avenue of the Americas New York, NY 10105-0302	3,780,883	23.02%
SCB Tax Aware Overlay A Portfolio 1345 Avenue of the Americas New York, NY 10105-0302	7,786,440	47.40%

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SANFORD C. BERNSTEIN FUND, INC.**California Municipal Portfolio**

Name and Address	Number of Shares of Class	% of Class
Class A Shares		
JP Morgan Securities LLC For the Exclusive Benefit of Customers 4 Chase Metrotech Ctr Brooklyn, NY 11245-0001	813,955	13.46%
MLPF&S For the Sole Benefit of Its Customers		
Attn Fund Admin 4800 Deer Lake Drive East, 2 nd Floor Jacksonville, FL 32246-6484	575,508	9.52 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II 3rd Floor Jersey City, NJ 07311	1,095,409	18.11%
National Financial Services LLC		
For The Exclusive Benefit of Our Customers/Attn		
Mutual Funds Dept 499 Washington Blvd, 4 th Floor Jersey City, NJ 07310	973,116	16.09%
Raymond James Omnibus for Mutual Funds Attn: Courtney Waller 880Carillon Parkway St Petersburg, FL 33716-1102	325,448	5.38 %

Wells Fargo Clearing Services LLC Special Custody Acct for the Exclusive Benefit of Customer 2801 Market St Saint Louis, MO 63103-2523	1,468,340	24.28%
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Class C Shares:

Charles Schwab & Co., Inc. Special Custody Acct FBO Customers Attn Mutual Funds 211 Main Street San Francisco, CA 94105-1905	68,105	7.72 %
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JP Morgan Securities LLC for the Exclusive Benefit of Customers 4 Chase Metrotech Ctr. Brooklyn, NY 11245-0001	93,280	10.57%
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Name and Address	Number of Shares of Class	% of Class
MLPF&S For the Sole Benefit of Its Customers		
Attn Fund Admin		
4800 Deer Lake Drive East, 2 nd Floor	182,225	20.65 %
Jacksonville, FL 32246-6484		
Morgan Stanley Smith Barney		
Harborside Financial Center		
Plaza II 3rd Floor	64,951	7.36 %
Jersey City, NJ 07311		
National Financial Services LLC		
For The Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept		
4th Floor 499 Washington Blvd	57,250	6.49 %
Jersey City, NJ 07310		
Pershing LLC		
PO Box 2052		
Jersey City, NJ 07303-2052	65,561	7.43 %
UBS WM USA		
Attn: Department Manager		
Special Custody Account		
1000 Harbor Blvd.	94,597	10.72 %
Weehawken, NJ 07086-6761		
Wells Fargo Clearing Services LLC		
Special Custody Acct for the Exclusive Benefit of Customer		
2801 Market St	174,318	19.75 %
Saint Louis, MO 63103-2523		
Advisor Class:		
Charles Schwab & Co Inc		
Special Custody Acct FBO Customers		
Attn Mutual Funds		
211 Main Street	92,887	7.27 %
San Francisco, CA 94105-1905		

LPL Financial

Omnibus Customer Account

Attn: Mutual Fund Trading

108,243 8.47 %

4707 Executive Dr

San Diego, CA 92121-3091

MLPF&S For the Sole Benefit of Its Customers

Attn Fund Admin

117,438 9.19 %

4800 Deer Lake Drive East, 2nd Floor

Jacksonville, FL 32246-6484

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Name and Address	Number of Shares of Class	% of Class
National Financial Services LLC		
For The Exclusive Benefit of Our Customers/		
Attn Mutual Funds Dept	550,615	43.10 %
499 Washington Blvd, 4 th Floor		
Jersey City, NJ 07310		
UBS WM USA		
Attn: Department Manager		
Special Custody Account	82,371	6.45 %
1000 Harbor Blvd.		
Weehawken, NJ 07086-6761		
Wells Fargo Clearing Services LLC		
Special Custody Acct for the Exclusive Benefit of Customer	225,601	17.66 %
2801 Market St		
Saint Louis, MO 63103-2523		

Diversified Municipal Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
Edward D Jones & Co		
For The Benefit of Customers		
Attn Terrance Spencer	1,090,012	6.09 %
12555 Manchester Rd		
Saint Louis, MO 63131-3729		
MLPF&S For the Sole Benefit of Its Customers	2,396,310	13.40%
Attn Fund Admin		

4800 Deer Lake Drive East, 2nd Floor

Jacksonville, FL 32246-6484

Morgan Stanley Smith Barney
 Harborside Financial Center
 Plaza II 3rd Floor
 Jersey City, NJ 07311

3,283,077 18.35%

National Financial Services LLC

For The Exclusive Benefit of Our Customers

Attn Mutual Funds Dept

1,325,594 7.41 %

499 Washington Blvd, 4th Floor

Jersey City, NJ 07310

Pershing LLC
 PO Box 2052
 Jersey City, NJ 07303-2052

2,361,768 13.20%

UBS WM USA
 Attn: Department Manager
 Special Custody Account
 1000 Harbor Blvd.
 Weehawken, NJ 07086-6761

1,145,620 6.40 %

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Name and Address	Number of Shares of Class	% of Class
Wells Fargo Clearing Services LLC Special Custody Acct for the Exclusive Benefit of Customer 2801 Market St Saint Louis, MO 63103-2523	1,994,347	11.15 %
Class B		
M.E.G. Miami, FL 33145-1935	255	38.48 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Drive San Diego, CA 92121-3091	350	52.81 %
L.B.R. C/F J.E.R. Boalsburg, PA 16827-1687	54	8.18 %
Class C		
JP Morgan Securities LLC for the Exclusive Benefit of Customers 4 Chase Metrotech Ctr. Brooklyn, NY 11245-0001	218,370	5.18 %
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Drive San Diego, CA 92121-3091	210,832	5.00 %
MLPF&S For the Sole Benefit of Its Customers		
Attn Fund Admin		
4800 Deer Lake Drive East, 2 nd Floor	449,095	10.66 %
Jacksonville, FL 32246-6484		
	659,557	15.65 %

Morgan Stanley Smith Barney
Harborside Financial Center
Plaza II 3rd Floor
Jersey City, NJ 07311

National Financial Services LLC

For The Exclusive Benefit of Our Customers

Attn Mutual Funds Dept	378,692	8.99 %
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499 Washington Blvd, 4th Floor

Jersey City, NJ 07310

Pershing LLC PO Box 2052 Jersey City, NJ 07303-2052	485,546	11.52%
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Name and Address	Number of Shares of Class	% of Class
Raymond James Omnibus for Mutual Funds Attn: Courtney Waller 880Carillon Parkway St Petersburg, FL 33716-1102	301,684	7.16 %
UBS WM USA Attn: Department Manager Special Custody Account 1000 Harbor Blvd. Weehawken, NJ 07086-6761	262,022	6.22 %
Wells Fargo Clearing Services LLC Special Custody Acct for the Exclusive Benefit of Customer 2801 Market St Saint Louis, MO 63103-2523	763,304	18.11 %
Adviser Class		
Edward D Jones & Co. For the Benefit of Customers Attn: Jason Hubersberger 12555 Manchester Road Maryland Heights, MO 63043	39,576,765	44.07 %
JP Morgan Securities LLC for the Exclusive Benefit of Customers 4 Chase Metrotech Ctr. Brooklyn, NY 11245-0001	34,334,401	38.23 %
Class Z		
Alliancebernstein L.P. Attn: Brent Mather-Seed Acct 1 N Lexington Ave White Plains NY 10601-1712	707	99.97 %
Diversified Municipal Class		
TIAA-CREF Individual and Institutional Services, LLC Portfolio Advisor Program	37,090,840	9.61 %

c/o Sanford C. Bernstein & Co., LLC
1345 Avenue of the Americas
New York, NY 10105

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Emerging Markets Portfolio

Name and Address	Number of Shares of Class	% of Class
Class Z		
AB Tax Managed Wealth Appreciation 1345 Avenue of the Americas New York, NY 10105-0302	609,624	9.95 %
AB Wealth Appreciation Strategy 1345 Avenue of the Americas New York, NY 10105-0302	1,159,544	18.92 %
SCB Overlay A Portfolio DAAADA 1345 Avenue of the Americas New York, NY 10105-0302	1,366,308	22.29 %
SCB Tax Aware Overlay A Portfolio DAATADA 1345 Avenue of the Americas New York, NY 10105-0302	2,847,947	46.46 %

Intermediate Duration Portfolio

To the knowledge of the Fund, no person owned of record or beneficially more than 5% of the Fund's outstanding voting securities as of July 13, 2018.

International Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
	428,677	30.74 %

Charles Schwab & Co.
For the Exclusive Benefit of Customers
Mutual Fund Operations
211 Main Street
San Francisco, CA 94105-1905

National Financial Services LLC

For The Exclusive Benefit of Our Customers

Attn Mutual Funds Dept 734,307 52.66%

499 Washington Blvd, 4th Floor

Jersey City, NJ 07310

Class B

Charles Schwab & Co Inc
Special Custody Acct FBO Customers
Attn Mutual Funds 88 48.89%
211 Main Street
San Francisco, CA 94105-1905

Morgan Stanley Smith Barney
Harborside Financial Center 86 47.58%
Plaza II 3rd Floor
Jersey City, NJ 07311

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Class C

Name and Address	Number of Shares of Class	% of Class
JP Morgan Securities LLC for the Exclusive Benefit of Customers 4 Chase Metrotech Ctr. Brooklyn, NY 11245-0001	4,381	9.57 %
MLPF&S For the Sole Benefit of Its Customers ATTN Fund Admin 4800 Deer Lake Dr E FL 2 Jacksonville, FL 32246-6484	4,577	10.00%
National Financial Services LLC For The Exclusive Benefit of Our Customers		
Attn Mutual Funds Dept 499 Washington Blvd, 4 th Floor Jersey City, NJ 07310	3,537	7.73 %
Pershing LLC PO Box 2052 Jersey City, NJ 07303-2052	4,519	9.87 %
Wells Fargo Clearing Services LLC Special Custody Acct for the Exclusive Benefit of Customer 2801 Market St Saint Louis, MO 63103-2523	15,235	33.29%
Class Z		
AB VPS Balanced Wealth Strategy Portfolio 1 N Lexington Ave White Plains NY 10601-1712	997,087	5.14 %
AB Wealth Appreciation Strategy 1345 Avenue of the Americas New York, NY 10105-0302	8,307,450	42.82%
	10,093,633	52.03%

SCB Overlay A Portfolio
1345 Avenue of the Americas
New York, NY 10105-0302

New York Municipal Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
JP Morgan Securities LLC for the Exclusive Benefit of Customers 4 Chase Metrotech Ctr. Brooklyn, NY 11245-0001	1,926,722	21.42 %

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Name and Address	Number of Shares of Class	% of Class
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr San Diego, CA 92121-3091	973,273	10.82 %
MLPF&S For the Sole Benefit of Its Customers ATTN Fund Admin 4800 Deer Lake Dr E FL 2 Jacksonville, FL 32246-6484	547,185	6.08 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II 3rd Floor Jersey City, NJ 07311	540,169	6.00 %
National Financial Services LLC For The Exclusive Benefit of Our Customers Attn Mutual Funds Dept 4th Floor 499 Washington Blvd Jersey City, NJ 07310	454,577	5.05 %
Pershing LLC PO Box 2052 Jersey City, NJ 07303-2052	2,108,237	23.44 %
UBS WM USA Attn: Department Manager Special Custody Account 1000 Harbor Blvd. Weehawken, NJ 07086-6761	509,483	5.66 %
Class B		
Pershing LLC PO Box 2052 Jersey City, NJ 07303-2052	163	91.33 %
Class C		
	513,494	20.08 %

JP Morgan Securities LLC
for the Exclusive Benefit of Customers
4 Chase Metrotech Ctr.
Brooklyn, NY 11245-0001

LPL Financial
Omnibus Customer Account
Attn: Mutual Fund Trading 133,843 5.23 %
4707 Executive Dr
San Diego, CA 92121-3091

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Name and Address	Number of Shares of Class	% of Class
MLPF&S For the Sole Benefit of Its Customers ATTN Fund Admin 4800 Deer Lake Dr E FL 2 Jacksonville, FL 32246-6484	316,080	12.36 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II 3rd Floor Jersey City, NJ 07311	220,771	8.63 %
National Financial Services LLC For The Exclusive Benefit of Our Customers		
Attn Mutual Funds Dept 499 Washington Blvd, 4 th Floor Jersey City, NJ 07310	158,574	6.20 %
Pershing LLC PO Box 2052 Jersey City, NJ 07303-2052	654,202	25.58 %
Wells Fargo Clearing Services LLC A/C 1699-0135 Special Custody Acct for the Exclusive Benefit of Customer 2801 Market St Saint Louis, MO 63103-2523	152,939	5.98 %
Advisor Class		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr San Diego, CA 92121-3091	760,046	29.24 %
MLPF&S For the Sole Benefit of Its Customers ATTN Fund Admin 4800 Deer Lake Dr E FL 2 Jacksonville, FL 32246-6484	444,652	17.11 %

National Financial Services LLC

For The Exclusive Benefit of Our Customers

Attn Mutual Funds Dept 314,200 12.09%

499 Washington Blvd, 4th Floor

Jersey City, NJ 07310-1995

Pershing LLC

PO Box 2052

413,302 15.90%

Jersey City, NJ 07303-2052

UBS WM USA

Attn: Department Manager

Special Custody Account

143,613 5.53 %

1000 Harbor Blvd.

Weehawken, NJ 07086-6761

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Name and Address	Number of Shares of Class	% of Class
Wells Fargo Clearing Services LLC Special Custody Acct for the Exclusive Benefit of Customer 2801 Market St Saint Louis, MO 63103-2523	186,599	7.18 %

Overlay A Portfolio

Name and Address	Number of Shares of Class	% of Class
Class 2		
Retirement Plan for the Employees of Alliancebernstein L.P Trust c/o Sanford C. Bernstein & Co., LLC 1345 Avenue of the Americas New York, NY 10105	1,925,723	6.31 %

Overlay B Portfolio

To the knowledge of the Fund, no person owned of record or beneficially more than 5% of the Fund's outstanding voting securities as of July 13, 2018.

Short Duration Diversified Municipal Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading	116,573	5.36 %

4707 Executive Dr
 San Diego, CA 92121-3091

MLPF&S For the Sole Benefit of Its Customers

ATTN Fund Admin 4800 Deer Lake Dr E FL 2 Jacksonville, FL 32246-6484	314,825	14.46 %
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Raymond James Omnibus for Mutual Funds ATTN Courtney Waller 880 Carillon Parkway St Petersburg, FL 33716-1102	519,973	23.89 %
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UBS WM USA Attn: Department Manager Special Custody Account 1000 Harbor Blvd. Weehawken, NJ 07086-6761	279,898	12.86 %
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Wells Fargo Clearing Services LLC Special Custody Acct for the Exclusive Benefit of Customer 2801 Market St Saint Louis, MO 63103-2523 K-196	129,314	5.94 %
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Name and Address	Number of Shares of Class	% of Class
Class B		
Ascensus Trust Company Shamrock Electric Supply Co Inc J.S.B. Grenada, MS 38901	163	14.64 %
Ascensus Trust Company CUST FBO J.T. IRA Milwaukee, WI 53209-1504	135	12.12 %
Ascensus Trust Company C/F A.T.V. Jr FBO T.A.V. Coverdell ESA Pearson, WI 54462-9300	209	18.73 %
Ascensus Trust Company Westair Inc R.L.Q. Coalville, UT 84017-9799	77	6.86 %
S.P.B. Grand Blanc, MI 48439-1664	133	11.94 %
Charles Schwab & Co Inc Special Custody Acct FBO Customers Attn Mutual Funds 211 Main Street San Francisco, CA 94105-1905	111	9.97 %
Pershing LLC PO Box 2052 Jersey City, NJ 07303-2052	244	21.86 %
Class C		
Charles Schwab & Co Inc Special Custody Acct FBO Customers Attn: Mutual Funds 211 Main Street San Francisco, CA 94105-1905	14,482	5.47 %

LPL Financial

Omnibus Customer Account

Attn: Mutual Fund Trading

24,345 9.20 %

4707 Executive Dr

San Diego, CA 92121-3091

MLPF&S For the Sole Benefit of Its Customers

ATTN Fund Admin

26,910 10.17 %

4800 Deer Lake Dr E FL 2

Jacksonville, FL 32246-6484

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Name and Address	Number of Shares of Class	% of Class
National Financial Services LLC		
For The Exclusive Benefit of Our Customers		
Attn: Mutual Funds Dept.	14,352	5.42 %
499 Washington Blvd, 4 th Floor		
Jersey City, NJ 07310		
Pershing LLC		
PO Box 2052	50,587	19.11 %
Jersey City, NJ 07303-2052		
UBS WM USA		
Attn: Department Manager		
Special Custody Account	41,861	15.81 %
1000 Harbor Blvd.		
Weehawken, NJ 07086-6761		
Wells Fargo Clearing Services LLC		
Special Custody Acct for the Exclusive Benefit of Customer		
2801 Market St	43,826	16.56 %
Saint Louis, MO 63103-2523		

Short Duration Plus Portfolio

Name and Address	Number of Shares of Class	% of Class
Short Duration Plus Class		
The Mayor's Fund to Advance New York City		
c/o Sanford C. Bernstein & Co., LLC	924,701	5.57 %
1345 Avenue of the Americas		
New York, NY 10105		

New York Small Business Venture Fund II, LLC
c/o Sanford C. Bernstein & Co., LLC
1345 Avenue of the Americas
New York, NY 10105

1,098,226 6.62%

Tax-Aware Overlay A Portfolio

To the knowledge of the Fund, no person owned of record or beneficially more than 5% of the Fund's outstanding voting securities as of July 13, 2018.

Tax-Aware Overlay B Portfolio

To the knowledge of the Fund, no person owned of record or beneficially more than 5% of the Fund's outstanding voting securities as of July 13, 2018.

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Tax-Aware Overlay C Portfolio

Name and Address	Number of Shares of Class	% of Class
Class 2		
JBD Revocable Trust, c/o Sanford C. Bernstein & Co., LLC 1345 Avenue of the Americas New York, NY 10105	1,553,456	7.83 %

Tax-Aware Overlay N Portfolio

To the knowledge of the Fund, no person owned of record or beneficially more than 5% of the Fund's outstanding voting securities as of July 13, 2018.

Tax-Managed International Portfolio

Name and Address	Number of Shares of Class	% of Class
Class A		
LPL Financial Omnibus Customer Account Attn: Mutual Fund Trading 4707 Executive Dr San Diego, CA 92121-3091	51,278	34.33 %
Morgan Stanley Smith Barney Harborside Financial Center Plaza II 3rd Floor Jersey City, NJ 07311	9,929	6.65 %
National Financial Services LLC	8,469	5.67 %

For The Exclusive Benefit of Our Customers

Attn Mutual Funds Dept

499 Washington Blvd, 4th Floor

Jersey City, NJ 07310

Pershing LLC PO Box 2052 Jersey City, NJ 07303-2052	41,679	27.90 %
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S.B.P. & M.D.K.-P. Co-Ttees S.P. & M.K.-P. Rev Liv Trust Ann Arbor, MI 48104-2715	16,883	11.30 %
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Class B

Ascensus Trust Company Medical Billing Systems Inc. T.D.A. New Marshfield, OH 45766-9747	248	97.87 %
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Class C

E.G.D. TOD/DE Chicago, IL 60614-5177	716	6.99 %
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Name and Address	Number of Shares of Class	% of Class
Pershing LLC PO Box 2052 Jersey City, NJ 07303-2052	4,219	41.17%
UBS WM USA Attn: Department Manager Special Custody Account 1000 Harbor Blvd. Weehawken, NJ 07086-6761	4,156	40.56%
Wells Fargo Clearing Services LLC Special Custody Acct for the Exclusive Benefit of Customer 2801 Market St Saint Louis, MO 63103-2523	1,048	10.23%
Class Z		
SCB Tax Aware Overlay A Portfolio 1345 Avenue of the Americas New York, NY 10105-0302	20,953,983	82.52%
AB Tax Managed Wealth Appreciation 1345 Avenue of the Americas New York, NY 10105-0302	4,437,412	17.48%

AB MULTI-MANAGER ALTERNATIVE FUND

To the knowledge of the Fund, no person owned of record or beneficially more than 5% of the Fund's outstanding voting securities as of July 13, 2018.

Table 2.

A shareholder who owns of record or beneficially more than 25% of a Fund's outstanding voting securities is presumed to "control" the Fund, as that term is defined in the 1940 Act, and may have a significant impact on matters submitted to a shareholder vote. Except as set forth below, to the knowledge of each Fund, as of July 13, 2018, no

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person owned of record or beneficially more than 25% of a Fund's outstanding voting securities.

Fund	Name and Address	Number of Shares of Fund	% of Fund	
AB Bond Fund, Inc.	Sanford Bernstein & Co., LLC			
AB All Market Real Return Portfolio	1 N. Lexington Ave., 17 th Floor White Plains, NY 10601-1785	796,520,033	37.07	%
AB FlexFee High Yield Portfolio	AllianceBernstein L.P. Attn: Brent Mather-Seed Acct 1 N. Lexington Ave. White Plains, NY 10601-1712	17,035,178	45.93	%

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Fund	Name and Address	Number of Shares of Fund	% of Fund
AB FlexFee International Bond Portfolio	AllianceBernstein L.P. Attn: Brent Mather-Seed Acct 1 N. Lexington Ave. White Plains, NY 10601-1712	44,899,930	98.90 %
AB Cap Fund, Inc.			
AB All Market Alternative Return Portfolio	AllianceBernstein L.P. Attn: Brent Mather-Seed Acct 1 N. Lexington Ave. White Plains, NY 10601-1712	17,129,445	99.89 %
AB Concentrated International Growth Portfolio	Arizona Bank & Trust 2036 East Camelback Road Chandler, AZ 85016	41,901,745	91.22 %
AB Emerging Markets Core Portfolio	AllianceBernstein L.P. Attn: Brent Mather-Seed Acct 1 N. Lexington Ave. White Plains, NY 10601-1712	4,838,868	99.53 %
AB FlexFee Core Opportunities Portfolio	AllianceBernstein L.P. Attn: Brent Mather-Seed Acct 1 N. Lexington Ave. White Plains, NY 10601-1712	1,134,000	100 %
AB FlexFee Emerging Markets Growth Portfolio	AllianceBernstein L.P. Attn: Brent Mather-Seed Acct 1 N. Lexington Ave. White Plains, NY 10601-1712	5,811,660	100 %
AB FlexFee International Strategic Core Portfolio	AllianceBernstein L.P. Attn: Brent Mather-Seed Acct 1 N. Lexington Ave. White Plains, NY 10601-1712	3,264,000	100 %

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Fund	Name and Address	Number of Shares of Fund	% of Fund	
AB FlexFee Large Cap Growth Portfolio	AllianceBernstein L.P. Attn: Brent Mather-Seed Acct 1 N. Lexington Ave. White Plains, NY 10601-1712	26,385,456	32.98	%
AB FlexFee US Thematic Portfolio	AllianceBernstein L.P. Attn: Brent Mather-Seed Acct 1 N. Lexington Ave. White Plains, NY 10601-1712	40,368,231	80.18	%
AB All China Equity Portfolio (as of July 25, 2018)	AllianceBernstein L.P. Attn: Brent Mather-Seed Acct 1 N. Lexington Ave. White Plains, NY 10601-1712	200,004	100	%
AB Corporate Shares				
AB Income Shares (as of August __, 2018)	AllianceBernstein L.P. Attn: Brent Mather-Seed Acct 1 N. Lexington Ave. White Plains, NY 10601-1712		100	%
Sanford C. Bernstein Fund, Inc.				
Emerging Markets Portfolio	Sanford Bernstein & Co., LLC 1 N. Lexington Ave., 17 th Floor White Plains, NY 10601-1785	126,806,931	99.9	%
International Portfolio	Sanford Bernstein & Co., LLC 1 N. Lexington Ave., 17 th Floor White Plains, NY 10601-1785	177,143,263	32.50	%

Sanford Bernstein & Co., LLC

Tax-Managed International Portfolio	1 N. Lexington Ave., 17 th Floor	367,951,943	67.50	%
	White Plains, NY 10601-1785			

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THE AB FUNDS AND SCB FUNDS

[LOGO(S)]

AllianceBernstein L.P.

NOTICE OF JOINT MEETING OF SHAREHOLDERS AND PROXY STATEMENT

August 20, 2018

