PUMA BIOTECHNOLOGY, INC. Form 8-K November 12, 2013

#### **UNITED STATES**

# SECURITIES AND EXCHANGE COMMISSION

## **WASHINGTON, DC 20549**

#### FORM 8-K

### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the

**Securities Exchange Act of 1934** 

Date of Report (Date of earliest event reported): November 12, 2013

# PUMA BIOTECHNOLOGY, INC.

(Exact Name of Registrant as Specified in its Charter)

Delaware (State or other jurisdiction

001-35703 (Commission File Number) 77-0683487 (IRS Employer Identification No.)

of incorporation)

10880 Wilshire Boulevard, Suite 2150

## Edgar Filing: PUMA BIOTECHNOLOGY, INC. - Form 8-K

### Los Angeles, California 90024

(Address of principal executive offices) (Zip Code)

(424) 248-6500

(Registrant s telephone number, including area code)

#### N/A

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- "Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

### Item 2.02 Results of Operations and Financial Condition.

On November 12, 2013, Puma Biotechnology, Inc. issued a press release announcing its financial results for the third quarter ended September 30, 2013. A copy of the press release is furnished herewith as Exhibit 99.1 and is incorporated by reference herein.

The information in this Item 2.02, including the accompanying exhibit, is being furnished and shall not be deemed filed for the purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that Section. The information in this Item 2.02 shall not be incorporated by reference into any registration statement or other document filed pursuant to the Securities Act of 1933, as amended, regardless of any general incorporation language in such filing.

#### Item 9.01 Financial Statements and Exhibits.

- (d) Exhibits.
- 99.1 Press Release dated November 12, 2013

### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: November 12, 2013

PUMA BIOTECHNOLOGY, INC.

By: /s/ Alan H. Auerbach Alan H. Auerbach

Chief Executive Officer and President

# EXHIBIT INDEX

# Exhibit

No. Description

99.1 Press Release dated November 12, 2013