NOMURA HOLDINGS INC Form 6-K July 01, 2009 Table of Contents

# FORM 6-K

# U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**Report of Foreign Private Issuer** 

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of July 2009.

# NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

# Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.
Form 20-F <u>X</u> Form 40-F
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):
Indicate by check mark whether by furnishing the information contained in this Form, the registrant is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.
Yes No _X_
If Yes is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b): 82-

Information furnished on this form:

## **EXHIBIT**

## **Exhibit Number**

- 1. Nomura Files Annual Report on Form 20-F with SEC
- 2. Nomura to Acquire NikkoCiti Trust and Banking Corporation

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: July 1, 2009 By: /s/ Shinichiro Watanabe

Shinichiro Watanabe

Senior Corporate Managing Director

## Nomura Files Annual Report on Form 20-F with SEC

**Tokyo, July 1, 2009** Nomura Holdings, Inc. yesterday filed its annual report on Form 20-F for the year ended March 31, 2009, with the U.S. Securities and Exchange Commission. The report can be accessed via Nomura s English language website at: <a href="http://www.nomuraholdings.com/investor/library/">http://www.nomuraholdings.com/investor/library/</a>

The company s shareholders (including holders of its American Depository Shares) may receive hard copies of the annual report on Form 20-F, which contains Nomura s most recent, audited consolidated financial statements, free of charge upon request via: <a href="https://www.nomuraholdings.com/cgi-bin/investor/ar/request.cgi">https://www.nomuraholdings.com/cgi-bin/investor/ar/request.cgi</a>.

Ends

For further information please contact:

NameCompanyTelephoneToru NamikawaNomura Holdings, Inc.81-3-3278-0591

Kathy Lindsay Group Corporate Communications Dept.

Notes to editors:

#### Nomura

Nomura is a leading financial services group and the preeminent Asian-based investment bank with worldwide reach. Nomura provides a broad range of innovative solutions tailored to the specific requirements of individual, institutional, corporate and government clients through an international network in over 30 countries. Based in Tokyo and with regional headquarters in Hong Kong, London, and New York, Nomura employs about 26,000 staff worldwide. Nomura s unique understanding of Asia enables the company to make a difference for clients through five business divisions: retail, global markets, investment banking, merchant banking, and asset management. For further information about Nomura, please visit <a href="https://www.nomura.com">www.nomura.com</a>.

#### Nomura to Acquire NikkoCiti Trust and Banking Corporation

**Tokyo, July 1, 2009** The Nomura Trust and Banking Co., Ltd., a wholly owned subsidiary of Nomura Holdings, Inc., today announced that it has reached an agreement with Nikko Citi Holdings Inc. and Citigroup International LLC to acquire all of the shares of NikkoCiti Trust and Banking Corporation.

Nomura Trust and Banking will pay an all-cash consideration of 19 billion yen, subject to certain price adjustments, at closing. The sale is expected to close around October 2009, pending regulatory approvals and other closing conditions.

NikkoCiti Trust and Banking s business focuses on providing fiduciary and trustee services to investment trust management companies. The acquisition will allow Nomura Trust and Banking to further its strategy of positioning fund trustee and administration services as part of its core business.

Established in 1993, NikkoCiti Trust and Banking employed 107 people as of March 31, 2009, and had capital of 20 billion yen. Total trust assets stood at around 4.5 trillion yen at the end of March.

Nomura Trust and Banking provides both trust and banking services as a key Nomura Group company. Founded in 1993, Nomura Trust and Banking employed 261 people as of March 31, 2009, and had capital of 30 billion yen. Total trust assets were around 19.5 trillion yen at the end of March

Ends

#### For further information please contact:

NameCompanyTelephoneToru NamikawaNomura Holdings, Inc.81-3-3278-0591

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