NOMURA HOLDINGS INC Form 6-K April 09, 2008 Table of Contents

# FORM 6-K

# U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**Report of Foreign Private Issuer** 

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of April 2008.

# NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

# Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.
Form 20-F <u>X</u> Form 40-F
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):
Indicate by check mark whether by furnishing the information contained in this Form, the registrant is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.
Yes NoX
If Yes is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b): 82-

Information furnished on this form:

**EXHIBIT** 

Exhibit Number

1. <u>Nomura Announces Dissolution of Subsidiaries</u>

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: April 9, 2008

By: /s/ Toshio Hirota Toshio Hirota

**Executive Managing Director** 

#### **Nomura Announces Dissolution of Subsidiaries**

Tokyo, April 9, 2008 Nomura Holdings, Inc. today announced that it intends to dissolve the following consolidated subsidiaries.

#### 1. Outline of Subsidiaries

### (1) NAT 1 LLC

1. Company Name NAT 1 LLC

2. Registered Office 2 World Financial Center, Building B New York, NY 10281, U.S.A.

3. Business Dormant entity

4. Date of Establishment March 19, 2003

5. Paid-in-Capital US\$0

6. Shareholder Nomura Holding America Inc.: 100%

7. Representative David Findlay

8. Schedule Resolution: April 4, 2008

Complete liquidation: June 30, 2008 (scheduled)

# (2) NAT 2 LLC

1. Company Name NAT 2 LLC

2. Registered Office 2 World Financial Center, Building B New York, NY 10281, U.S.A.

3. Business Dormant entity4. Date of Establishment March 18, 2003

5. Paid-in-Capital US\$0

6. Shareholder Nomura Holding America Inc.: 100%

7. Representative David Findlay

8. Schedule Resolution: April 4, 2008

Complete liquidation: June 30, 2008 (scheduled)

#### (3) NCC INVESTMENTS LLC

1. Company Name NCC INVESTMENTS LLC

2. Registered Office 2 World Financial Center, Building B New York, NY 10281, U.S.A.

3. Business Dormant entity
4. Date of Establishment September 2, 2005
5. Paid-in-Capital US\$11,237,200

6. Shareholder Nomura Holding America Inc.: 100%

Representatives David Findlay, Nathan Gorin
Schedule Resolution: April 4, 2008

Complete liquidation: June 30, 2008 (scheduled)

### (4) ORANGE FINANCE, L.L.C.

1. Company Name ORANGE FINANCE, L.L.C.

2. Registered Office 2 World Financial Center, Building B New York, NY 10281, U.S.A.

3. Business Dormant entity
4. Date of Establishment May 11, 2006
5. Paid-in-Capital US\$1,000

6. Shareholder Nomura Holding America Inc.: 100%

7. Representatives David Findlay, Taisuke Kimura

8. Schedule Resolution: April 4, 2008

Complete liquidation: June 30, 2008 (scheduled)

## (5) ORCHID CAPITAL, LLC

1. Company Name ORCHID CAPITAL, LLC

2. Registered Office 2 World Financial Center, Building B New York, NY 10281, U.S.A.

3. Business Dormant entity4. Date of Establishment October 25, 2006

5. Paid-in-Capital US\$1,000

6. Shareholder Nomura Holding America Inc.: 100%7. Representatives David Findlay, Taisuke Kimura

8. Schedule Resolution: April 4, 2008

Complete liquidation: June 30, 2008 (scheduled)

#### (6) NOMURA MORTGAGE CAPTIAL CORP.

1. Company Name NOMURA MORTGAGE CAPTIAL CORP.

2. Registered Office 2 World Financial Center, Building B New York, NY 10281, U.S.A.

3. Business Dormant entity
4. Date of Establishment March 29, 1988
5. Paid-in-Capital US\$1,000,000

6. Shareholder Nomura Holding America Inc.: 100%

7. Representative David Findlay

8. Schedule Resolution: April 4, 2008

Complete liquidation: June 30, 2008 (scheduled)

#### 2. Reason for Dissolutions

Liquidations of dormant entities.

#### 3. Impact on Profits

Any impact arising from the dissolution of such subsidiaries that might affect the consolidated business results of the Company is negligible.

#### **Ends**

## For further information please contact:

NameCompanyTelephoneKimiharu SuzukiNomura Holdings, Inc.81-3-3278-0591

Michiyori Fujiwara Group Corporate Communications Dept.

Notes to editors:

# Nomura

Nomura is a leading financial services group and the preeminent Asian-based investment bank with worldwide reach. Nomura provides a broad range of innovative solutions tailored to the specific requirements of individual, institutional, corporate and government clients through an international network in 30 countries. Based in Tokyo and with regional headquarters in Hong Kong, London, and New York, Nomura employs about 18,000 staff worldwide. Nomura s unique understanding of Asia enables the company to make a difference for clients through five business divisions: domestic retail, global markets, global investment banking, global merchant banking, and asset management. For further information about Nomura, please visit <a href="https://www.nomura.com">www.nomura.com</a>.