BEAM INC Form 4 August 13, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

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obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

1(b).

(Last)

ROAD

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * Baldock Philip A

(First)

(Ctota)

BEAM INC., 510 LAKE COOK

(Middle)

2. Issuer Name and Ticker or Trading Symbol

BEAM INC [NYSE: BEAM]

3. Date of Earliest Transaction

(Month/Day/Year) 08/09/2013

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner Other (specify X_ Officer (give title below)

SVP & President, APSA

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

DEERFIELD, IL 60015

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)		sed of 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$3.125	08/09/2013		M <u>(1)</u>	6,223	A	\$ 57.1798	6,223	D	
Common Stock, par value \$3.125	08/09/2013		S	6,223	D	\$ 66.0076 (2)	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to	\$ 57.1798	08/09/2013		M <u>(1)</u>	6,223	(3)	09/28/2014	Common Stock	6,223

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Baldock Philip A BEAM INC. 510 LAKE COOK ROAD DEERFIELD, IL 60015

SVP & President, APSA

Signatures

Leslie W. Jensen, Attorney-in-Fact for Philip
Baldock
08/13/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects the exercise of stock options issued under Beam's Long-Term Incentive Plan.
- The price in column 4 is the weighted average selling price of the shares. The shares were sold in multiple transactions at prices ranging (2) from \$66.00 to \$66.11, inclusive. The Reporting Person undertakes to provide to the SEC, Beam and any security holder, upon request, full information regarding the number of shares sold at each separate price point within the ranges set forth in this footnote.
- (3) The options vested in three equal installments beginning on September 28, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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