

TriState Capital Holdings, Inc.
Form 4/A
May 17, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
FARRELL MICHAEL J

(Last) (First) (Middle)

ONE OXFORD CENTRE, 301
GRANT STREET, SUITE 2700

(Street)

PITTSBURGH, PA 15219

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
TriState Capital Holdings, Inc. [TSC]

3. Date of Earliest Transaction
(Month/Day/Year)
05/14/2013

4. If Amendment, Date Original Filed(Month/Day/Year)
05/14/2013

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|--------|---|--|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | | P | | 100 | A | \$ 12.3 | 10,100 | I | By Farrell Family Limited Partnership ⁽²⁾ |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | | P | | 25 | A | \$ 12.3 | 10,125 | I | By Farrell Family Limited Partnership ⁽²⁾ |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | | P | | 1,000 | A | \$ 12.33 | 11,125 | I | By Farrell Family |

| | | | | | | | | |
|-----------------------------|------------|---|-------|---|----------|--------|---|--|
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 100 | A | \$ 12.36 | 11,225 | I | Limited Partnership ⁽²⁾ By Farrell Family Limited Partnership ⁽²⁾ |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 100 | A | \$ 12.36 | 11,325 | I | By Farrell Family Limited Partnership ⁽²⁾ |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 100 | A | \$ 12.35 | 11,425 | I | By Farrell Family Limited Partnership ⁽²⁾ |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 100 | A | \$ 12.35 | 11,525 | I | By Farrell Family Limited Partnership ⁽²⁾ |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 125 | A | \$ 12.35 | 11,650 | I | By Farrell Family Limited Partnership ⁽²⁾ |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 1,200 | A | \$ 12.34 | 12,850 | I | By Farrell Family Limited Partnership ⁽²⁾ |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 75 | A | \$ 12.34 | 12,925 | I | By Farrell Family Limited Partnership ⁽²⁾ |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 100 | A | \$ 12.36 | 13,025 | I | By Farrell Family Limited Partnership ⁽²⁾ |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 1,000 | A | \$ 12.36 | 14,025 | I | By Farrell Family Limited |

| | | | | | | | | |
|-----------------------------|------------|---|-------|---|----------|--------|---|--|
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 100 | A | \$ 12.36 | 14,125 | I | Partnership ⁽²⁾ By Farrell Family Limited Partnership ⁽²⁾ |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 350 | A | \$ 12.36 | 14,475 | I | By Farrell Family Limited Partnership ⁽²⁾ |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 2,000 | A | \$ 12.35 | 16,475 | I | By Farrell Family Limited Partnership ⁽²⁾ |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 775 | A | \$ 12.35 | 17,250 | I | By Farrell Family Limited Partnership ⁽²⁾ |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 2,250 | A | \$ 12.36 | 19,500 | I | By Farrell Family Limited Partnership ⁽²⁾ |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 100 | A | \$ 12.32 | 19,600 | I | By Farrell Family Limited Partnership ⁽²⁾ |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 100 | A | \$ 12.32 | 19,700 | I | By Farrell Family Limited Partnership ⁽²⁾ |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 100 | A | \$ 12.32 | 19,800 | I | By Farrell Family Limited Partnership ⁽²⁾ |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 100 | A | \$ 12.5 | 19,900 | I | By Farrell Family Limited Partnership |

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| | | | | | | | | |
|-----------------------------|------------|---|-------|---|----------|--------|---|---|
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 300 | A | \$ 12.5 | 20,200 | I | <u>(2)</u> By Farrell Family Limited Partnership |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 200 | A | \$ 12.37 | 20,400 | I | <u>(2)</u> By Farrell Family Limited Partnership |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 100 | A | \$ 12.37 | 20,500 | I | <u>(2)</u> By Farrell Family Limited Partnership |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 1,000 | A | \$ 12.43 | 21,500 | I | <u>(2)</u> By Farrell Family Limited Partnership |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 100 | A | \$ 12.43 | 21,600 | I | <u>(2)</u> By Farrell Family Limited Partnership |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 600 | A | \$ 12.44 | 22,200 | I | <u>(2)</u> By Farrell Family Limited Partnership |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 100 | A | \$ 12.43 | 22,300 | I | <u>(2)</u> By Farrell Family Limited Partnership |
| COMMON STOCK ⁽¹⁾ | 05/14/2013 | P | 200 | A | \$ 12.43 | 22,500 | I | <u>(2)</u> By Farrell Family Limited Partnership |
| COMMON STOCK | | | | | | 2,500 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| FARRELL MICHAEL J ONE OXFORD CENTRE 301 GRANT STREET, SUITE 2700 PITTSBURGH, PA 15219 | X | | | |

Signatures

/s/ Fenimore, Kay, Harrison & Ford, LLP by Brent Standefer, Jr.,
Attorney-in-fact

05/17/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This line individually reports the trades collectively constituting the acquisition of 12,500 shares of the common stock of the issuer reported in the original Form 4 for these purchases filed on May 14, 2013.
- (2) Mr. Farrell is the General Partner of this entity.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.