

Fink Nicholas I.
Form 3
May 09, 2012

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â Fink Nicholas I.</p> <p>(Last) (First) (Middle)</p> <p>BEAM INC.,Â 510 LAKE COOK ROAD</p> <p>(Street)</p> <p>DEERFIELD,Â ILÂ 60015</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>05/01/2012</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>BEAM INC [NYSE: BEAM]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) SVP, Chief Strategy Officer</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p>
--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	---------------------------------------------------------------------------------------	---------------------------------------------------------------------------------	-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	-------------------------------------------------------------	---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock, par value \$3.125	83	D	Â
Common Stock, par value \$3.125	649	I	Through Beam Inc. Retirement Savings Plan Trust.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
-----------------------------------------------	-------------------------------------------------------------	------------------------------------------------------------------	---------------------------	----------------------	--------------------------------------------

Edgar Filing: Fink Nicholas I. - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Employee Stock Option (Right to Buy)	Â (1)	09/26/2013	Common Stock	2,372	\$ 61.7448	D	Â
Employee Stock Option (Right to Buy)	Â (2)	09/24/2014	Common Stock	2,372	\$ 67.1897	D	Â
Employee Stock Option (Right to Buy)	Â (3)	09/29/2015	Common Stock	1,026	\$ 47.3192	D	Â
Employee Stock Option (Right to Buy)	09/30/2012	09/30/2016	Common Stock	2,408	\$ 35.6741	D	Â
Employee Stock Option (Right to Buy)	Â (4)	02/22/2017	Common Stock	4,817	\$ 36.2468	D	Â
Employee Stock Option (Right to Buy)	Â (5)	02/22/2021	Common Stock	3,011	\$ 51.0792	D	Â
Employee Stock Option (Right to Buy)	Â (6)	10/04/2021	Common Stock	9,730	\$ 44.75	D	Â
Employee Stock Option (Right to Buy)	Â (7)	02/21/2022	Common Stock	4,545	\$ 55.43	D	Â
Restricted Stock Unit	Â (8)	Â (8)	Common Stock	2,570	\$ (9)	D	Â
Restricted Stock Unit	Â (10)	Â (10)	Common Stock	1,245	\$ (9)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Fink Nicholas I. BEAM INC. 510 LAKE COOK ROAD DEERFIELD, IL 60015	Â	Â	Â SVP, Chief Strategy Officer	Â

Signatures

Leslie W. Jensen, Attorney-in-Fact for Nicholas I. Fink

05/09/2012

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Edgar Filing: Fink Nicholas I. - Form 3

- (1) The options vested in three equal annual installments beginning on September 26, 2007.
- (2) The options vested in three equal annual installments beginning on September 24, 2008.
- (3) The options vested in three equal annual installments beginning on September 29, 2009.
- (4) The options vested as to 2,409 shares on February 22, 2012 and will vest as to the remaining 2,408 shares on February 22, 2013.
- (5) The options vested as to 1,004 shares on February 22, 2012 and will vest as to 1,004 shares on February 22, 2013 and 1,003 shares on February 22, 2014.
- (6) The options vest in three equal annual installments beginning on October 4, 2013.
- (7) The options vest in three equal annual installments beginning on February 21, 2013.
Payment of the award will be made in three equal installments on October 4, 2013, October 4, 2014, and October 4, 2015 if Mr. Fink
- (8) remains employed through such dates, subject to special provisions in the event of death, disability or termination following a change in control.
- (9) Each restricted stock unit represents a contingent right to receive one share of Beam Inc. common stock.
- (10) Payment of the award will be made on or about February 21, 2015 if Mr. Fink remains employed through such date, subject to special provisions in the event of death, disability or termination following a change in control.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.