

STAAR SURGICAL CO  
Form 4  
March 06, 2012

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
FAGEN DONALD L

(Last) (First) (Middle)

1911 WALKER AVE

(Street)

MONROVIA, CA 91016

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
STAAR SURGICAL CO [STAA]

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/02/2012

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

V.P. Sales for N. America

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount (A) or Price (D)   |  |                                   |
| Common Stock                    | 03/02/2012                           |  | S                              |   | 100   | D  | \$ 10.94 10,400 <sup>(1)</sup>    |
| Common Stock                    | 03/02/2012                           |  | S                              |   | 100   | D  | \$ 10.95 10,300 <sup>(1)</sup>    |
| Common Stock                    | 03/02/2012                           |  | S                              |   | 100   | D  | \$ 10.99 10,200 <sup>(1)</sup>    |
| Common Stock                    | 03/02/2012                           |  | S                              |   | 200   | D  | \$ 11 10,000 <sup>(1)</sup>       |
| Common Stock                    | 03/02/2012                           |  | S                              |   | 200   | D  | \$ 11.01 9,800 <sup>(1)</sup>     |

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|              |            |   |     |   |          |                                     |   |
|--------------|------------|---|-----|---|----------|-------------------------------------|---|
| Common Stock | 03/02/2012 | S | 100 | D | \$ 11.02 | 9,700 <sup>(1)</sup>                | D |
| Common Stock | 03/02/2012 | S | 200 | D | \$ 11.04 | 9,500 <sup>(1)</sup>                | D |
| Common Stock | 03/02/2012 | S | 100 | D | \$ 11.09 | 9,400 <sup>(1)</sup>                | D |
| Common Stock | 03/02/2012 | S | 100 | D | \$ 11.15 | 9,300 <sup>(1)</sup> <sup>(2)</sup> | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares                                       |

## Reporting Owners

| Reporting Owner Name / Address                          | Relationships |           |                                 |       |
|---|---------------|-----------|---------------------------------|-------|
|   | Director      | 10% Owner | Officer                         | Other |
| FAGEN DONALD L<br>1911 WALKER AVE<br>MONROVIA, CA 91016 |               |           | V.P. Sales<br>for N.<br>America |       |

## Signatures

/s/Charles Kaufman as attorney-in-fact for Donald L. Fagen  
03/06/2012

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 7,500 Performance Accelerated Restricted Shares (PARS), subject to forfeiture and vesting in a single installment on March 15, 2014, but subject to accelerated vesting as to 1/3 of the shares each year pursuant to achievement of certain performance criteria.
- (2) Following all reported transactions, Mr. Fagen's total beneficial ownership (as defined in Rule 13d-3 under the Exchange Act) is 49,299 shares of Common Stock, which includes 7,500 PARS and 39,999 options that have vested or will vest within 60 days of this report. As of the completion of the reported transactions he also held 30,001 unvested stock options.

### Remarks:

This Statement on Form 4 reports Mr. Fagen's sale of 1,200 shares of STAAR Common Stock on March 2, 2012 pursuant to a

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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