HOWARD CHARLES S

Form 4 April 22, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

3235-0287 Number:

January 31, 2005

0.5

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Expires:

5. Relationship of Reporting Person(s) to

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

1. Name and Address of Reporting Person *

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

HOWARD CHARLES S			Symbol MidWestOne Financial Group, Inc.					Issuer (Check all applicable)			
			[MOFG]					(Che	ск ан аррисаон	-)	
(Last)	(First) (P		(Month/Da	•	nsaction			X Director Officer (gives)		6 Owner er (specify	
102 300 1 F	1 CLINTON STR	EE I	04/22/20	11							
IOWA CITY	(Street) Y, IA 52240			dment, Dat h/Day/Year)	_			6. Individual or . Applicable Line) _X_ Form filed by Form filed by Person		erson	
(6".)	(0,)	(7 :)						Person			
(City)	(State)	(Zip)	Table	I - Non-Do	erivative S	ecuri	ties Ac	quired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution any		3. Transaction Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3,	(A) of (D))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	04/22/2011			A	260 (5)	A	\$0	95,791	D		
Common Stock								75,800	I	By Spouse	
Common Stock								46,438 (2)	I	By ESOP	
Reminder: Rep	ort on a separate line	for each cla	ass of secur	ities benefi	cially owne	ed dire	ectly or	indirectly.			

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number.

8. P1 Deri Secu (Inst

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day/ e	piration Date		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 16.86					<u>(1)</u>	12/31/2012	Common Stock	6,650	
Stock Option	\$ 19.75					<u>(1)</u>	12/31/2013	Common Stock	6,982	
Stock Option	\$ 21.94					<u>(1)</u>	04/21/2014(3)	Common Stock	6,649	
Stock Option	\$ 18.71					<u>(1)</u>	04/21/2014(4)	Common Stock	2,849	

Reporting Owners

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other HOWARD CHARLES S X

102 SOUTH CLINTON STREET IOWA CITY, IA 52240

Signatures

Kenneth R. Urmie, under Power of Attorney dated January 22, 2009

04/22/2011

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Fully vested.
- (2) Shares held in MidWestOne Financial Group, Inc. Employee Stock Ownership Plan and allocated to reporting person's account as of April 21, 2011. Reporting person's ownership of shares in his ESOP account increased since his last report due to allocations made to his

Reporting Owners 2

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account.

- (3) Expiration date changed from 12/31/2014 to 4/21/2014 to comply with retirement provisions of 1998 Stock Option Plan as an employee stock option upon termination of reporting person's paid employment status on 4/21/2011.
- Expiration date changed from 12/31/2015 to 4/21/2014 to comply with retirement provisions of 1998 Stock Option Plan as an employee stock option upon termination of reporting person's paid employment status on 4/21/2011.
- (5) Shares were acquired pursuant to a grant of restricted stock units which vest in four equal annual installments beginning April 22, 2012. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.