MidWestOne Financial Group, Inc.

Form 3

January 26, 2009

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

Number:

January 31,

Expires:

2005 Estimated average

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

**OMB APPROVAL** 

(Print or Type Responses)

1. Name and Address of Reporting Person *  POTHOVEN JOHN P			2. Date of Event Requiring Statement (Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol MidWestOne Financial Group, Inc. [MOFG]				
(Last)	(First) (Middle) 01/22		01/22/2009		4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
102 SOUTH STREET	CLINTON	1				all applicable)	)	Thea(Monan Day, Tear)	
	(Street)				X Director 10% Owner Officer Other (give title below) (specify below)			6. Individual or Joint/Group Filing(Check Applicable Line)	
IOWA CITY, IA 52240					(give the below) (speeny be		σw)	_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)		Table I - N	Non-Derivative Securities Beneficially Owned				
1.Title of Secur (Instr. 4)	ity			2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na Owne (Instr	•	
Common Sto	ock			27,475		D	Â		
Common Stock				600		I	Self/UTMA Custodian Minor Grandchildren (1)		
Common Stock				14,999		I	By IRA		
Common Sto	ock			34,663		I	By ESOP		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.					ially S	EC 1473 (7-02	2)		

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: MidWestOne Financial Group, Inc. - Form 3

1. Title of Derivative Security (Instr. 4)		2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
	Stock Option	(2)	12/31/2012	Common Stock	4,750	\$ 16.86	D	Â
	Stock Option	(2)	12/31/2013	Common Stock	4,987	\$ 19.75	D	Â
	Stock Option	(2)	12/31/2014	Common Stock	4,750	\$ 21.94	D	Â
	Stock Option	(2)	12/30/2015	Common Stock	2,375	\$ 18.71	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
POTHOVEN JOHN P 102 SOUTH CLINTON STREET IOWA CITY, IA 52240	ÂX	Â	Â	Â		

#### **Signatures**

Kenneth R. Urmie, as Power of Attorney dated January 22, 2009

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Registered to self as custodian for minor grandchildren under Uniform Transfers to Minors Act. The reporting person disclaims beneficial ownership of these shares, and this report shall not be deemed an admission that the reporting person is the beneficial owner of these shares for purposes of Section 16 or for any other purpose.
- (2) Fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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