Edgar Filing: AMERICAN STATES WATER CO - Form 5

AMERICAN STATES WATER CO Form 5 January 16, 2008 FORM 5

January 16, 20)08							
FORM	5				OMB AF	PPROVAL		
	UNIT	ED STATES	S SECURITIES AND EXCHANGE (COMMISSION	OMB Number:	3235-0362		
Check this b no longer su			Washington, D.C. 20549	Expires:	January 31, 2005			
to Section 1 Form 4 or F 5 obligation may continu <i>See</i> Instruct	orm A s ie.		CATEMENT OF CHANGES IN BEN OWNERSHIP OF SECURITIES	EFICIAL	Estimated a burden hour response	iverage		
Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported Form 4 30(h) of the Investment Company Act of 1940 Transactions Reported								
1. Name and Ad KATHOL RO	•	rting Person <u>*</u>	2. Issuer Name and Ticker or Trading Symbol AMERICAN STATES WATER CO [AWR]	5. Relationship of I Issuer (Check	Reporting Pers			
(Last)	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2007	_X_ Director Officer (give t below)		Owner er (specify		
954 SOUTH	117TH CO	URT						
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joi	6. Individual or Joint/Group Reporting			
				(check	applicable line)			

OMAHA, NEÂ 68154

X Form Filed by One Reporting Person _ Form Filed by More than One Reporting Person

(City)	(State)	(Zip) Tal	ble I - Non-De	erivative Secu	irities	s Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities (A) or Dispo (Instr. 3, 4 a)	(A) or	of (D)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
common	08/10/2007	Â	А	Amount 75.64 (1)	(D) D	Price \$ 0	11,475.3377	D	Â
common	11/09/2007	Â	А	79.0059 (2)	D	\$0	11,554.3436	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information SEC 2270 contained in this form are not required to respond unless (9-02)the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. of D S G E I S Fi (I
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Edgar Filing: AMERICAN STATES WATER CO - Form 5

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
KATHOL ROBERT F 954 SOUTH 117TH COURT OMAHA, NE 68154	ÂX	Â	Â	Â		
Signatures						

Signatures

/s/ Robert F. Kathol	01/16/2008			
<u>**</u> Signature of Reporting Person	Date			

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DER units credited on 8/10/07 as dividend at FM
- (2) DER units credited on 11/9/07 as dividend at FMV

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.