Edgar Filing: REGIS CORP - Form 4

REGIS CORP Form 4 November 01, 2002

FORM 4

COMMISSION Washington, D.C. 20549 _ Check this box if no longer subject to Section

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL **OWNERSHIP**

UNITED STATES SECURITIES AND EXCHANGE

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

Name and Address of Reporting Person* Ribnick, Robert D.					ame and T poration (l		P	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle) 7201 Metro Boulevard				ortii	lentification ng Person, v (voluntary		M	Statement for onth/Day/Year /31/02	1 <u>X</u>	_ Director			
(Street) Edina, MN 55439							Da	If Amendment, tte of Original Ionth/Day/Year)	7 (• <u>X</u> P	Officer Individual or Joint/Group Filing Check Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State) (Zip)				Tab	le I Non-	-Deriv	ative Se		sposed of, or Beneficially Owned				
	action	Execution Date,	3. Transaction Code (Instr. 8 Code		4. Securition or Dispose (Instr. 3, 4) Amount	d of (I		5. Amount of Securities Beneficially Owned Follow- ing Reported Transactions(s) (Instr. 3 & 4)		6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	10/31/02		M		2,750	A	\$8.253	3		D			
Common Stock	10/31/02		M		6,750	A	\$11.7	8		D			
Common Stock	10/31/02		S		6,000	D	\$29.1	5		D			
Common Stock	10/31/02		S		3,500	D	\$29.5	4	12,847	I	By Profit Sharing Plans		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially **Owned**

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Edgar Filing: REGIS CORP - Form 4

(e.g., puts, calls, warrants, options, convertible securities)

2. Conver-	3. Trans-	3A.	4.	5.				7. Title and		8. Price of	9. Number of	10.	11. Nature
sion or	action	Deemed	Trans-	Number		and Expiration		Amount of		Derivative	Derivative	Owner-	of Indirec
Exercise	Date	Execution	action	of		Date		Underlying		Security	Securities	ship	Beneficial
Price of		Date,	Code	Deriva	ative	(Month/Day/		Securities		(Instr. 5)	Beneficially	Form	Ownershi
Derivative	(Month/	if any		Securi	ities	Year)		(Instr. 3 &	z 4)		Owned	of Deriv-	(Instr. 4)
		(Month/	(Instr.	Acqui	red						Following	ative	
		-	8)	(A) or	•						Reported	Security:	
		Year)		Dispo	sed						Transaction(s)	Direct	
				of (D)							(Instr. 4)	(D)	
												or	
				(Instr.	3,							Indirect	
				4 & 5)								(I)	
			Code V	(A)	(D)	Date	Expira-	Title	Amount			(Instr. 4)	
				, ,			_		or				
							Date		Number				
									of				
									Shares				
\$8.2533	10/31/02		M	2,750		06/21/96	06/14/06	Common	2,750		0		
								stock	ĺ				
\$11.78	10/31/02		M	6,750		03/08/01	03/07/06	Common	6,750		0		
				,					-, - ,				
	sion or Exercise Price of Derivative Security	sion or action Exercise Date Price of Derivative (Month/ Security Day/ Year) \$8.2533 10/31/02	sion or action Deemed Exercise Date Execution Price of Date, Derivative (Month/ if any Security Day/ (Month/	sion or Exercise Date Deemed Execution Date, If any Code of Derivative Security Pear) \$8.2533 10/31/02 M Deemed Execution Date, If any Code of If	sion or action Deemed Exercise Date Price of Derivative (Month/ Security Year) Day/ Year) \$8.2533 10/31/02 Deemed Execution Date, if any (Month/ Day/ Year) (Month/ Day/ Year) \$8.2533 10/31/02 M 2,750	sion or Exercise Date Date Date Price of Derivative Security Pay/ Year) \$\$8.2533 10/31/02\$ Deemed Execution Date, Code Derivative Securities Acquired Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5) Code V (A) (D) \$\$2,750	sion or Exercise Date Date Date Date Price of Derivative Security Pear) \$\$ 20	Sion or Action Date Execution Date Execution Date Execution Date Date Date Date Date Date Date Date Derivative Month/ Security Month/ Year) Month/ Year) Month/ Securities Month/ Secu	sion or Exercise Date Date Date Date Date Date Derivative (Month/ Day/ Year) Security Price of Date Derivative (Month/ Year) Security Price of Date Date, if any (Month/ Year) Day/ Year) Code Derivative (Month/Day/ Securities Year) (Instr. Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5) Code V (A) (D) Date Exercisable Expiration Date Securities (Instr. 3 & Expiration Date) Securities Year) (Instr. 3, 4 & 5) Code V (A) (D) Date Exer-cisable Expiration Date Securities Of Date Date Expiration Date Securities Of Date Date Expiration Date Securities Of Date Date Date Date Date Date Date Date	Sion or Sion or Securities	Sion or Exercise Date Execution Date Gode Derivative Gode Derivative Gode Gode	sion or Exercise Price of Date Price of Derivative Price of Derivative Securities Price of Derivative Securities Price of Derivative Securities (Instr. 3 & 4) Securities Price of Derivative Month/Day/ Securities (Instr. 3 & 4) Securities Price of Derivative Month/Day/ Securities (Instr. 3 & 4) Securities Price of Derivative Month/Day/ Securities (Instr. 3 & 4) Securities Price of Derivative Month/Day/ Securities (Instr. 3 & 4) Securities Price of Derivative Month/Day/ Securities (Instr. 3 & 4) Securities Price of Derivative Month/Day/ Securities (Instr. 3 & 4) Securities Price of Derivative Month/Day/ Securities (Instr. 3 & 4) Securities Price of Derivative Securities (Instr. 5) Securities Price of Derivative Month/Day/ Securities (Instr. 3 & 4) Securities Price of Date Price of Derivative Securities (Instr. 5) Securities (Instr. 5) Securities (Instr. 5) Securities (Instr. 3 & 4) Securities (Instr. 5) Securities (Sion or Exercise Date Deemed Execution Date Derivative Code Code Derivative Code Code Derivative Code Code Derivative Code Code Code Derivative Code Co

Explanation of Responses:

By: /s/ Bert M. Gross for David B. Kunin 11/01/02
Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{**}Signature of Reporting Person

^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).