AbbVie Inc. Form 4 May 10, 2016

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* **AUSTIN ROXANNE S** 

2. Issuer Name and Ticker or Trading Symbol

AbbVie Inc. [ABBV]

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 05/06/2016

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Issuer

(Check all applicable)

X\_ Director 10% Owner Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NORTH CHICAGO, IL 60064

(State)

1 N. WAUKEGAN ROAD

1.Title of 2. Transaction Date 2A. Deemed 4. Securities Security (Month/Day/Year) Execution Date, if (Instr. 3) Code (Month/Day/Year) (Instr. 8)

(Zip)

TransactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

(A)

Common

stock, \$0.01 05/06/2016 par value

33,526

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

#### Edgar Filing: AbbVie Inc. - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exer        | cisable and        | 7. Title | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------------|--------------------|----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber   | Expiration D        | ate                | Amou     | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/         | Year)              | Under    | lying    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e                   |                    | Securi   | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |                     |                    | (Instr.  | 3 and 4) |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |                     |                    |          |          |             | Follo  |
|             | ·           |                     |                    |            | (A) or     |                     |                    |          |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |                     |                    |          |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |                     |                    |          |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |                     |                    |          |          |             | Ì      |
|             |             |                     |                    |            | 4, and 5)  |                     |                    |          |          |             |        |
|             |             |                     |                    |            |            |                     |                    |          |          |             |        |
|             |             |                     |                    |            |            |                     |                    |          | Amount   |             |        |
|             |             |                     |                    |            |            | Date<br>Exercisable | Expiration<br>Date | Title    | or       |             |        |
|             |             |                     |                    |            |            |                     |                    |          | Number   |             |        |
|             |             |                     |                    |            |            |                     |                    |          | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |                     |                    |          | Shares   |             |        |

# **Reporting Owners**

| Reporting Owner Name / Address        | Relationships |           |         |       |  |  |  |
|---------------------------------------|---------------|-----------|---------|-------|--|--|--|
| · · · · · · · · · · · · · · · · · · · | Director      | 10% Owner | Officer | Other |  |  |  |
| AUSTIN ROXANNE S                      |               |           |         |       |  |  |  |
| 1 N. WAUKEGAN ROAD                    | X             |           |         |       |  |  |  |
| NORTH CHICAGO, IL 60064               |               |           |         |       |  |  |  |

## **Signatures**

Steven L. Scrogham, attorney-in-fact for Roxanne S.

Austin

05/10/2016

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These are restricted stock units awarded under the AbbVie 2013 Incentive Stock Program (the "Program"). They will be paid, on a one-to-one basis, in shares of AbbVie common stock on the earlier of the date of the director's separation from service, death, or the occurrence of a change in control (as defined in the Program).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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