

ALERE INC.  
Form 4  
September 02, 2015

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Guerdan Melissa

(Last) (First) (Middle)

51 SAWYER ROAD, SUITE 200

(Street)

WALTHAM, MA 02453

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ALERE INC. [ALR]

3. Date of Earliest Transaction (Month/Day/Year)  
08/31/2015

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

SVP, Global Quality & Reg.

6. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	08/31/2015		M		8,333	A	Ⓛ
Common Stock	08/31/2015		F		3,198	D	\$ 51.97
Common Stock	09/01/2015 <sup>(3)</sup>		M		5,000	A	\$ 18.78
Common Stock	09/01/2015 <sup>(3)</sup>		S		5,000	D	\$ 50.4825

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Restricted Stock Units	<u>(1)</u>	08/31/2015		M	8,333	<u>(2)</u> <u>(2)</u>	Common Stock	8,333
Employee Stock Option (Right to Buy)	\$ 18.78	09/01/2015 <sup>(3)</sup>		M	5,000	<u>(4)</u> 08/31/2022	Common Stock	5,000

## Reporting Owners

**Reporting Owner Name / Address**

Guerdan Melissa  
51 SAWYER ROAD, SUITE 200  
WALTHAM, MA 02453

**Relationships**

Director    10% Owner    Officer    Other

SVP, Global Quality & Reg.

## Signatures

/s/ Douglas Barry,  
Attorney-in-Fact

09/02/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each restricted stock unit represents a contingent right to receive one share of Alere common stock.

(2)

## Edgar Filing: ALERE INC. - Form 4

On August 31, 2014, the reporting person was granted 25,000 restricted stock units, which vest as follows: 8,333 on the first anniversary of the grant date (8/31/15); 8,333 on the second anniversary of the grant date (8/31/16); and 8,334 on the third anniversary of the grant date (8/31/17).

- (3) The transactions reported on this Form were made pursuant to a written 10b5-1 trading plan adopted in accordance with SEC Rule 10b5-1. Represents same day exercise and sale of stock options.
- (4) Option will vest in four equal installments on 8/31/13, 8/31/14, 8/31/15 and 8/31/16.
- (5) This derivative security does not have a price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.