

Neos Therapeutics, Inc.  
Form 3  
July 22, 2015

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â Garg Vipin K</p> <p>(Last) (First) (Middle)</p> <p>C/O NEOS THERAPEUTICS, INC.,Â 2940 N. HIGHWAY 360</p> <p>(Street)</p> <p>GRAND PRAIRIE,Â TXÂ 75050</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>07/22/2015</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>Neos Therapeutics, Inc. [NEOS]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Chief Executive Officer</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p>
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**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	142,049 <sup>(1)</sup>	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Expiration Date	Title			

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	Date Exercisable		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Series C Preferred Stock	Â (2)	Â (2)	Common Stock 2,500	\$ (2)	D	Â
Stock Option (Right to Buy)	Â (3)	10/16/2023(2)	Common Stock 142,049	\$ 2.55 (2)	D	Â
Stock Option (Right to Buy)	Â (4)	08/28/2024	Common Stock 82,577	\$ 7.49	D	Â
Stock Option (Right to Buy)	Â (5)	02/19/2025	Common Stock 50,000	\$ 9.32	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Garg Vipin K C/O NEOS THERAPEUTICS, INC. 2940 N. HIGHWAY 360 GRAND PRAIRIE, TX 75050	Â X	Â	Â Chief Executive Officer	Â

## Signatures

/s/ Benjamin Piper,  
attorney-in-fact

07/22/2015

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of restricted common stock which vest in equal annual installments over a four-year period which began on October 16, 2013.  
The Series C preferred stock is convertible into common stock on an 2.4-for-1 basis into the number of shares of common stock as shown in column 3 at any time at the holder's election, and automatically upon the closing of the Issuer's initial public offering. The Series C preferred stock has no expiration date.
- (2) This stock option vests in equal annual installments over a four-year period which began on October 16, 2013.
- (3) This stock option vests in equal annual installments over a four-year period which began on August 28, 2015.
- (4) This stock option vests in equal annual installments over a four-year period which began on February 19, 2015.
- (5) This stock option vests in equal annual installments over a four-year period which began on February 19, 2015.

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### Remarks:

Exhibit List: Exhibit 24.1 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.