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BIOTIME INC Form 8-K April 10, 2013 SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (date of earliest event reported): April 10, 2013

## BioTime, Inc.

(Exact name of registrant as specified in its charter)

California 1-12830 94-3127919

(State or other jurisdiction (Commission File Number) (IRS Employer of incorporation)

Identification No.)

## 1301 Harbor Bay Parkway Alameda, California 94502

(Address of principal executive offices)

## (510) 521-3390

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Statements made in this Report that are not historical facts may constitute forward-looking statements that are subject to risks and uncertainties that could cause actual results to differ materially from those discussed. Such risks and uncertainties include but are not limited to those discussed in this report and in BioTime's other reports filed with the Securities and Exchange Commission. Words such as "expects," "may," "will," "anticipates," "intends," "plans," "believes, "estimates," and similar expressions identify forward-looking statements.

## Section 7 - Regulation FD

## Item 7.01 - Regulation FD Disclosure

On April 10, 2013, we issued the press release filed as Exhibit 99.1, which is incorporated by reference.

#### **Section 9 - Financial Statements and Exhibits**

#### Item 9.01 - Financial Statements and Exhibits.

## Exhibit Number Description

99.1 Press release dated April 10, 2013.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

## BIOTIME, INC.

Date: April 10, 2013 By: /s/ Michael D. West

Chief Executive

Officer

## Exhibit Number Description

99.1 Press release dated April 10, 2013.

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: 0; border-right-width: 1; border-bottom-width: 1">10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)11. Nature of Indirect Beneficial Ownership

(Instr. 4)CodeV(A)(D)Date ExercisableExpiration DateTitleAmount or Number of Shares Employee Stock Option (Right to Buy) \$  $12.2911/15/2004 \text{ M} - 4,000 \frac{(2)}{2}06/01/2012 \text{ Common Stock } 4,000 \$ 0 412,673 \text{ D}$ 

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## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

NORDHOFF HENRY L

GEN-PROBE INCORPORATED
10210 GENETIC CENTER DRIVE

X President & CEO

SAN DIEGO, CA 92121

## **Signatures**

/s/ R. William Bowen, Attorney-in-Fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on September 15, 2003.
- (2) Option vests as follows: 25% vest on 6/1/03; 1/48th vesting monthly following three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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