

Himax Technologies, Inc.  
Form SC 13G/A  
February 10, 2017

**SECURITIES AND EXCHANGE COMMISSION**

**WASHINGTON, DC 20549**

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**SCHEDULE 13G**

**(Rule 13d-102)**

Information to be Included in Statements Filed Pursuant to Rule 13d-1(b), (c) and (d) and  
Amendments Thereto Filed Pursuant to Rule 13d-2(b)

Under the Securities Exchange Act of 1934

(Amendment No. 11)

Himax Technologies, Inc.  
(Name of Issuer)  
Ordinary Shares, par value US\$0.3 per share  
(Title of Class of Securities)  
43289P106  
(CUSIP Number)  
December 31, 2016  
(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- ☐ Rule 13d – 1(b)
- ☐ Rule 13d – 1(c)
- ☒ Rule 13d – 1(d)



**SCHEDULE 13G**

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|                     |                                                                                                                          |
|---------------------|--------------------------------------------------------------------------------------------------------------------------|
|                     | NAME OF<br>REPORTING<br>PERSONS                                                                                          |
| <b>1</b>            | S.S. OR I.R.S.<br>IDENTIFICATION NO.<br>OF ABOVE PERSON<br>Biing-Seng Wu<br>CHECK<br>THE<br>APPROPRIATE<br>BOX IF A (a)o |
| <b>2</b>            | MEMBER<br>OF A<br>GROUP                                                                                                  |
|                     | (b)o                                                                                                                     |
| <b>3</b>            | SEC USE ONLY                                                                                                             |
| <b>4</b>            | CITIZENSHIP OR<br>PLACE OF<br>ORGANIZATION<br>Republic of China<br>SOLE<br>VOTING                                        |
| <b>Number of</b>    | <b>5</b> POWER                                                                                                           |
| <b>Shares</b>       | 297,640 (See<br>item 4)                                                                                                  |
| <b>Beneficially</b> | SHARED<br>VOTING                                                                                                         |
| <b>Owned By</b>     | <b>6</b> POWER                                                                                                           |
| <b>Each</b>         | 71,049,528<br>(See item 4)                                                                                               |
| <b>Reporting</b>    | SOLE<br>DISPOSITIVE                                                                                                      |
| <b>Person</b>       | <b>7</b> POWER                                                                                                           |
| <b>With</b>         | 297,640 (See<br>item 4)                                                                                                  |
|                     | SHARED<br>DISPOSITIVE                                                                                                    |
|                     | <b>8</b> POWER                                                                                                           |
|                     | 71,049,528<br>(See item 4)                                                                                               |

|    |                                                                                                                                      |
|----|--------------------------------------------------------------------------------------------------------------------------------------|
| 9  | AGGREGATE<br>AMOUNT<br>BENEFICIALLY<br>OWNED BY EACH<br>REPORTING PERSON<br>71,347,168 (See item 4)<br>CHECK BOX IF THE<br>AGGREGATE |
| 10 | AMOUNT IN ROW (9)<br>EXCLUDES CERTAIN<br>SHARES o                                                                                    |
| 11 | PERCENT OF CLASS<br>REPRESENTED BY<br>AMOUNT IN ROW (9)<br>20.7% (See item 4)                                                        |
| 12 | TYPE OF REPORTING<br>PERSON<br>IN                                                                                                    |

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|                     |                                                                                             |
|---------------------|---------------------------------------------------------------------------------------------|
|                     | NAME OF<br>REPORTING<br>PERSONS                                                             |
| <b>1</b>            | S.S. OR I.R.S.<br>IDENTIFICATION NO.<br>OF ABOVE PERSON<br>Sanfair Asia Investments<br>Ltd. |
|                     | CHECK<br>THE<br>APPROPRIATE<br>BOX IF A (a)o<br><b>2</b> MEMBER<br>OF A<br>GROUP            |
|                     | (b)o                                                                                        |
| <b>3</b>            | SEC USE ONLY                                                                                |
| <b>4</b>            | CITIZENSHIP OR<br>PLACE OF<br>ORGANIZATION<br>British Virgin Islands                        |
| <b>Number of</b>    | SOLE                                                                                        |
| <b>Shares</b>       | <b>5</b> VOTING<br>POWER<br>0                                                               |
| <b>Beneficially</b> | SHARED                                                                                      |
| <b>Owned By</b>     | <b>6</b> VOTING<br>POWER<br>51,009,690                                                      |
| <b>Each</b>         | SOLE                                                                                        |
| <b>Reporting</b>    | <b>7</b> DISPOSITIVE<br>POWER<br>0                                                          |
| <b>Person</b>       | SHARED                                                                                      |
| <b>With</b>         | <b>8</b> DISPOSITIVE<br>POWER<br>51,009,690                                                 |
| <b>9</b>            | AGGREGATE<br>AMOUNT<br>BENEFICIALLY<br>OWNED BY EACH<br>REPORTING PERSON<br>51,009,690      |
| <b>10</b>           |                                                                                             |

CHECK BOX IF THE  
AGGREGATE  
AMOUNT IN ROW (9)  
EXCLUDES CERTAIN  
SHARES o

**11** PERCENT OF CLASS  
REPRESENTED BY  
AMOUNT IN ROW (9)  
14.8%

**12** TYPE OF REPORTING  
PERSON  
CO

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|                                                                                                      |                                                                                                                                                                                                                                                                                                                                                     |          |                              |          |                                         |          |                                   |          |                                              |
|------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|------------------------------|----------|-----------------------------------------|----------|-----------------------------------|----------|----------------------------------------------|
|                                                                                                      | NAME OF<br>REPORTING<br>PERSONS                                                                                                                                                                                                                                                                                                                     |          |                              |          |                                         |          |                                   |          |                                              |
| <b>1</b>                                                                                             | S.S. OR I.R.S.<br>IDENTIFICATION NO.<br>OF ABOVE PERSON<br>Chi-Duan Investment<br>Co. Ltd.                                                                                                                                                                                                                                                          |          |                              |          |                                         |          |                                   |          |                                              |
| <b>2</b>                                                                                             | CHECK<br>THE<br>APPROPRIATE<br>BOX IF A (a)o<br>MEMBER<br>OF A<br>GROUP                                                                                                                                                                                                                                                                             |          |                              |          |                                         |          |                                   |          |                                              |
| <b>3</b>                                                                                             | (b)o<br>SEC USE ONLY                                                                                                                                                                                                                                                                                                                                |          |                              |          |                                         |          |                                   |          |                                              |
| <b>4</b>                                                                                             | CITIZENSHIP OR<br>PLACE OF<br>ORGANIZATION<br>Republic of China                                                                                                                                                                                                                                                                                     |          |                              |          |                                         |          |                                   |          |                                              |
| <b>Number of<br/>Shares<br/>Beneficially<br/>Owned By<br/>Each<br/>Reporting<br/>Person<br/>With</b> | <table border="0"> <tr> <td><b>5</b></td> <td>SOLE<br/>VOTING<br/>POWER<br/>0</td> </tr> <tr> <td><b>6</b></td> <td>SHARED<br/>VOTING<br/>POWER<br/>20,039,838</td> </tr> <tr> <td><b>7</b></td> <td>SOLE<br/>DISPOSITIVE<br/>POWER<br/>0</td> </tr> <tr> <td><b>8</b></td> <td>SHARED<br/>DISPOSITIVE<br/>POWER<br/>20,039,838</td> </tr> </table> | <b>5</b> | SOLE<br>VOTING<br>POWER<br>0 | <b>6</b> | SHARED<br>VOTING<br>POWER<br>20,039,838 | <b>7</b> | SOLE<br>DISPOSITIVE<br>POWER<br>0 | <b>8</b> | SHARED<br>DISPOSITIVE<br>POWER<br>20,039,838 |
| <b>5</b>                                                                                             | SOLE<br>VOTING<br>POWER<br>0                                                                                                                                                                                                                                                                                                                        |          |                              |          |                                         |          |                                   |          |                                              |
| <b>6</b>                                                                                             | SHARED<br>VOTING<br>POWER<br>20,039,838                                                                                                                                                                                                                                                                                                             |          |                              |          |                                         |          |                                   |          |                                              |
| <b>7</b>                                                                                             | SOLE<br>DISPOSITIVE<br>POWER<br>0                                                                                                                                                                                                                                                                                                                   |          |                              |          |                                         |          |                                   |          |                                              |
| <b>8</b>                                                                                             | SHARED<br>DISPOSITIVE<br>POWER<br>20,039,838                                                                                                                                                                                                                                                                                                        |          |                              |          |                                         |          |                                   |          |                                              |
| <b>9</b>                                                                                             | AGGREGATE<br>AMOUNT<br>BENEFICIALLY<br>OWNED BY EACH<br>REPORTING PERSON<br>20,039,838                                                                                                                                                                                                                                                              |          |                              |          |                                         |          |                                   |          |                                              |
| <b>10</b>                                                                                            |                                                                                                                                                                                                                                                                                                                                                     |          |                              |          |                                         |          |                                   |          |                                              |

CHECK BOX IF THE  
AGGREGATE  
AMOUNT IN ROW (9)  
EXCLUDES CERTAIN  
SHARES ☐

**11** PERCENT OF CLASS  
REPRESENTED BY  
AMOUNT IN ROW (9)  
5.8%

**12** TYPE OF REPORTING  
PERSON  
CO

4



Item 1(a). Name of Issuer.

Himax Technologies, Inc.

Item 1(b). Address of Issuer's Principal Executive Offices.

The address of the principal executive offices of Himax Technologies, Inc. is No. 26, Zih Lian Road, Sinshih District, Tainan City 74148, Taiwan, the Republic of China.

Item 2(a). Name of Person Filing.

Biing-Seng Wu

Sanfair Asia Investments Ltd.

Chi-Duan Investment Co. Ltd.

Item 2(b). Address of Principal Business Office or, if None, Residence.

The address of the principal business office of Biing-Seng Wu is No. 26, Zih Lian Road, Sinshih District, Tainan City 74148, Taiwan, the Republic of China.

The address of the principal business office of Sanfair Asia Investments Ltd. is Akara Building, 24 De Castro Street, Wickhams Cat I, Road Town, Tortola, British Virgin Islands.

The address of the principal business office of Chi-Duan Investment Co. Ltd. is 10F, No.1, Xiangyang Rd., Taipei 10046, Taiwan, the Republic of China.

Item 2(c). Citizenship.

Biing-Seng Wu is a citizen of the Republic of China.

Sanfair Asia Investments Ltd. is incorporated under the laws of the British Virgin Islands.

Chi-Duan Investment Co. Ltd. is incorporated under the laws of the Republic of China.

Item 2(d). Title of Class of Securities.

Ordinary Shares, par value US\$0.3 per Share (the "Shares").

Item 2(e). CUSIP Number.

43289P106

Item 3. If this statement is filed pursuant to Rules 13d-1(b) or 13d-2(b) or (c), check whether the person filing is a:

- (a) ☐ Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o);
- (b) ☐ Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
- (c) ☐ Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
- (d) ☐ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
- (e) ☐ An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E);
- (f) ☐ An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F);
- (g) ☐ A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
- (h) ☐ A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) ☐ A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act (15 U.S.C. 80a-3);
- (j) ☐ A non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
- (k) ☐ Group, in accordance with § 240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution:

Item 4. Ownership.

(a), (b) and (c)

Biing-Seng Wu directly owns 297,640 Shares, representing approximately 0.1% of the outstanding Shares. Biing-Seng Wu beneficially owns 51,009,690 Shares and 20,039,838 Shares through Sanfair Asia Investments Ltd. and Chi-Duan Investment Co. Ltd, respectively, both of which are investment companies controlled by Biing-Seng Wu. Biing-Seng Wu therefore may be deemed to have shared power to vote and dispose of 71,049,528 Shares. Accordingly, Biing-Seng Wu may be deemed to beneficially own an aggregate of 71,347,168 Shares, representing approximately 20.7% of the outstanding Shares.

Sanfair Asia Investments Ltd. directly owns and has shared power to vote and dispose of 51,009,690 Shares, representing approximately 14.8% of the outstanding Shares as of December 31, 2016.

Chi-Duan Investment Co. Ltd. directly owns and has shared power to vote and dispose of 20,039,838 Shares, representing approximately 5.8% of the outstanding Shares as of December 31, 2016.

Item 5. Ownership of Five Percent or Less of the Class.

Not applicable.

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Not applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company.

Not applicable.

Item 8. Identification and Classification of Members of the Group.

Not applicable.

Item 9. Notice of Dissolution of Group.

Not applicable.

Item 10. Certification.

Not applicable.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 10, 2017

By: /s/ Biing-Seng Wu  
Name: Biing-Seng Wu

SANFAIR ASIA  
INVESTMENTS LTD.

By: /s/ Biing-Seng Wu  
Name: Biing-Seng Wu  
Title: Director

CHI-DUAN  
INVESTMENT CO. LTD.

By: /s/ Biing-Seng Wu  
Name: Biing-Seng Wu  
Title: Director

Exhibit A

JOINT FILING AGREEMENT

In accordance with Rule 13d-1(k) under the Securities Exchange Act of 1934, as amended, the undersigned agree to the joint filing on behalf of each of them of a statement on Schedule 13G (including amendment thereto) with respect to the Shares of Himax Technologies, Inc. and further agree that this agreement be included as an exhibit to such filing. In evidence thereof, each of the undersigned hereby executed this Agreement on February 10, 2017.

By:/s/ Biing-Seng Wu  
Name: Biing-Seng Wu

SANFAIR ASIA  
INVESTMENTS LTD.

By:/s/ Biing-Seng Wu  
Name: Biing-Seng Wu  
Title: Director

CHI-DUAN  
INVESTMENT CO. LTD.

By:/s/ Biing-Seng Wu  
Name: Biing-Seng Wu  
Title: Director