

Elsbernd Brian
 Form 3
 January 04, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|---|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Elsbernd Brian | | (Month/Day/Year) | CATALYST PHARMACEUTICALS, INC. [CPRX] | |
| (Last) | (First) | (Middle) | 12/27/2018 | |
| 355 ALHAMBRA | | 4. Relationship of Reporting Person(s) to Issuer | | |
| CIRCLE,Â SUITE 1250 | | (Check all applicable) | | |
| (Street) | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Chief Compliance/Legal Officer | | |
| CORAL GABLES,Â FLÂ 33134 | | 5. If Amendment, Date Original Filed(Month/Day/Year) | | |
| (City) | (State) | (Zip) | 6. Individual or Joint/Group Filing(Check Applicable Line) | |
| | | <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person | | |

Table I - Non-Derivative Securities Beneficially Owned

| | | | |
|------------------------------------|--|---|--|
| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | | | | |
|---|---|--|--|--|--|
| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
| | Date Exercisable Expiration Date | Title Amount or Number of Shares | | | |

(Instr. 5)

| | | | | | | | |
|-------------------------------------|-------|------------|-----------------|---------|---------|---|---|
| Options to purchase Common Stock | Â (1) | 02/16/2023 | Common Stock | 65,000 | \$ 1.85 | D | Â |
| Options to purchase Common Stock | Â (2) | 06/15/2023 | Common Stock | 40,000 | \$ 0.79 | D | Â |
| Options to purchase Common Stock | Â (3) | 01/03/2024 | Common Stock | 50,000 | \$ 1.13 | D | Â |
| Options to purchase Common Stock | Â (4) | 01/02/2025 | Common Stock | 60,000 | \$ 4.01 | D | Â |
| Options to purchase Common Stock | Â (5) | 12/19/2025 | Common Stock | 225,000 | \$ 2.24 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|----------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Elsbernd Brian 355 ALHAMBRA CIRCLE SUITE 1250 CORAL GABLES, FL 33134 | Â | Â | Â Chief Compliance/Legal Officer | Â |

Signatures

/s/ Brian
Elsbernd

01/04/2019

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options have vested or will vest in three equal tranches on February 16, 2017, 2018 and 2019.
- (2) Options vested in two equal tranches on June 16, 2017 and 2018.
- (3) Options have vested or will vest in three equal tranches on January 3, 2018, 2019 and 2020.
- (4) Options will vest in three equal tranches on January 2, 2019, 2020 and 2021.
- (5) Options will vest in three equal tranches on December 19, 2019, 2020 and 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.