## Edgar Filing: ULTRA PETROLEUM CORP - Form 3

## **ULTRA PETROLEUM CORP** Form 3

August 01, 2001

U.S. SECURITIES AND EXCHANGE COMMISSION Washington, DC 20549

FORM 3

#### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

| 1.      | Name and Address of Repo  | rting Person*        |  |  |  |  |
|---------|---|----------------------|--|--|--|--|
| Watford |   | Michael              | David                                  |  |  |  |
|         | (Last)  | (First)              | (Middle)                               |  |  |  |
| 6519    | 9 Wimbledon Trail   |                      |  |  |  |  |
|         |   | (Street)             |  |  |  |  |
| Spring  |   | TX                   | 77379                                  |  |  |  |
|         | (City)  | (State)              | (Zip)                                  |  |  |  |
| 2.      | Date of Event Requiring   | Statement (Month/Day | //Year)                                |  |  |  |
| 06/3    | 30/01   |                      |  |  |  |  |
| 3.      | IRS Identification Numbe  | r of Reporting Perso | on, if an Entity (Voluntary)           |  |  |  |
| 4.      | . Issuer Name and Ticker or Trading Symbol                        |                      |  |  |  |  |
| Ulti    | ra Petroleum Corp. (UPL)  |                      |  |  |  |  |
| 5.      | Relationship of Reporting Person to Issuer (Check all applicable) |                      |  |  |  |  |
|         | <pre>[X] Director [X] Officer (give title</pre>                   | <del></del>          | ] 10% Owner<br>] Other (specify below) |  |  |  |
| Chai    | irman, President, CEO   |                      |  |  |  |  |
| 6.      | 6. If Amendment, Date of Original (Month/Day/Year)                |                      |  |  |  |  |
| 7.      | Individual or Joint/Group Filing (Check applicable line)          |                      |  |  |  |  |
|         | [X] Form Filed by One Reporting Person                            |                      |  |  |  |  |
|         | [_] Form Filed by More  | than One Reporting E | Person                                 |  |  |  |
| ====    |   |                      |  |  |  |  |

Table I -- Non-Derivative Securities Beneficially Owned

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|  |   | f Securities    | 3. Ownership Form: Direct (D) or                 |        |
|--|---|-----------------|--|--------|
| 1. Title of Security (Instr. 4)                                  | (Instr.                                 |                 | (Instr. 5)                                       |        |
|  |   |                 |  |        |
| Common   | 686,101                                 |                 | D  |        |
|  |   |                 |  |        |
|  |   |                 |  |        |
|  |   |                 |  |        |
|  |   |                 |  |        |
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|  |   |                 |  |        |
|  |   |                 |  |        |
|  |   |                 |  |        |
|  | ======================================= |                 |  |        |
| * If the Form is filed by more $5(b)(v)$ .                       | than one Report:                        | ing Person, see | e Instruction                                    |        |
| Reminder: Report on a separate line owned directly or indirectly |   | s of securities | s beneficially                                   |        |
| (Print   | t of Type Respons                       | ses)            |  |        |
|  |   |                 | (Over)   |        |
|  |   |                 |  |        |
| FORM 3 (continued)   |   |                 |  |        |
| Table II Derivat:  | ive Securities Be                       | eneficially Own | ned  |        |
| (e.g., puts, calls, warra  |   |                 |  |        |
|  | ==========                              | -========       |  |        |
|  |   | 0 = 1 : -       |  |        |
|  | Exercisable<br>xpiration Date           |                 | d Amount of Securities ng Derivative Security 4) | 4. Con |

4. Conve

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| (Month/Day/Year)                           |          |           | Amount<br>or | sion<br>Exero          |                         |
|--|----------|-----------|--------------|------------------------|-------------------------|
| 1. Title of Derivative Security (Instr. 4) |          | tion      | Title        | Number<br>of<br>Shares | Price<br>Deriv<br>Secur |
| Options                                    | 01/28/99 | 01/28/09  | Common       | 1,650,000              | \$1.46 Cd               |
| Options                                    |          |           | Common       | 250,000                |                         |
|  |          | 05/30/11  | Common       | 250,000                |                         |
|  |          |           |              |                        |                         |
|  |          |           |              |                        |                         |
|  |          |           |              |                        |                         |
|  |          |           |              |                        |                         |
|  |          |           |              |                        |                         |
|  |          |           |              |                        |                         |
|  |          |           |              |                        |                         |
|  |          |           |              |                        |                         |
|  |          |           |              |                        |                         |
|  |          | ========= |              |                        |                         |

Explanation of Responses:

| /s/ Michael D. Watford          | 07/10/01 |  |
|---------------------------------|----------|--|
|                                 |          |  |
| **Signature of Reporting Person | Date     |  |

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

(Print of Type Responses)

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