## Edgar Filing: GAZULIS THEODORE - Form 4

GAZULIS TI	HEODORE											
Form 4	2019											
December 19	_											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								т	OMB APPROVAL			
	UTTE			hington,					OMB Number:	3235-0287		
Check this				0 /			Expires:	January 31,				
if no longe subject to	F CHANGES IN BENEFICIAL OWNERSHIP OF						Estimated a	2005 average				
Section 16		SECUR	ITIES		burden hou	irs per						
Form 4 or Form 5	Section 16(a) of the Securities Exchange Act of 1934,						response	0.5				
obligation	~ <b>*</b>						•		m			
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section See Instruction 30(h) of the Investment Company Act of 1940												
(Print or Type R	esponses)											
GAZULIS THEODORE Symbol			2. Issuer	er Name <b>and</b> Ticker or Trading				5. Relationship of Reporting Person(s) to				
			-	-					Issuer			
			Resolute Energy Corp [REN]					(Check all applicable)				
				Date of Earliest Transaction								
1700 LINCC	IN STREET	SUITE	(Month/Da 12/18/20	Day/Year) 2018				Director 10% Owner X Officer (give title Other (specify				
1700 LINCOLN STREET, SUITE 12/18/20 2800				)10				below) below) Executive VP, CFO				
	(Streat)		4 10 4		01							
				ndment, Date Original th/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)				
_X_ Form filed by Or												
DENVER, C	CO 80203							Form filed by I Person	More than One Ro	eporting		
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned		
1.Title of	2. Transaction Da			3. 4. Securities					6. Ownership	7. Nature of		
Security (Instr. 3)	(Month/Day/Yea	r) Execution any	on Date, if	f TransactionAcquired (A) or Code Disposed of (D)				Securities Beneficially	Form: Direct (D) or	Indirect Beneficial		
(1150.5)			(Month/Day/Year)		(Instr. 8) (Instr. 3, 4 and 5)			Owned I	Indirect (I)	Ownership		
							Following Reported	(Instr. 4)	(Instr. 4)			
						(A)		Transaction(s)				
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	12/18/2018			G	1,475	D	\$0	262,088 <u>(1)</u>	D (2)			
Common								1 000	т	See		
Stock								1,000	Ι	footnote $(3)$		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Addre		Relationships						
	Director	10% Owner	Officer	Other				
GAZULIS THEODORE 1700 LINCOLN STREET SUITE 2800 DENVER, CO 80203			Executive VP, CFO					
Signatures								
/s/ Theodore Gazulis	12/19/2018							

<u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 70,313 shares of restricted stock subject to Time- and Performance- Vesting criteria, which vest in annual installments in accordance with such criteria through March 8, 2021.
- (2) Includes 109,638 shares held by the Reporting Person in a revocable trust; 8,782 shares held in a custodial account; 2,000 shares held in a joint account.
- (3) Consists of 1,000 shares held in an IRA FBO George Gazulis, Theodore Gazulis, beneficiary.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.