Edgar Filing: McDaniel Marvin E. Jr. - Form 4

| McDaniel M | arvin E. Jr. | | | | | | | | | | |
|---|---|--|----------|--|--|--|--|--|--|-------------------------------|--|
| Form 4 | | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION | | | | | | | | | OMB APPROVAL | | |
| Washington, D.C. 20549 | | | | | | | | | OMB Number: | 3235-0287 | |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Statement of CHANGES IN BENEFICI SECURITIES Filed pursuant to Section 16(a) of the Securities Section 17(a) of the Public Utility Holding Company A 30(h) of the Investment Company A | | | | | | | xchange y Act of | e Act of 1934, 1935 or Sectior | burden hour response | nated average en hours per | |
| (Print or Type F | Responses) | | | | | | | | | | |
| 1. Name and A McDaniel N | ymbol | Name and | | | ng | 5. Relationship of Reporting Person(s) to Issuer | | | | | |
| (Last) | | | | | | (Check all applicable) | | | | | |
| 414 NICOL | (| (Month/Day/Year) 12/06/2017 | | | | | Director 10% Owner X Officer (give title Other (specify below) EVP, Grp Pres, Utilities & CAO | | | | |
| | | | | ndment, Da th/Day/Year) | - | l | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person | | | |
| MINNEAPO | DLIS, MN 55401 | | | | | | | Form filed by M Person | ore than One Re | porting | |
| (City) | (State) (| Zip) | Table | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deeme Execution I any (Month/Da | Date, if | 3. Transactio Code (Instr. 8) | 4. Securit n(A) or Di (Instr. 3, | spose | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | 12/06/2017 | | | Code V S | Amount 4,600 | (D) D | Price \$ 51.07 | 81,370.824 | D | | |
| Common Stock | 12/06/2017 | | | S | 576 | D | \$ 51.05 | 80,794.824 (1) | D | | |
| Common Stock | | | | | | | | 9,409.729 <u>(2)</u> | Ι | 401(k) | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

Edgar Filing: McDaniel Marvin E. Jr. - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | vative Conversion (Month/Day rity or Exercise | | Date 3A. Deemed ear) Execution Date, if any (Month/Day/Year) | | 4. Transactio Code (Instr. 8) | 5. orNumber of Derivatives Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr |
|---|--|--------|---|------------|--|--|--|--------------------|---|--|---|--|
| | | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |
| Reporting Owners | | | | | | | | | | | | |
| Reporting | Owner Name | Adress | | | Relation | nships | | | | | | |
| | Owner Name / Au | Direc | ctor 10% | Owner O | Officer | | | Other | ſ | | | |
| 414 NICC | l Marvin E DLLET MAI APOLIS, MN | | | | | | | | | | | |
| Signa | tures | | | | | | | | | | | |
| Tara M. Stoffel, Attorney in Fact for Marvin E. McDaniel Jr. | | | | 12/07/2017 | | | | | | | | |

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 49.814 shares of stock acquired pursuant to reinvestment of dividends.

(2) Shares held in the Xcel Energy Stock Fund under the Xcel Energy 401(k) Savings Plan as of the most recent plan statement (December 5, 2017).

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.