Sound Financial Bancorp, Inc.

Form 4

January 27, 2014

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** 3235-0287

Washington, D.C. 20549

Number: January 31, Expires: 2005

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Moran Matthew F				2. Issuer l mbol	Name and	Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer			
				ound Fi SFBC]	nancial I	Bancorp, Inc.	(Chec	ck all applicable	e)	
	(Last)	(First) (M		Date of I	Earliest Tra 1y/Year)	ansaction	DirectorX Officer (given			
2005 FIFTH AVE, SUITE 200				1/23/20	14		below) below) EVP / Chief Credit Officer			
(Street)				4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
				Filed(Month/Day/Year)			Applicable Line) _X_ Form filed by One Reporting Person			
SEATTLE, WA 98121							Form filed by More than One Reporting Person			
	(City)	(State) (Z	Zip)	Table	I - Non-D	erivative Securities Acq	uired, Disposed o	f, or Beneficial	ly Owned	
	1.Title of	2. Transaction Date	2A. Deemed	i	3.	4. Securities Acquired	5. Amount of	6. Ownership	7. Nature o	
	Security	(Month/Day/Year)	Execution D	ate, if	Transactio	on(A) or Disposed of	Securities	Form: Direct	Indirect	
	(Instr. 3)		any		Code	(D)	Beneficially	(D) or	Beneficial	
			(Month/Day	(Vace)	(Incte 0)	(Instr. 2 1 and 5)	Orrenad	Indirect (I)	Orrenarchin	

1.11116 01	2. Hallsaction Date	ZA. Decineu	٦.	4. Securi	ues A	.quii eu	J. Allioulit of	o. Ownership	7. Ivaluie
Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or Di	spose	d of	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(D)			Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and	5)	Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
					( )		Reported		
					(A)		Transaction(s)		
			C 1 W		or	ъ.	(Instr. 3 and 4)		
~			Code V	Amount	(D)	Price			
Common	01/23/2014		A	8,195	A	\$	10,717	D	
Stock	01/23/2011		11	0,175	11	16.8	10,717	D	
C									
Common							4,900	Ţ	401(k)
Stock							1,200	•	101(R)
C									
Common							1,733	I	ESOP
Stock							-,	_	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 8.41					<u>(1)</u>	01/27/2019	Common Stock	5,682
Stock Option (Right to Buy)	\$ 9.72					<u>(1)</u>	01/27/2019	Common Stock	5,682
Stock Option (Right to Buy)	\$ 8.49					(2)	02/28/2022	Common Stock	4,473
Stock Option (Right to Buy)	\$ 16.8	01/23/2014		A	18,073	(3)	01/23/2024	Common Stock	18,073

# **Reporting Owners**

Reporting Owner Name / Address	Returniships						
	Director	10% Owner	Officer	Other			

Moran Matthew F 2005 FIFTH AVE, SUITE 200 SEATTLE, WA 98121

EVP / Chief Credit Officer

Relationshins

## **Signatures**

/s/ Matthew P. 01/27/2014 Deines

\*\*Signature of Date
Reporting Person

Reporting Owners 2

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest in five equal installments beginning on January 27, 2010.
- (2) Options vest in five equal installments beginning on February 28, 2013.
- (3) Options vest in five equal installments beginning on January 23, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.