J C PENNEY CO INC

Form 3 May 02, 2013

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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30(h) of the Investment Company Act of 1940

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement J C PENNEY CO INC [JCP] Evanson Brynn (Month/Day/Year) 04/22/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 6501 LEGACY DRIVE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer \_ Other Person PLANO, TXÂ 75024 (give title below) (specify below) Form filed by More than One EVP, HR Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock of 50 cents Par Value D Â 9,285.586 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security	2. Date Exercisab	le and	3. Title and A	mount of	4.	5.	6. Nature of
(Instr. 4)	Expiration Date (Month/Day/Year)		Securities Underlying		Conversion	Ownership	Indirect Beneficial
			Derivative Security		or Exercise	Form of	Ownership
			(Instr. 4)	(Instr. 4)		Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative	Security:	
					Security	Direct (D)	
						or Indirect	

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				Shares		(I) (Instr. 5)	
Employee stock option/Right to Buy	03/16/2011(1)	03/15/2020	Common Stock	2,765	\$ 30.72	D	Â
Employee stock option/Right to Buy	03/15/2012(2)	03/14/2021	Common Stock	5,702	\$ 36.58	D	Â
Employee stock option/Right to Buy	03/13/2013(3)	03/12/2022	Common Stock	10,702	\$ 37.63	D	Â
Employee stock option/Right to Buy	04/03/2014(4)	04/02/2023	Common Stock	19,802	\$ 14.43	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 0	Director	10% Owner	Officer	Othe		
Evanson Brynn 6501 LEGACY DRIVE PLANO. TX 75024	Â	Â	EVP, HR	Â		

#### **Signatures**

\*\*\* /s/ Brandy L. Treadway, attorney in fact 05/02/2013

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents grant of employee stock options under the Company's 2009 Long-Term Incentive Plan, which vest one-third on March 16, 2011, one-third on March 16, 2012 and one-third on March 16, 2013.
- (2) Represents grant of employee stock options under the Company's 2009 Long-Term Incentive Plan, which vest one-third on March 15, 2012, one-third on March 15, 2014.
- (3) Represents grant of employee stock options under the Company's 2009 Long-Term Incentive Plan, which vest one-third on March 13, 2013, one-third on March 13, 2014, and one-third on March 13, 2015.
- (4) Represents grant of employee stock options under the Company's 2012 Long-Term Incentive Plan, which vest one-third on April 3, 2014, one-third on April 3, 2015 and one-third on April 3, 2016.

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#### **Remarks:**

\*\*\*Under POA as filed herewith.

Exhibit List:

Exhibit No. 24 - Power of Attorney (POA)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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