

WASHAM LEE
Form 3
April 08, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
WASHAM LEE		(Month/Day/Year)	Charter Financial Corp [CHFNF]	
(Last)	(First)	(Middle)	04/08/2013	
1233 O.G. SKINNER DRIVE			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
WEST POINT, GA 31833			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
			President of subsidiary bank	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	44,680	D	WASHAM LEE
Common Stock	35,757	I	By IRA
Common Stock	1,482	I	By 401(k)
Common Stock	15,107	I	By ESOP
Common Stock	9,353	I	By Stock Award II ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Amount or Number of Shares			
			Title			
Stock Option	01/27/2014	01/27/2019	Common Stock	37,413 ⁽²⁾ \$ 8.8205	D	Â
Stock Option	06/22/2013	06/22/2020	Common Stock	37,413 ⁽³⁾ \$ 8.179	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WASHAM LEE 1233 O.G. SKINNER DRIVE WEST POINT, GA 31833	Â	Â	Â	President of subsidiary bank Â

Signatures

/s/ Benjamin M. Azoff, pursuant to power of attorney 04/08/2013

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock award granted to the reporting person on June 22, 2012 under the Charter Financial Corporation 2001 Recognition and Retention Plan. Stock stock will vest in 33-1/3% increments on an annual basis beginning on June 22, 2013.
- (2) Options granted under the 2001 Charter Financial Corporation Stock Option Plan, which vest in five equal annual installments commencing on January 27, 2014.
- (3) Options granted under the 2001 Charter Financial Corporation Stock Option Plan, of which 748 vest on June 22, 2013, and the remaining options vest in 3 equal annual installments beginning on June 22, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.