#### JOHNSON ROBERT LEE /GA/

Form 4

September 13, 2012

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

0.5

Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

**SECURITIES** 

burden hours per response...

Estimated average

may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Common

Common

Common

Stock

Stock

Stock

09/12/2012

09/12/2012

(Print or Type Responses)

	Address of Reporting I ROBERT LEE /C	GA/ Symbo	RTER FIN				5. Relationship Issuer (Ch	of Reporting Pe	
(Last) 1233 O.G. S	(First) (M	(Month	3. Date of Earliest Transaction (Month/Day/Year) 09/12/2012				X Director X Officer (gi below)		% Owner her (specify
WEST POI	(Street) NT, GA 31833		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip) Ta	ble I - Non-l	Derivative	Secur	ities Ac	quired, Disposed	of, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code		4 and (A) or	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Common Stock	09/12/2012		S	827	D	\$ 9.83	96,456 <u>(4)</u>	D	
Common Stock	09/12/2012		S	192	D	\$ 9.8	96,264 (4)	D	

S

S

700

3,751

95,564 (4)

91,813 (4)

2,500

D

D

Ι

By Spouse's

**IRA** 

### Edgar Filing: JOHNSON ROBERT LEE /GA/ - Form 4

Common Stock	8,126	I	As Custodian for Child			
Common Stock	22,578	I	By IRA			
Common Stock	12,706 (4)	I	By ESOP			
Common Stock	5,191 <u>(4)</u>	I	By Stock Award (1)			
Common Stock	7,865	I	By 401(k)			
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  Persons who respond to the collection of SEC 1474						

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 8. Pri Deriv Secur (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	<ol><li>Date Exercise</li></ol>	sable and	7. Title and A	Amount of	8
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Date		Underlying Securities		J
Security	or Exercise		any	Code	of (Month/Day/Y		ear)	(Instr. 3 and 4)		5
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e				(
	Derivative				Securities					
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date	Expiration		or	
						Exercisable	Date	Title	Number	
				Code V	(A) (D)	Exercisable	Duic		of Shares	
					(11) (2)				or briance	
Stock	\$ 11					01/27/2014	01/27/2019	Common	74,000	
Option	φ 11					01/2//2014	01/2//2019	Stock	(2)	
								~		
Stock	\$ 10.2					06/22/2015	06/22/2020	Common	50,000	
Option	ψ 10.2					00/22/2013	0012212020	Stock	(3)	
_										

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Othe			
JOHNSON ROBERT LEE /GA/ 1233 O.G. SKINNER DRIVE	X		President and CEO				

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WEST POINT, GA 31833

## **Signatures**

/s/ Robert L.

Johnson 09/13/2012

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares granted to the reporting person under the Charter Financial Corporation 2001 Recognition and Retention Plan. 5,191 restricted stock awards will vest on July 27, 2013.
- (2) Options granted under the 2001 Charter Financial Corporation Stock Option Plan, which vest in five equal annual installments commencing on January 27, 2014.
- Options granted under the 2001 Charter Financial Corporation Stock Option, of which 1,000 options vest in 2013, and the remaining options vest in 5 equal annual installments commencing on June 22, 2015.
- (4) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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