#### Edgar Filing: MITAROTONDA JAMES A - Form 3

MITAROTONDA JAMES A

Form 3 April 04, 2011

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

MITAROTONDA JAMES A

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

03/30/2011

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

AMERON INTERNATIONAL CORP [AMN]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O BARINGTON CAPITAL GROUP, L.P., Â 888 SEVENTH **AVENUE 17TH FLOOR** 

(Street)

(Check all applicable) 10% Owner \_X\_ Director

Officer Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

NEW YORK. NYÂ 10019

(City) (State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

SEC 1473 (7-02)

By Barington Companies Equity Common Stock Ι 124,658 Partners, L.P. (1) (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

Conversion Ownership

6. Nature of Indirect Beneficial Ownership

or Exercise Form of (Instr. 5)

#### Edgar Filing: MITAROTONDA JAMES A - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Put Option (obligation to buy)	03/07/2011	04/16/2011	Common Stock	50,000	\$ 60	I	By Barington Companies Equity Partners, L.P. (1) (2)
Put Option (obligation to buy)	03/29/2011	04/16/2011	Common Stock	7,100	\$ 65	I	By Barington Companies Equity Partners, L.P. (1) (2)
Put Option (obligation to buy)	03/29/2011	05/21/2011	Common Stock	20,000	\$ 60	I	By Barington Companies Equity Partners, L.P. (1) (2)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b>Fg</b>	Director	10% Owner	Officer	Othe	
MITAROTONDA JAMES A C/O BARINGTON CAPITAL GROUP, L.P.	â	<b>^</b>	Ŷ.	÷.	
888 SEVENTH AVENUE 17TH FLOOR	ΑX	Â	A	Α	
NEW YORK, NY 10019					

# **Signatures**

/s/ James A.
Mitarotonda

\*\*Signature of Reporting

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Reporting Person's relationship to Barington Companies Equity Partners, L.P. is described in this footnote. The Reporting Person is the sole stockholder and director of LNA Capital Corp., which is the general partner of Barington Capital Group L.P., which is the majority member of Barington Companies Investors, LLC. Barington Companies Investors, LLC is the general partner of Barington Companies Equity Partners, L.P.
- The Reporting Person disclaims beneficial ownership of these securities, except to the extent of his pecuniary interest therein, and this report shall not be deemed to be an admission that the Reporting Person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2