

KING BRUCE MICHAEL
Form 4
November 28, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KING BRUCE MICHAEL

2. Issuer Name and Ticker or Trading Symbol
ROCKWELL COLLINS INC [COL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

M/S 108-174, 400 COLLINS ROAD
NE

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/26/2018

____ Director
 Officer (give title below)
____ 10% Owner
____ Other (specify below)
Sr. VP, Operations

CEDAR RAPIDS, IA 52498

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	11/26/2018		D		41,323.502 (1)	D	0
Common Stock	11/26/2018		D		7,272.2825 (2)	I	0

By Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Stock Option (Right to Buy)	\$ 53.08	11/26/2018		M		9,000	(3)	11/20/2019	Common Stock	9,000
Stock Option (Right to Buy)	\$ 55.75	11/26/2018		M		7,900	(3)	11/19/2020	Common Stock	7,900
Stock Option (Right to Buy)	\$ 58.63	11/26/2018		M		3,100	(3)	06/13/2021	Common Stock	3,100
Stock Option (Right to Buy)	\$ 55.01	11/26/2018		M		13,700	(3)	11/14/2021	Common Stock	13,700
Stock Option (Right to Buy)	\$ 54.37	11/26/2018		M		16,100	(3)	11/12/2022	Common Stock	16,100
Stock Option (Right to Buy)	\$ 70.97	11/26/2018		M		10,900	(3)	11/11/2023	Common Stock	10,900
Stock Option (Right to Buy)	\$ 83.69	11/26/2018		M		10,300	(3)	11/17/2024	Common Stock	10,300
Stock Option (Right to Buy)	\$ 86.75	11/26/2018		M		11,300	(3)	11/09/2025	Common Stock	11,300
Stock Option (Right to Buy)	\$ 88.71	11/26/2018		M		11,700	(3)	11/14/2026	Common Stock	11,700

