

ROCKWELL COLLINS INC
Form 4
July 25, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CHADICK GARY R

2. Issuer Name and Ticker or Trading Symbol
ROCKWELL COLLINS INC [COL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
**M/S 124-323, 400 COLLINS ROAD
NE**

3. Date of Earliest Transaction
(Month/Day/Year)
07/23/2013

____ Director
 Officer (give title below)
____ 10% Owner
____ Other (specify below)
Sr. VP, Gnrl Counsel and Secy

(Street)
CEDAR RAPIDS, IA 52498-0001

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	07/23/2013		M	34,265 A \$ 36.55	60,102.948 (1)	D	
Common Stock	07/23/2013		S	1,032 D \$ 70.58	59,070.948 (1)	D	
Common Stock	07/23/2013		S	4,273 D \$ 70.59	54,797.948 (1)	D	
Common Stock	07/23/2013		S	1,168 D \$ 70.6	53,629.948 (1)	D	
Common Stock	07/23/2013		S	1,000 D \$ 70.61	52,629.948 (1)	D	

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Common Stock	07/23/2013	S	966	D	\$ 70.63	51,663.948 <u>(1)</u>	D
Common Stock	07/23/2013	S	200	D	\$ 70.64	51,463.948 <u>(1)</u>	D
Common Stock	07/23/2013	S	699	D	\$ 70.65	50,764.948 <u>(1)</u>	D
Common Stock	07/23/2013	S	200	D	\$ 70.66	50,564.948 <u>(1)</u>	D
Common Stock	07/23/2013	S	287	D	\$ 70.67	50,277.948 <u>(1)</u>	D
Common Stock	07/23/2013	S	300	D	\$ 70.68	49,977.948 <u>(1)</u>	D
Common Stock	07/23/2013	S	900	D	\$ 70.69	49,077.948 <u>(1)</u>	D
Common Stock	07/23/2013	S	321	D	\$ 70.7	48,756.948 <u>(1)</u>	D
Common Stock	07/23/2013	S	9,640	D	\$ 70.71	39,116.948 <u>(1)</u>	D
Common Stock	07/23/2013	S	1,500	D	\$ 70.72	37,616.948 <u>(1)</u>	D
Common Stock	07/23/2013	S	1,100	D	\$ 70.73	36,516.948 <u>(1)</u>	D
Common Stock	07/23/2013	S	900	D	\$ 70.74	35,616.948 <u>(1)</u>	D
Common Stock	07/23/2013	S	200	D	\$ 70.75	35,416.948 <u>(1)</u>	D
Common Stock	07/23/2013	S	5,465	D	\$ 70.76	29,951.948 <u>(1)</u>	D
Common Stock	07/23/2013	S	100	D	\$ 70.762	29,851.948 <u>(1)</u>	D
Common Stock	07/23/2013	S	752	D	\$ 70.77	29,099.948 <u>(1)</u>	D
Common Stock	07/23/2013	S	500	D	\$ 70.78	28,599.948 <u>(1)</u>	D
Common Stock	07/23/2013	S	260	D	\$ 70.785	28,339.948 <u>(1)</u>	D
Common Stock	07/23/2013	S	400	D	\$ 70.82	27,939.948 <u>(1)</u>	D
Common Stock	07/23/2013	S	200	D	\$ 70.84	27,739.948 <u>(1)</u>	D
	07/23/2013	S	1,902	D	\$ 70.85		D

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Common Stock						25,837.948			
						<u>(1)</u>			
Common Stock	07/24/2013		M	17,771	A	\$ 44.85	43,608.948	D	
							<u>(1)</u>		
Common Stock	07/24/2013		M	20,400	A	\$ 53.08	64,008.948	D	
							<u>(1)</u>		
Common Stock	07/24/2013		S	846	D	\$ 70.5601	63,162.948	D	
							<u>(1)</u>		
Common Stock							4,042.1027	I	By Savings Plan
							<u>(2)</u>		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy) ⁽³⁾	\$ 36.55	07/23/2013		M	34,265	<u>(4)</u> 11/02/2014	Common Stock	34,265
Stock Option (Right to Buy) ⁽³⁾	\$ 44.85	07/24/2013		M	17,771	<u>(4)</u> 11/17/2015	Common Stock	17,771
Stock Option (Right to Buy) ⁽³⁾	\$ 53.08	07/24/2013		M	20,400	<u>(4)</u> 11/20/2019	Common Stock	20,400

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CHADICK GARY R M/S 124-323 400 COLLINS ROAD NE CEDAR RAPIDS, IA 52498-0001			Sr. VP, Gnrl Counsel and Secy	

Signatures

Gary R. Chadick 07/25/2013

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the Company's employee stock purchase plan and based on information furnished by the Plan Administrator as of July 1, 2013.
- (2) Shares represented by Company stock fund units under the Company's tax-qualified savings plan, including shares acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of July 1, 2013.
- (3) Employee stock options granted pursuant to the Company's stock based plans.
- (4) The options are currently exercisable.

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