

ALLEN PATRICK E
Form 4
September 22, 2009

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ALLEN PATRICK E

(Last) (First) (Middle)

M/S 124-318, 400 COLLINS ROAD
NE

(Street)

CEDAR RAPIDS, IA 52498-0001

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

ROCKWELL COLLINS INC [COL]

3. Date of Earliest Transaction (Month/Day/Year)

09/21/2009

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Sr. VP and CFO

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	09/21/2009		M		14,796	A	\$ 18.5972
							28,734.811 (1)
Common Stock	09/21/2009		S		100	D	\$ 50.65
							28,634.811 (1)
Common Stock	09/21/2009		S		196	D	\$ 50.66
							28,438.811 (1)
Common Stock	09/21/2009		S		100	D	\$ 50.67
							28,338.811 (1)
Common Stock	09/21/2009		S		100	D	\$ 50.69
							28,238.811 (1)

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Common Stock	09/21/2009		S	100	D	\$ 50.7	<u>28,138.811</u> (1)	D	
Common Stock	09/21/2009		S	100	D	\$ 50.71	<u>28,038.811</u> (1)	D	
Common Stock	09/21/2009		S	100	D	\$ 50.72	<u>27,938.811</u> (1)	D	
Common Stock	09/21/2009		S	200	D	\$ 50.73	<u>27,738.811</u> (1)	D	
Common Stock	09/21/2009		S	100	D	\$ 50.74	<u>27,638.811</u> (1)	D	
Common Stock	09/21/2009		S	200	D	\$ 50.75	<u>27,438.811</u> (1)	D	
Common Stock	09/21/2009		S	100	D	\$ 50.77	<u>27,338.811</u> (1)	D	
Common Stock	09/21/2009		S	11,800	D	\$ 50.8	<u>15,538.811</u> (1)	D	
Common Stock	09/21/2009		S	700	D	\$ 50.81	<u>14,838.811</u> (1)	D	
Common Stock	09/21/2009		S	100	D	\$ 50.82	<u>14,738.811</u> (1)	D	
Common Stock	09/21/2009		S	200	D	\$ 50.83	<u>14,538.811</u> (1)	D	
Common Stock	09/21/2009		S	100	D	\$ 50.84	<u>14,438.811</u> (1)	D	
Common Stock	09/21/2009		S	200	D	\$ 50.85	<u>14,238.811</u> (1)	D	
Common Stock	09/21/2009		S	300	D	\$ 50.86	<u>13,938.811</u> (1)	D	
Common Stock							<u>4,797.9245</u> (2)	I	By Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy) ⁽³⁾	\$ 18.5972	09/21/2009	M	14,796					⁽⁴⁾	10/02/2010	Common Stock	14,796

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ALLEN PATRICK E M/S 124-318 400 COLLINS ROAD NE CEDAR RAPIDS, IA 52498-0001			Sr. VP and CFO	

Signatures

/s/ Gary R. Chadick,
Attorney-in-Fact

09/22/2009

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the Company's employee stock purchase plan and based on information furnished by the Plan Administrator as of September 1, 2009.
- (2) Shares represented by Company stock fund units under the Rockwell Collins, Inc. 2001 qualified savings plan, including shares acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of September 1, 2009.
- (3) Employee stock options granted pursuant to the Company's stock based plans.
- (4) The options are currently exercisable.

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