Edgar Filing: ROCKWELL COLLINS INC - Form 4

ROCKWELL Form 4 November 25	COLLINS INC	-									
	UNITEDS	Washington, D.C. 20549					ANGE COMMISSION		OMB Number:	3235-0287	
Check this if no long subject to Section 16 Form 4 or	er STATEM	STATEMENT OF CHAN								ated average n hours per	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> Hogle Walter S Jr			2. Issuer Name and Ticker or Trading Symbol ROCKWELL COLLINS INC [COL]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M	(iddle) 3. J	3. Date of Earliest Transaction (Che					(Che	ck all applicable)		
			(Month/Day/Year) 11/21/2008					Director 10% Owner X Officer (give title Other (specify below) below) Sr. VP, International			
	(Street)	ndment, Date Original tth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
ARLINGTO	N, VA 22209							Form filed by Person	More than One Ro	eporting	
(City)	(State) (2	Zip)	Table	e I - Non-Do	erivative S	ecuri	ties Ac	quired, Disposed o	of, or Beneficial	lly Owned	
(Instr. 3) any		Execution D any	ate, if	3.4. SecuritiesTransactionAcquired (A) orCodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5)			SecuritiesHBeneficially(OwnedI	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common				Code V	Amount 1,427	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Stock	11/21/2008			А	(<u>1</u>)	А	\$0	2,084.4249 (2)	D		
Common Stock								385.7957 <u>(3)</u>	Ι	By Savings Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of Transactio-Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S ()
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy) (4)	\$ 30.39	11/21/2008		А	22,700	(5)	11/21/2018	Common Stock	22,700	

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Hogle Walter S Jr 1300 WILSON BLVD. 2ND FLOOR ARLINGTON, VA 22209			Sr. VP, International					
Signatures								
Vaughn M. Klopfenstein, Attorney-in-Fact		11/2	5/2008					
**Signature of Reporting Person		D	ate					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Pursuant to a prior deferral election and upon the vesting of performance shares granted under the Company's Long-Term Incentives(1) Plans, performance shares were deferred into the Company's deferred compensation plan. Each such deferred performance share represents the right to receive a share of Common Stock in accordance with the deferral election.

- (2) Includes shares acquired under the Company's employee stock purchase plan and based on information furnished by the Plan Administrator as of October 1, 2008.
- (3) Shares represented by Company stock fund units under the Rockwell Collins, Inc. 2001 qualified savings plan, including shares acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of October 1, 2008.
- (4) Employee stock options granted pursuant to the Rockwell Collins, Inc. 2006 Long-Term Incentives Plan.
- (5) The options vest in three substantially equal annual installments beginning 11/21/2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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