#### HENSELER PETER J

Form 4 April 29, 2019

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16.

Check this box

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

HENSELER PETER J

FIRST MIDWEST BANCORP INC [FMBI]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

Filed(Month/Day/Year)

04/25/2019

X\_ Director Officer (give title

10% Owner Other (specify

8750 WEST BRYN MAWR **AVENUE, SUITE 1300** 

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

CHICAGO, IL 60631

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed

3. (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Code

4. Securities Acquired (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned **Following** 

6. 7. Nature of Ownership Indirect Form: Direct Beneficial (D) or Ownership Indirect (I) (Instr. 4)

Reported (Instr. 4)

(A)

Transaction(s)

Code V Amount (D) Price (Instr. 3 and 4)

Common Stock

Security

(Instr. 3)

04/25/2019

665 (1) A

20.685

23,399

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: HENSELER PETER J - Form 4

| 1. | Title of  | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                   | 5.         | 6. Date Exerc       | cisable and       | 7. Titl | le and   | 8. Price of | 9. Nu |
|----|-----------|-------------|---------------------|--------------------|----------------------|------------|---------------------|-------------------|---------|----------|-------------|-------|
| D  | erivative | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber    |            | Expiration D        | ate               | te Amou |          | Derivative  | Deriv |
| S  | ecurity   | or Exercise |                     | any                | Code                 | of         | (Month/Day/         | Year)             | Under   | lying    | Security    | Secui |
| (I | nstr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) Derivativ |            | ;                   |                   | Secur   | ities    | (Instr. 5)  | Bene  |
|    |           | Derivative  |                     |                    |                      | Securities |                     |                   | (Instr. | 3 and 4) |             | Owne  |
|    |           | Security    |                     |                    | Acquired             |            |                     |                   |         |          |             | Follo |
|    |           | •           |                     |                    |                      | (A) or     |                     |                   |         |          |             | Repo  |
|    |           |             |                     |                    |                      | Disposed   |                     |                   |         |          |             | Trans |
|    |           | of (D)      |                     |                    |                      |            |                     |                   | (Instr  |          |             |       |
|    |           |             |                     |                    |                      | (Instr. 3, |                     |                   |         |          |             | `     |
|    |           |             |                     |                    |                      | 4, and 5)  |                     |                   |         |          |             |       |
|    |           |             |                     |                    |                      | , ,        |                     |                   |         |          |             |       |
|    |           |             |                     |                    |                      |            |                     |                   |         | Amount   |             |       |
|    |           |             |                     |                    |                      |            | Date<br>Exercisable | Expiration e Date | Title   | or       |             |       |
|    |           |             |                     |                    |                      |            |                     |                   |         | Number   |             |       |
|    |           |             |                     |                    |                      |            | Lacicisable         |                   |         | of       |             |       |
|    |           |             |                     |                    | Code V               | (A) (D)    |                     |                   |         | Shares   |             |       |

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

HENSELER PETER J

8750 WEST BRYN MAWR AVENUE
SUITE 1300
CHICAGO, IL 60631

# **Signatures**

Andrea L. Stangl, Attorney-in-fact for Peter J. Henseler

04/29/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Award of stock granted pursuant to the Amended and Restated First Midwest Bancorp, Inc. Non-Employee Director Stock Plan. This award represents the first payment for 2019 of the equity portion of the Reporting Person's annual director compensation, which is paid in

(1) equal installments on a quarterly basis and may be paid, at the Reporting Person's option, in cash or stock. The number of shares represented by this award was determined by dividing the dollar value of the award granted to the Reporting Person by the price shown, which is the average high and low sale price of one share of the Issuer's common stock on the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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