Daly Kathleen Marie Form 4 March 04, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB

3235-0287 Number: January 31,

Expires: 2005 Estimated average

burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person *

Daly Kathleen Marie

2. Issuer Name and Ticker or Trading

Symbol

HAVERTY FURNITURE

COMPANIES INC [HVT] 3. Date of Earliest Transaction

(Month/Day/Year)

(Middle)

(Zip)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner Other (specify X_ Officer (give title

below) Senior V.P., Marketing

780 JOHNSON FERRY ROAD, NE, 02/28/2019 **SUITE 800**

(State)

(First)

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

below)

| ATLANTA, GA 30303 |
|-------------------|
|-------------------|

| (,) | () | Table | e I - Non-D | erivative | Secur | ities Acqi | uirea, Disposea of | , or Beneficiall | y Owned | |
|--------------------------------------|--------------------------------------|--|-------------|---------------------|-----------|--------------------------------------|--|--|----------------------|--|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) | | | d of (D) | 5. Amount of Securities Beneficially | 6. Ownership Form: Direct (D) or | p 7. Nature of t Indirect Beneficial | | |
| | | (Month/Day/Year) | (Instr. 8) | | (A) or | | Owned Following Reported Transaction(s) (Instr. 3 and 4) | Indirect (I) (Instr. 4) | Ownership (Instr. 4) | |
| Common | 02/28/2019 | | Code V M | Amount 3,667 | (D) | Price \$ 0 | 10,252 | D | | |
| Stock Common | | | | , | | | , | | | |
| Stock | 02/28/2019 | | F | 1,097 | D | 24.36 | 9,155 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price Deriva Securit (Instr. |
|---|---|---|---|--|---|-------|--|--------------------|---|--|--|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| PRSUs 2016 | <u>(1)</u> | 02/28/2019 | | M | | 3,667 | <u>(1)</u> | <u>(1)</u> | Common Stock | 3,667 | \$ (|
| PRSUs 2017 | <u>(2)</u> | | | | | | (2) | (2) | Common Stock | 3,269 | |
| PRSUs 2018 | <u>(8)</u> | | | | | | (8) | (8) | Common Stock | 2,781 | |
| RSUs 2015 | (3) | | | | | | (3) | (3) | Common Stock | 755 | |
| RSUs 2016 | <u>(4)</u> | | | | | | <u>(4)</u> | <u>(4)</u> | Common Stock | 1,736 | |
| RSUs 2017 | <u>(5)</u> | | | | | | (5) | (5) | Common Stock | 2,625 | |
| RSUs 2018 | <u>(6)</u> | | | | | | <u>(6)</u> | <u>(6)</u> | Common Stock | 3,000 | |
| RSUs 2019 | <u>(7)</u> | | | | | | <u>(7)</u> | <u>(7)</u> | Common Stock | 3,400 | |

Reporting Owners

| Reporting Owner Name / Address | Keiauonsmps | | | | | |
|--------------------------------|-------------|-----------|---------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |

Daly Kathleen Marie 780 JOHNSON FERRY ROAD, NE, SUITE 800 ATLANTA, GA 30305

Senior V.P., Marketing

Signatures

Jenny H. Parker, Attorney-in-Fact 03/04/2019 **Signature of Reporting Person

Reporting Owners 2

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Performance Restricted Stock Units ("PRSUs") award granted 1/26/2016 under the 2014 Long-Term Incentive Plan. Each performance unit represents a contingent right to receive one share of the Company's common stock based on the EBITDA for the year ended December 31, 2016. This amount represents the number of performance units earned for fiscal year 2016, which were certified by the Executive Compensation and Employee Benefits Committee on 1/30/2017. The performance units vest on February 28, 2019.
- Performance Restricted Stock Units ("PRSUs") award granted 1/30/2017 under the 2014 Long-Term Incentive Plan. Each performance unit represents a contingent right to receive one share of the Company's common stock based on the EBITDA for the year ended December 31, 2017. This amount represents the number of performance units earned for fiscal year 2017, which were certified by the Executive Compensation and Employee Benefits Committee on 1/30/2018. The performance units vest on February 28, 2020.
- (3) Restricted Stock Units ("RSUs") award granted 1/23/2015 under the 2014 Long-Term Incentive Plan. RSUs vest ratably over 4 years, beginning 5/8/2016. Each RSU is equivalent to one share of common stock upon vesting.
- (4) Restricted Stock Units ("RSUs") award granted 1/26/2016 under the 2014 Long-Term Incentive Plan. RSUs vest ratably over 4 years, beginning 5/8/2017. Each RSU is equivalent to one share of common stock upon vesting.
- (5) Restricted Stock Units ("RSUs") award granted 1/30/2017 under the 2014 Long-Term Incentive Plan. RSUs vest ratably over 4 years, beginning 5/8/2018. Each RSU is equivalent to one share of common stock upon vesting.
- (6) Restricted Stock Units ("RSUs") award granted 1/30/2018 under the 2014 Long-Term Incentive Plan. RSUs vest ratably over 4 years, beginning 5/8/2019. Each RSU is equivalent to one share of common stock upon vesting.
- (7) Restricted Stock Units ("RSUs") award granted 1/31/2019 under the 2014 Long-Term Incentive Plan. RSUs vest ratably over 4 years, beginning 5/8/2020. Each RSU is equivalent to one share of common stock upon vesting.
- Performance Restricted Stock Units ("PRSUs") award granted 1/30/2018 under the 2014 Long-Term Incentive Plan. Each performance unit represents a contingent right to receive one share of the Company's common stock based on the EBITDA for the year ended December 31, 2018. This amount represents the number of performance units earned for fiscal year 2018, which were certified by the Nominating, Compensation and Governance Committee on 1/31/2019. The performance units vest on February 28, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.