

Smith Andrea B  
Form 4  
February 20, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Smith Andrea B

2. Issuer Name and Ticker or Trading Symbol  
BANK OF AMERICA CORP /DE/ [BAC]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
100 NORTH TRYON STREET  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
02/15/2019

\_\_\_\_ Director  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Chief Administrative Officer

CHARLOTTE, NC 28255

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount or Price   |  |   |
| Common Stock                    | 02/15/2019                           |  | M                              |   | 44,842 A \$ 0 <sup>(1)</sup>  | 275,053  | D   |
| Common Stock                    | 02/15/2019                           |  | F                              |   | 20,096 <sup>(2)</sup> D \$ 29.11  | 254,957  | D   |
| Common Stock                    | 02/15/2019                           |  | M                              |   | 28,124 A \$ 0 <sup>(1)</sup>  | 283,081  | D   |
| Common Stock                    | 02/15/2019                           |  | F                              |   | 12,620 <sup>(2)</sup> D \$ 29.11  | 270,461  | D   |
| Common Stock                    | 02/15/2019                           |  | M                              |   | 22,493 A \$ 0 <sup>(1)</sup>  | 292,954  | D   |

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|              |            |   |               |   |          |         |   |              |
|--------------|------------|---|---------------|---|----------|---------|---|--------------|
| Common Stock | 02/15/2019 | F | 10,132<br>(2) | D | \$ 29.11 | 282,822 | D |              |
| Common Stock |            |   |               |   |          | 100,000 | I | 2018 GRAT    |
| Common Stock |            |   |               |   |          | 54      | I | UTMA Trust 1 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                              |
| 2016 Restricted Stock Units                | (1)  | 02/15/2019                           |  | M                              | 44,842  | (3) 02/15/2019   | Common Stock 44,842   |
| 2017 Restricted Stock Units                | (1)  | 02/15/2019                           |  | M                              | 28,124  | (4) 02/15/2020   | Common Stock 28,124   |
| 2018 Restricted Stock Units                | (1)  | 02/15/2019                           |  | M                              | 22,493  | (5) 02/15/2021   | Common Stock 22,493   |

## Reporting Owners

| Reporting Owner Name / Address           | Relationships |           |                      |       |
|--|---------------|-----------|----------------------|-------|
|  | Director      | 10% Owner | Officer              | Other |
| Smith Andrea B<br>100 NORTH TRYON STREET |               |           | Chief Administrative |       |

CHARLOTTE, NC 28255

Officer

## Signatures

Andrea B. Smith/Amanda D. Daniel

POA

02/20/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each unit represents a contingent right to receive one share of Bank of America Corporation common stock.
- (2) Disposition of shares to the issuer to satisfy a tax withholding obligation.
- (3) On February 12, 2016, the reporting person was granted units, vesting in three equal annual installments commencing on February 15, 2017.
- (4) On February 15, 2017, the reporting person was granted units, vesting in three equal annual installments commencing on February 15, 2018.
- (5) On February 15, 2018, the reporting person was granted units, vesting in three equal annual installments commencing on February 15, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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