

LaPlume Joseph W  
Form 3  
February 07, 2019

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

LaPlume Joseph W

(Last) (First) (Middle)

251 BALLARDVALE STREET

(Street)

WILMINGTON, MA 01887

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
01/31/2019

3. Issuer Name and Ticker or Trading Symbol  
CHARLES RIVER LABORATORIES  
INTERNATIONAL INC [CRL]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
☒ Officer \_\_\_\_ Other  
(give title below) (specify below)  
EVP, Corp Strategy & Develop

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

13,027 <sup>(1)</sup>

D

^

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)  
or Indirect

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

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|                              |                           |            |              | Shares |           | (I)<br>(Instr. 5) |   |
|------------------------------|---------------------------|------------|--------------|--------|-----------|-------------------|---|
| Stock Options (Right to Buy) | 02/27/2016 <sup>(2)</sup> | 02/27/2020 | Common Stock | 1,542  | \$ 76.67  | D                 | Â |
| Stock Options (Right to Buy) | 02/26/2017 <sup>(3)</sup> | 02/26/2021 | Common Stock | 6,700  | \$ 73.7   | D                 | Â |
| Stock Options (Right to Buy) | 02/24/2018 <sup>(4)</sup> | 02/24/2022 | Common Stock | 10,477 | \$ 88.05  | D                 | Â |
| Stock Options (Right to Buy) | 02/23/2019 <sup>(5)</sup> | 02/23/2023 | Common Stock | 12,818 | \$ 109.34 | D                 | Â |

## Reporting Owners

| Reporting Owner Name / Address                                     | Relationships |           |                                |       |
|--------------------------------------------------------------------|---------------|-----------|--------------------------------|-------|
|                                                                    | Director      | 10% Owner | Officer                        | Other |
| LaPlume Joseph W<br>251 BALLARDVALE STREET<br>WILMINGTON, MA 01887 | Â             | Â         | Â EVP, Corp Strategy & Develop | Â     |

## Signatures

/s/ Joseph W.  
LaPlume  
02/06/2019  
Date

\*\*Signature of  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Consists of: (a) 6,296 shares of common stock; (b) 6,731 unvested restricted stock units (RSUs) which vest as follows: 350 on 2/27/2019,
- (1) 683 on 2/26/2019, 725 on 2/24/2019, 710 on 2/23/2019, 683 on 2/26/2020, 725 on 2/24/2020, 710 on 2/23/2020, 725 on 2/24/2021, 710 on 2/23/2021 and 710 on 2/23/2022. Each RSU represents a contingent right to receive one share of common stock.
  - (2) Stock Options will vest as follows: 1,542 on 2/27/2019.
  - (3) Stock Options will vest as follows: 3,350 on 2/26/2019 and 3,350 on 2/26/2020.
  - (4) Stock Options will vest as follows: 3,492 on 2/24/2019, 3,492 on 2/24/2020 and 3,493 on 2/24/2021.
  - (5) Stock Options will vest as follows: 3,204 on 2/23/2019, 3,205 on 2/23/2020, 3,204 on 2/23/2021 and 3,205 on 2/23/2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.