

FOSTER JAMES C

Form 4

December 12, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
FOSTER JAMES C

2. Issuer Name **and** Ticker or Trading
Symbol
CHARLES RIVER
LABORATORIES
INTERNATIONAL INC [CRL]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

Chairman, President and CEO

(Last) (First) (Middle)
251 BALLARDVALE STREET
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
07/23/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

WILMINGTON, MA 01887

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/23/2018		G	V 4,000 D \$ 0	267,676	D	
Common Stock	08/17/2018		G	V 3,677 D \$ 0	0 ⁽¹⁾	I	2016 GRAT
Common Stock	12/10/2018		M	25,123 A \$ 73.7	310,894 ⁽²⁾	D	
Common Stock	12/10/2018		S ⁽³⁾	200 D \$ 125.76	310,694	D	
Common Stock	12/10/2018		S ⁽³⁾	200 D \$ 125.85	310,494	D	

Edgar Filing: FOSTER JAMES C - Form 4

Common Stock	12/10/2018	<u>S⁽³⁾</u>	100	D	\$ 125.855	310,394	D	
Common Stock	12/10/2018	<u>S⁽³⁾</u>	100	D	\$ 125.89	310,294	D	
Common Stock	12/10/2018	<u>S⁽³⁾</u>	1	D	\$ 125.965	310,293	D	
Common Stock	12/10/2018	<u>S⁽³⁾</u>	99	D	\$ 125.975	310,194	D	
Common Stock	12/10/2018	<u>S⁽³⁾</u>	100	D	\$ 125.98	310,094	D	
Common Stock	12/10/2018	<u>S⁽³⁾</u>	200	D	\$ 126.01	309,894	D	
Common Stock	12/10/2018	<u>S⁽³⁾</u>	100	D	\$ 126.02	309,794	D	
Common Stock	12/10/2018	<u>S⁽³⁾</u>	100	D	\$ 126.025	309,694	D	
Common Stock	12/10/2018	<u>S⁽³⁾</u>	100	D	\$ 126.03	309,594	D	
Common Stock	12/10/2018	<u>S⁽³⁾</u>	200	D	\$ 126.05	309,394	D	
Common Stock	12/10/2018	<u>S⁽³⁾</u>	100	D	\$ 126.07	309,294	D	
Common Stock	12/10/2018	<u>S⁽³⁾</u>	100	D	\$ 126.075	309,194	D	
Common Stock	12/10/2018	<u>S⁽³⁾</u>	100	D	\$ 126.08	309,094	D	
Common Stock	12/10/2018	<u>S⁽³⁾</u>	491	D	\$ 126.11	308,603	D	
Common Stock	12/10/2018	<u>S⁽³⁾</u>	300	D	\$ 126.13	308,303	D	
Common Stock	12/10/2018	<u>S⁽³⁾</u>	304	D	\$ 126.15	307,999	D	
Common Stock	12/10/2018	<u>S⁽³⁾</u>	201	D	\$ 126.16	307,798	D	
Common Stock	12/10/2018	<u>S⁽³⁾</u>	100	D	\$ 126.18	307,698	D	
Common Stock	12/10/2018	<u>S⁽³⁾</u>	4	D	\$ 126.195	307,694	D	
Common Stock	12/10/2018	<u>S⁽³⁾</u>	201	D	\$ 126.2	307,493	D	
						340	I	By Trust

Edgar Filing: FOSTER JAMES C - Form 4

Common
Stock

Common Stock	10,000	I	Held By Spouse
-----------------	--------	---	-------------------

Common Stock	18,390	I	2017 GRAT
-----------------	--------	---	--------------

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 73.7	12/10/2018		M		25,123		02/26/2017	02/26/2021	Common Stock	25,123

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
FOSTER JAMES C 251 BALLARDVALE STREET WILMINGTON, MA 01887	X Chairman, President and CEO

Signatures

/s/ James C.
Foster 12/11/2018

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) On 8/17/2018: 6,531 shares were transferred from the 2016 GRAT to Direct Holdings.
- (2) On 8/17/2018: 6,531 shares were transferred from the 2016 GRAT to Direct Holdings. On 9/08/2018: 11,564 shares were transferred from the 2017 GRAT to Direct Holdings.
- (3) This sale occurred pursuant to a 10b5-1 Trading Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.