

Helm Lucy Lee

Form 3

November 16, 2018

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Helm Lucy Lee

(Last)

(First)

(Middle)

2401 UTAH AVENUE
SOUTH, SUITE 800

(Street)

SEATTLE, WA 98134

(City)

(State)

(Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

11/13/2018

3. Issuer Name and Ticker or Trading Symbol
STARBUCKS CORP [SBUX]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

evp, chief partner officer

6. Individual or Joint/Group
Filing(Check Applicable Line)☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

106,968 ⁽¹⁾

D

A

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Non-qualified Stock Option (Right to Buy)	Â (2)	11/15/2027	Common Stock	120,634	\$ 56.7	D	Â
Non-qualified Stock Option (Right to Buy)	Â (3)	11/16/2025	Common Stock	63,384	\$ 60.68	D	Â
Non-qualified Stock Option (Right to Buy)	Â (4)	11/21/2026	Common Stock	93,817	\$ 56.1	D	Â
Non-qualified Stock Option (Right to Buy)	Â (5)	11/19/2022	Common Stock	23,982	\$ 24.87	D	Â
Non-qualified Stock Option (Right to Buy)	Â (6)	11/11/2023	Common Stock	74,030	\$ 40.495	D	Â
Non-qualified Stock Option (Right to Buy)	Â (7)	11/17/2024	Common Stock	71,844	\$ 38.915	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Helm Lucy Lee 2401 UTAH AVENUE SOUTH SUITE 800 SEATTLE,Â WAÂ 98134	Â	Â	Â evp, chief partner officer	Â

Signatures

/s/ Joshua M. Westerman, attorney-in-fact for Lucy Lee
Helm

11/16/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes Restricted Stock Units ("RSU") which were granted and vest as follows: (a) 10,160 RSUs granted on July 16, 2014 and vest on July 16, 2019; and (b) 4,820 RSUs granted on November 16, 2015 and vest on November 16, 2018.

(2) The option, representing the right to buy a total of 120,634 shares, became exercisable in one increment of 30,159 shares on November 15, 2018 and becomes exercisable in one increment of 30,159 shares November 15, 2019, and two increments of 30,158 shares each on November 15, 2020 and November 15, 2021.

(3) The option, representing the right to buy a total of 63,384 shares, became exercisable in three increments of 15,846 shares each on November 16, 2016, November 16, 2017, November 16, 2018, and becomes exercisable in one increment of 15,846 shares on November 16, 2019.

(4) The option, representing the right to buy a total of 93,817 shares, became exercisable in one increment of 23,455 shares on November 21, 2017, and becomes exercisable in three increments of 23,454 shares each on November 21, 2018, November 21, 2019 and November 21, 2020.

(5) The option, representing the right to buy a total of 78,982 shares, became exercisable in three increments of 19,746 shares each on November 19, 2013, November 19, 2014 and November 19, 2015, and one increment of 19,744 shares on November 19, 2016.

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- (6) The option, representing the right to buy a total of 74,030 shares, became exercisable in three increments of 18,508 shares each on November 11, 2014, November 11, 2015 and November 11, 2016, and one increment of 18,506 shares on November 11, 2017.

The option, representing the right to buy a total of 71,844 shares, became exercisable in two increments of 17,962 shares each on

- (7) November 17, 2015 and November 17, 2016, one increment of 17,960 shares on November 17, 2017 and becomes exercisable in one increment of 17,960 shares on November 17, 2018.

Â

Remarks:

ExhibitÂ 24:Â PowerÂ ofÂ AttorneyÂ attachedÂ toÂ thisÂ report,

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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