| Voya Financial, Inc. | | | | | | | | |
|---|---|--|--------------|------------------|---|--|-------------------|--|
| Form 4 | | | | | | | | |
| September 02, 2016 | | | | | | | OMB APPROVAL | |
| FORM 4UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 10(b). | | | | | | OMB Number: | 3235-0287 | |
| | | | | | | Expires: Estimated a burden hou response n | irs per | |
| (Print or Type Responses) | | | | | | | | |
| 1. Name and Address of Reporting Pe MARTIN RODNEY O JR | Symbol | 2. Issuer Name and Ticker or Trading Symbol Voya Financial, Inc. [VOYA] | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) (First) (Mid | • | 3. Date of Earliest Transaction | | | (Check all applicable) | | | |
| (Month/Day/Y 230 PARK AVENUE 08/31/2016 | | | 16 <u></u> | | | e title Other (specify below) irman and CEO | | |
| (Street) | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| NEW YORK, NY 10169 | | | | | Form filed by M Person | Iore than One Ro | eporting | |
| (City) (State) (Z | Cip) Table | e I - Non-De | erivative Se | ecurities Acc | quired, Disposed of | f, or Beneficial | lly Owned | |
| (Instr. 3) | 2A. Deemed Execution Date, if any (Month/Day/Year) | Code (Instr. 8) | | (A) or of (D) | Securities Beneficially Owned | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | | Coue V | Amount | | 3,584.7761 | I | By 401(k) Plan | |
| Common Stock | | | | | 57,779 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | onof Derivativ Securitie Acquired (A) or Disposed of (D) | Derivative (Month/Day/Year) Decurities Acquired A) or Disposed of (D) Instr. 3, 4, | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|---|---|---|---|---------------------------------------|--|--|---------------------|---|-----------------|----------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Deferred Savings Plan Issuer Stock Units | <u>(1)</u> | 08/31/2016 | | A | 17.1 | | <u>(1)</u> | <u>(1)</u> | Common Stock | 17.1 |
| Performance Stock Unit | (2) | | | | | | (2) | (2) | Common Stock | 165,348 <u>(3)</u> |
| Restricted Stock Units | <u>(2)</u> | | | | | | (2) | (2) | Common Stock | 222,354 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| MARTIN RODNEY O JR 230 PARK AVENUE NEW YORK, NY 10169 | Х | | Chairman and CEO | | | | |
| Signatures | | | | | | | |

Signatures

/s/ Jean Weng, Attorney 09/02/2016 in Fact

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each of these units represents a right to receive the cash value of one share of the company's common stock upon the reporting person's (1) separation from the company. The reporting person may reallocate investments in these units to alternative investments in the future.
- (2) The stock units will vest based on their respective award agreements.
- The number of shares of common stock that will be delivered for each performance stock unit depends on the achievement of certain
- (3) performance factors. Depending on actual performance, the number of shares of common stock delivered upon the vesting date can range from 0% to 150% of the number presented above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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