

UMB FINANCIAL CORP  
Form 4  
November 03, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Iseman Andrew J

2. Issuer Name and Ticker or Trading Symbol  
UMB FINANCIAL CORP [UMBF]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
1010 GRAND BLVD  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/02/2015

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
CEO of Subsidiary

KANSAS CITY, MO 64106

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (D) or Price		
Common Stock	11/02/2015		S		100 D \$ 50.355	18,100.4535	D
Common Stock	11/02/2015		S		36 D \$ 50.39	18,064.4535	D
Common Stock	11/02/2015		S		100 D \$ 50.42	17,964.4535	D
Common Stock	11/02/2015		S		300 D \$ 50.43	17,664.4535	D
Common Stock	11/02/2015		S		100 D \$ 50.4525	17,564.4535	D

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Common Stock	11/02/2015	S	300	D	\$ 50.475	17,264.4535	D
Common Stock	11/02/2015	S	60	D	\$ 50.51	17,204.4535	D
Common Stock	11/02/2015	S	100	D	\$ 50.515	17,104.4535	D
Common Stock	11/02/2015	S	100	D	\$ 50.52	17,004.4535	D
Common Stock	11/02/2015	S	40	D	\$ 50.55	16,964.4535	D
Common Stock	11/02/2015	S	800	D	\$ 50.57	16,164.4535	D
Common Stock	11/02/2015	S	300	D	\$ 50.575	15,864.4535	D
Common Stock	11/02/2015	S	100	D	\$ 50.59	15,764.4535	D
Common Stock	11/02/2015	S	100	D	\$ 50.635	15,664.4535	D
Common Stock	11/02/2015	S	100	D	\$ 50.64	15,564.4535	D
Common Stock	11/02/2015	S	100	D	\$ 50.66	15,464.4535	D
Common Stock	11/02/2015	S	300	D	\$ 50.67	15,164.4535	D
Common Stock	11/02/2015	S	400	D	\$ 50.68	14,764.4535	D
Common Stock	11/02/2015	S	200	D	\$ 50.6825	14,564.4535	D
Common Stock	11/02/2015	S	100	D	\$ 50.685	14,464.4535	D
Common Stock	11/02/2015	S	100	D	\$ 50.69	14,364.4535	D
Common Stock	11/02/2015	S	467	D	\$ 50.7	13,897.4535	D
Common Stock	11/02/2015	S	100	D	\$ 50.705	13,797.4535	D
Common Stock	11/02/2015	S	100	D	\$ 50.71	13,697.4535	D
Common Stock	11/02/2015	S	200	D	\$ 50.715	13,497.4535	D
	11/02/2015	S	129	D	\$ 50.72	13,368.4535	D

Common Stock									
Common Stock	11/02/2015		S	100	D	\$ 50.725	13,268.4535	D	
Common Stock	11/02/2015		S	300	D	\$ 50.73	12,968.4535	D	
Common Stock	11/02/2015		S	104	D	\$ 50.74	12,864.4535	D	
Common Stock							67.8061	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Beneficially (Instr. 5)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Iseman Andrew J 1010 GRAND BLVD KANSAS CITY, MO 64106			CEO of Subsidiary	

## Signatures

John C. Pauls, Attorney in Fact for Mr. Iseman  
11/03/2015

\*\*Signature of Reporting Person

    Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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