

WELLS FARGO & COMPANY/MN
Form 4
March 17, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HEID MICHAEL J

(Last) (First) (Middle)
1 HOME CAMPUS
(Street)

DES MOINES, IA 50328

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
WELLS FARGO & COMPANY/MN [WFC]

3. Date of Earliest Transaction (Month/Day/Year)
03/15/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Executive Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock, \$1 2/3 Par Value | 03/15/2015 | | M | | 40,976.5287 ⁽¹⁾ | A | \$ 0 |
| Common Stock, \$1 2/3 Par Value | 03/15/2015 | | F | | 18,430.5287 | D | \$ 55.34 |
| Common Stock, \$1 2/3 Par Value | 03/15/2015 | | M | | 6,898.93 ⁽²⁾ | A | \$ 0 |
| | | | | | | | 29,444.93 |

Edgar Filing: WELLS FARGO & COMPANY/MN - Form 4

| | | | | | | | |
|--|------------|---|--------------------------------|---|-------------|--------------|---|
| Common Stock, \$1 2/3 Par Value | 03/15/2015 | F | 3,145.93 | D | \$ 55.34 | 26,299 | D |
| Common Stock, \$1 2/3 Par Value | 03/15/2015 | M | 847.8558 ⁽³⁾ | A | \$ 0 | 27,146.8558 | D |
| Common Stock, \$1 2/3 Par Value | 03/15/2015 | F | 386.8558 | D | \$ 55.34 | 26,760 | D |
| Common Stock, \$1 2/3 Par Value | 03/15/2015 | M | 602.9114 ⁽⁴⁾ | A | \$ 0 | 27,362.9114 | D |
| Common Stock, \$1 2/3 Par Value | 03/15/2015 | F | 275.9114 | D | \$ 55.34 | 27,087 | D |
| Common Stock, \$1 2/3 Par Value | 03/15/2015 | M | 5,424.0898 ⁽⁵⁾ | A | \$ 0 | 32,511.0898 | D |
| Common Stock, \$1 2/3 Par Value | 03/15/2015 | F | 2,474.0898 | D | \$ 55.34 | 30,037 | D |
| Common Stock, \$1 2/3 Par Value | 03/15/2015 | M | 426.1443 ⁽⁶⁾ | A | \$ 0 | 30,463.1443 | D |
| Common Stock, \$1 2/3 Par Value | 03/15/2015 | F | 195.1443 | D | \$ 55.34 | 30,268 | D |
| Common Stock, \$1 2/3 Par Value | 03/15/2015 | M | 130,107.2353 ⁽⁷⁾ | A | \$ 0 | 160,375.2353 | D |
| Common Stock, \$1 2/3 Par Value | 03/15/2015 | F | 62,387.2353 | D | \$ 55.34 | 97,988 | D |

I

Edgar Filing: WELLS FARGO & COMPANY/MN - Form 4

| | | | |
|---------------------------------|---------------------------|---|-----------------------|
| Common Stock, \$1 2/3 Par Value | 13,256.8587 <u>(8)</u> | | Through 401(k) Plan |
| Common Stock, \$1 2/3 Par Value | 93,853 | I | Through MJH Rev Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|--|-------------|--|-----------------|---|
| | | | | | V | (A) (D) | Date Exercisable | Expiration Date | |
| Restricted Share Right | <u>(9)</u> | 03/15/2015 | | M | | 40,976.5287 | <u>(10)</u> | <u>(10)</u> | Common Stock, \$1 2/3 Par Value |
| Restricted Share Right | <u>(9)</u> | 03/15/2015 | | M | | 6,898.93 | <u>(11)</u> | <u>(11)</u> | Common Stock, \$1 2/3 Par Value |
| Restricted Share Right | <u>(9)</u> | 03/15/2015 | | M | | 847.8558 | <u>(12)</u> | <u>(12)</u> | Common Stock, \$1 2/3 Par Value |
| Restricted Share Right | <u>(9)</u> | 03/15/2015 | | M | | 602.9114 | <u>(13)</u> | <u>(13)</u> | Common Stock, \$1 2/3 Par Value |
| Restricted Share Right | <u>(9)</u> | 03/15/2015 | | M | | 5,424.0898 | <u>(14)</u> | <u>(14)</u> | Common Stock, \$1 2/3 Par Value |
| Restricted Share Right | <u>(9)</u> | 03/15/2015 | | M | | 426.1443 | <u>(15)</u> | <u>(15)</u> | Common Stock, \$1 2/3 Par Value |

Restricted
Share Right

Common
Stock, \$1
2/3 Par
Value

2012

Performance
Shares

(16)

03/15/2015

M

130,107.2353

(17)

(17)

Common
Stock, \$1
2/3 Par
Value

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| HEID MICHAEL J 1 HOME CAMPUS DES MOINES, IA 50328 | | | Executive Vice President | |

Signatures

Michael J. Heid, by Anthony R. Augliera, as
Attorney-in-Fact

03/17/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Number of shares represents a Restricted Share Right ("RSR") vesting on 3/15/2015. Original grant date was 2/23/2010. This vesting represents 40% of the original amount of RSRs granted (plus dividend equivalents reinvested in additional RSRs).
- (2) Number of shares represents a RSR vesting on 3/15/2015. Original grant date was 2/22/2011. This vesting represents 30% of the original amount of RSRs granted (plus dividend equivalents reinvested in additional RSRs).
- (3) Number of shares represents a RSR vesting on 3/15/2015. Original grant date was 2/28/2012. This vesting represents one-third of the original amount of RSRs granted (plus dividend equivalents reinvested in additional RSRs).
- (4) Number of shares represents a RSR vesting on 3/15/2015. Original grant date was 3/8/2013. This vesting represents one-third of the original amount of RSRs granted (plus dividend equivalents reinvested in additional RSRs).
- (5) Number of shares represents a RSR vesting on 3/15/2015. Original grant date was 3/8/2013. This vesting represents one-fourth of the original amount of RSRs granted (plus dividend equivalents reinvested in additional RSRs).
- (6) Number of shares represents a RSR vesting on 3/15/2015. Original grant date was 2/25/2014. This vesting represents one-third of the original amount of RSRs granted (plus dividend equivalents reinvested in additional RSRs).
- (7) These shares represent common stock of Wells Fargo & Company (the "Company") acquired on March 15, 2015 upon settlement of a Performance Share award granted on February 28, 2012 in connection with the attainment of pre-established performance goals over the three-year performance period ended December 31, 2014 (as previously disclosed on a Form 4 filed on March 3, 2015).
- (8) Reflects share equivalent of units in Wells Fargo ESOP Fund under the 401(k) Plan (the "Plan") as of February 28, 2015, as if invested cash equivalents held by Plan were fully invested in Company common stock.
- (9) Each RSR represents a contingent right to receive one share of Company common stock.
- (10) These RSRs vest in four installments: 5% on 11/1/2010, 25% on 3/15/2013, 30% on 3/15/2014, and 40% on 3/15/2015.
- (11) These RSRs vest in three installments: 30% on each of 3/15/2014 and 3/15/2015, and 40% on 3/15/2016.
- (12)

Edgar Filing: WELLS FARGO & COMPANY/MN - Form 4

These RSRs vest in three installments: one-third on 3/15/2013, 3/15/2014, and 3/15/2015. As a condition to receiving the grant, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting. These RSRs were granted to the reporting person as part of the reporting person's 2011 annual incentive compensation award.

- (13) These RSRs vest in three installments: one-third on 3/15/2014, 3/15/2015, and 3/15/2016. As a condition to receiving the grant, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting. These RSRs were granted to the reporting person as part of the reporting person's 2012 annual incentive compensation award.

- (14) These RSRs vest in four installments: one-fourth on 3/15/2014, 3/15/2015, 3/15/2016, and 3/15/2017. As a condition to receiving the grant, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting.

- (15) These RSRs vest in three installments: one-third on 3/15/2015, 3/15/2016, and 3/15/2017. As a condition to receiving the grant, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting. These RSRs were granted to the reporting person as part of the reporting person's 2013 annual incentive compensation award.

- (16) Each Performance Share represents a contingent right to receive one share of Company common stock upon vesting based on the attainment of pre-established performance goals.

- (17) Represents the maximum number of 2012 Performance Shares earned based on the Company's attainment of pre-established performance goals for the three-year performance period ended December 31, 2014, as provided under the terms of a Performance Share award granted on February 28, 2012, which is exempt under Rule 16b-3(d). The 2012 Performance Shares will be settled in shares of common stock of the Company on March 15, 2015. As a condition to receiving the award, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.