Edgar Filing: BATTAGLIA PAUL J. - Form 5

BATTAGLIA Form 5											
February 10, FORM								OMB A	PPROVAL		
Check this	UNITED S		S SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 ATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					OMB Number: Expires:	3235-0362 January 31,		
no longer s to Section Form 4 or 5 obligatio may contir	16. Form ANN ons nue.							Estimated average burden hours per response 1.0			
<i>See</i> Instruc 1(b). Form 3 Ho Reported Form 4 Transactio Reported	Filed purs	suant to Section 1 a) of the Public U 30(h) of the In	tility Holdin	g Compa	iny A	ct of	1935 or Sectio	'n			
BATTAGLIA PAUL J. Symb TOM			Issuer Name and Ticker or Trading ymbol 'OMPKINS FINANCIAL CORP ГМР]]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)	(First) (M	,	e) 3. Statement for Issuer's Fis (Month/Day/Year)				X_ Director 10% Owner Officer (give title Other (specify below) below)				
	S FINANCIAL TION, P O BOX		010								
	(Street) 4. If Amer			nendment, Date Original				6. Individual or Joint/Group Reporting (check applicable line)			
ITHACA,Â	NYÂ 14851						_X_ Form Filed by		erson		
(City)	(State) (Zip) Tabl	e I - Non-Deri	vative Sec	uritie	s Acqu	ired, Disposed o	f, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5))	5. Amount of Securities Beneficially Owned at end of Issuer's	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Amount	(A) or (D)	Price	Fiscal Year (Instr. 3 and 4)	()	(111501. 4)		
Common	11/15/2010	Â	L	75	А	\$ 0 (1)	2,973	Ι	by Spouse		
Stock											

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. of D So B O E I S Fi (I
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
BATTAGLIA PAUL J. TOMPKINS FINANCIAL CC P O BOX 460 ITHACA, NY 14851	PRPORATION	ÂX	Â	Â	Â		
Signatures							
/s/ Paul J. 02/	10/2011						

Battaglia **Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v). *
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired through reinvestment of quarterly cash dividends.
- (2) Shares acquired through deferral of retainer and/or meeting attendance fees, and reinvestment of quarterly cash dividends.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.