

ALIGN TECHNOLOGY INC
Form SD
May 30, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM SD

Specialized Disclosure Report

ALIGN TECHNOLOGY, INC.
(Exact name of registrant as specified in its charter)

Delaware 0-32259 94-3267295
(State or other jurisdiction (Commission (IRS Employer
of incorporation or organization) File Number) Identification No.)

2820 Orchard Parkway 95134
San Jose, California
(Address of principal executive offices) (Zip Code)

Roger E. George (408) 470-1000
(Name and telephone number,
including area code, of the person
to contact in connection with this
report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

x Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2017.

Section 1 – Conflict Minerals Disclosure

Item 1.01 Conflict Minerals Disclosure and Report

In accordance with the disclosure requirements promulgated by the U.S. Securities and Exchange Commission, Align Technology, Inc. (the “Company”) has undertaken efforts to determine its conflict mineral reporting requirements for the period from January 1 to December 31, 2017.

The Company has filed this Specialized Disclosure Report and the associated Conflict Minerals Report, which appears as Exhibit 1.01 hereto and is publicly available on the Company’s website at <http://investor.aligntech.com/sec.cfm>.

Item 1.02 Exhibit

The Company’s Conflict Minerals Report is provided as Exhibit 1.01 hereto.

¹ The term “conflict mineral” is defined in Section 1502(e)(4) of the Dodd-Frank Wall Street Reform and Consumer Protection Act as (A) columbite-tantalite, also known as coltan (the metal ore from which tantalum is extracted); cassiterite (the metal ore from which tin is extracted); gold; wolframite (the metal ore from which tungsten is extracted); or their derivatives; or (B) any other mineral or its derivatives determined by the Secretary of State to be financing conflict in the Democratic Republic of the Congo (“DRC”) or an adjoining country.

Section 2 – Exhibits

Item 2.01 Exhibits

The following exhibit is filed as part of this report.

Exhibit 1.01 – Align Technology, Inc.’s Conflict Minerals Report for the period January 1 to December 31, 2017.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

Align Technology, Inc.

By: /s/ Roger E. George

Date: May 30, 2018

Roger E. George

Sr. Vice President, Chief Legal and Regulatory Officer