#### SECURITY NATIONAL FINANCIAL CORP

Form 4 April 06, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** 

**OMB APPROVAL** 

Number:

3235-0287

Expires:

January 31, 2005

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may continue.

See Instruction

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* MOODY H. CRAIG

2. Issuer Name and Ticker or Trading

Symbol

SECURITY NATIONAL FINANCIAL CORP [SNFCA] 5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

(Last) (First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

02/05/2016

\_X\_\_ Director Officer (give title

10% Owner \_ Other (specify

11892 SOUTH BROOKGLEN **DRIVE** 

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**SANDY, UT 84092** 

(City)	(State) (Z	Zip) Table	e I - Noi	n-De	erivative S	ecuri	ties Ac	quired, Disposed	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		Code		4. Securities nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)		
Class A Common Stock	02/05/2016		<u>J(1)</u>	V	257	A	\$ 5.8	5,403	D	
Class A Common Stock	02/05/2016		J(2)	V	67	A	\$ 5.8	1,407	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Securities	ative Expiration Date es (Month/Day/Year) d		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (right to buy)	\$ 3.22 (3)	12/07/2006		A	1,630 (3)	12/07/2007	12/07/2016	Class A Common Stock	1,630 (3)
Director Stock Option (right to buy)	\$ 2.39 (4)	12/07/2007		A	1,552 (4)	12/07/2008	12/07/2017	Class A Common Stock	1,552 ( <u>4)</u>
Director Stock Option (right to buy)	\$ 2.58 (5)	03/31/2008		A	3,695 (5)	03/31/2009	03/31/2018	Class A Common Stock	3,695 ( <u>5)</u>
Director Stock Option (right to buy)	\$ 1 <u>(6)</u>	12/05/2008		A	7,388 ( <u>6)</u>	12/05/2009	12/05/2018	Class A Common Stock	7,388 ( <u>6)</u>
Director Stock Option (right to buy)	\$ 0.95 <u>(7)</u>	12/07/2008		A	1,478 <u>(7)</u>	12/07/2009	12/07/2018	Class A Common Stock	1,478 <u>(7)</u>
Director Stock Option (right to buy)	\$ 2.48 (8)	12/04/2009		A	7,036 (8)	12/04/2010	12/04/2019	Class A Common Stock	7,306 (8)

Director Stock Option (right to buy)	\$ 2.48 <sup>(9)</sup>	12/07/2009	A	1,408 (9)	12/07/2010	12/07/2019	Class A Common Stock	1,408 <u>(9)</u>
Director Stock Option (right to buy)	\$ 1.36 (10)	12/03/2010	A	6,701 (10)	12/03/2011	12/03/2020	Class A Common Stock	6,701 (10)
Director Stock Option (right to buy)	\$ 1.36 (11)	12/07/2010	A	1,341 (11)	12/07/2011	12/07/2020	Class A Common Stock	1,341
Director Stock Option (right to buy)	\$ 1.01 (12)	12/02/2011	A	6,382 (12)	12/02/2012	12/02/2021	Class A Common Stock	6,382 (12)
Director Stock Option (right to buy)	\$ 1.01 (13)	12/07/2011	A	1,277 (13)	12/07/2012	12/07/2021	Class A Common Stock	1,277 (13)
Director Stock Option (right to buy)	\$ 1.27 (14)	04/13/2012	A	6,078 (14)	04/13/2013	04/13/2022	Class A Common Stock	6,078 (14)
Director Stock Option (right to buy)	\$ 6.05 (15)	12/07/2012	A	1,216 (15)	12/07/2013	12/07/2022	Class A Common Stock	1,216 (15)
Director Stock Option (right to buy)	\$ 4.16 (16)	12/06/2013	A	5,605 (16)	12/06/2014	12/06/2023	Class A Common Stock	5,605 (16)
Director Stock Option (right to buy)	\$ 4.16 (17)	12/07/2013	A	1,158 (17)	12/07/2014	12/07/2023	Class A Common Stock	1,158 (17)
	\$ 4.53 <u>(18)</u>	12/05/2014	A		12/05/2015	12/05/2024		

Director Stock Option (right to buy)				5,513 (18)			Class A Common Stock	5,513 (18)
Director Stock Option (right to buy)	\$ 4.53 (19)	12/07/2014	A	1,103 (19)	12/07/2015	12/07/2024	Class A Common Stock	1,103 (19)
Director Stock Option (right to buy)	\$ 6.38 (20)	12/04/2015	A	5,250 (20)	12/04/2016(20)	12/04/2025	Class A Common Stock	5,250 (20)
Director Stock Option (right to buy)	\$ 6.38 (21)	12/07/2015	A	1,050 (21)	12/07/2016(21)	12/07/2025	Class A Common Stock	1,050 (21)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
MOODY H. CRAIG 11892 SOUTH BROOKGLEN DRIVE SANDY, UT 84092	X						

# **Signatures**

/s/ Craig H.
Moody

\*\*Signature of Reporting Person

O4/05/2016

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Received pursuant to a stock dividend paid on February 5, 2016.
- (2) The reporting person disclaims ownership of these securities, and this report should not be deemed an admission that the reporting person is the beneficial owner of the securities for purposes of Section 16 or any other purpose.
- This option was granted on December 7, 2006 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock

  Option Plan at an exercise price of \$5.31 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock

  Option Plan to reflect 5% stock dividends paid on February 9, 2007, February 8, 2008, February 6, 2009, February 5, 2010, February 4, 2011, February 3, 2012, February 1, 2013, February 7, 2014, February 6, 2015 and February 5, 2016.
- (4) This option was granted on December 7, 2007 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$3.75 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock

Reporting Owners 4

Option Plan to reflect 5% stock dividends paid on February 8, 2008, February 6, 2009, February 5, 2010, February 4, 2011, February 3, 2012, February 1, 2013, February 7, 2014, February 6, 2015 and February 5, 2016.

- This option was granted on March 31, 2008 as an option for 2,500 shares of Class A Common Stock under the 2006 Director Option
  Plan at an exercise price of \$3.85 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option Plan to reflect 5% stock dividends paid on February 6, 2009, February 5, 2010, February 4, 2011, February 3, 2012, February 1, 2013, February 7, 2014, February 6, 2015 and February 5, 2016.
- This option was granted on December 5, 2008 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock
  Option Plan at an exercise price of \$1.50 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock
  Option Plan to reflect 5% stock dividends paid on February 6, 2009, February 5, 2010, February 4, 2011, February 3, 2012, February 1, 2013, February 7, 2014, February 6, 2015 and February 5, 2016.
- This option was granted on December 7, 2008 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock

  Option Plan at an exercise price of \$1.41 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock

  Option Plan to reflect 5% stock dividends paid on February 6, 2009, February 5, 2010, February 4, 2011, February 3, 2012, February 1, 2013, February 7, 2014, February 6, 2015 and February 7, 2016.
- This option was granted on December 4, 2009 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$3.52 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option Plan to reflect 5% stock dividend paid on February 5, 2010, February 4, 2011, February 3, 2012, February 1, 2013, February 7, 2014, February 6, 2015 and February 5, 2016.
- This option was granted on December 7, 2009 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock

  Option Plan at an exercise price of \$3.52 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock

  Option Plan to reflect a 5% stock dividend paid on February 5, 2010, February 4, 2011, February 3, 2012, February 1, 2013, February 7, 2014, February 6, 2015 and February 5, 2016.
- This option was granted on December 3, 2010 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock (10) Option Plan at an exercise price of \$1.83 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 plan to reflect 5% stock dividends paid on February 4, 2011, February 3, 2012, February 1, 2013, February 6, 2015 and February 5, 2016.
- This option was granted on December 7, 2010 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock

  Option Plan at an exercise price of \$1.83 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock

  Option Plan to reflect 5% stock dividends paid on February 4, 2011, February 3, 2012, February 1, 2013, February 7, 2014, February 6, 2015 and February 5, 2016.
- This option was granted on December 2, 2011 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock

  Option Plan at an exercise price of \$1.30 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock

  Option Plan to reflect 5% stock dividends paid on February 3, 2012, February 1, 2013, February 7, 2014, February 6, 2015 and February 5, 2016.
- This option was granted on December 7, 2011 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock

  Option Plan at an exercise price of \$1.24 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock

  Option Plan to reflect 5% stock dividends paid on February 3, 2012, February 1, 2013, February 7, 2014, February 6, 2015 and February 5, 2016.
- This option was granted on December 7, 2011 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock
  Option Plan at an exercise price of \$1.24 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock
  Option Plan to reflect 5% stock dividends paid on February 3, 2012, February 1, 2013, February 7, 2014, February 6, 2015 and February 5, 2016.
- This option was granted on December 7, 2012 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock (15) Option Plan at an exercise price of \$7.42 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 plan to reflect 5% stock dividends paid on February 1, 2013, February 7, 2014, February 6, 2015 and February 5, 2016.
- This option was granted on December 6, 2013 as an option for 4,842 shares of Class A Common Stock under the 2006 Director Stock (16) Option Plan at an exercise price of \$4.85 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option Plan to reflect 5% stock dividends paid on February 7, 2014, February 6, 2015 and February 5, 2016.
- This option was granted on December 7, 2013 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$4.85 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option Plan to reflect 5% stock dividends paid on February 7, 2014, February 6, 2015 and February 5, 2016.
- This option was granted on December 5, 2014 as an option for 5,000 shares of Class A Common Stock under the 2014 Director Stock Option Plan at an exercise price of \$5.02 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect 5% stock dividends paid on February 6, 2015 and February 5, 2016.

- This option was granted on December 7, 2014 as an option for 1,000 shares of Class A Common Stock under the 2014 Director Stock (19) Option Plan at an exercise price of \$4.85 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect 5% stock dividends paid on February 6, 2015 and February 5, 2016.
- This option was granted on December 4, 2015 as an option for 5,000 shares of Class A Common Stock under the 2014 Director Stock (20) Option Plan at an exercise price of \$6.72 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect a 5% stock dividend paid on February 5, 2016.
- This option was granted on December 7, 2015 as an option for 1,000 shares of Class A Common Stock under the 2014 Director Stock (21) Option Plan at an exercise price of \$6.72 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect a 5% stock dividend paid on February 5, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.