Edgar Filing: SECURITY NATIONAL FINANCIAL CORP - Form 4/A

SECURITY NATIONAL FINANCIAL CORP

Form 4/A

February 19, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Estimated average

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

0.5

OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

See Instruction 1(b).

Class A

Common

02/03/2016

(Print or Type Responses)

1. Name and Address of Reporting Person ** Wilbur Norman G			2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
			SECURITY NATIONAL FINANCIAL CORP [SNFCA]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Veer)	X Director 10% Owner Officer (give title Other (specify		
2637 MUSTANG DRIVE			(Month/Day/Year) 02/18/2016	below) below)		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line)		
MEAD, CO 80542			02/03/2016	_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State) (Z	Zip) Table	e I - Non-Do	erivative S	Securi	ties Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securi n(A) or Di (D) (Instr. 3,	ispose	d of	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	01/14/2016		Code V	Amount 221	(A) or (D)	Price \$ 6.4	Reported Transaction(s) (Instr. 3 and 4) 3,000	D	
Class A Common Stock	02/01/2016		S	2,400	D	\$ 5.54	600	D	
Class A Common Stock	02/01/2016		S	300	D	\$ 5.55	300	D	

3,695

\$

2.58

Α

3,995

D

M

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Stock							
Class A Common Stock	02/03/2016	M	1,384	A	\$ 2.48	5,379	D
Class A Common Stock	02/05/2016	J <u>(1)</u> V	161	A	\$ 5.8	5,540	D
Class A Common Stock	02/08/2016	S	486	D	\$ 5.7	5,054	D
Class A Common Stock	02/08/2016	S	214	D	\$ 5.71	4,840	D
Class A Common Stock	02/08/2016	S	200	D	\$ 5.79	4,640	D
Class A Common Stock	02/08/2016	S	100	D	\$ 5.78	4,540	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Securiti Acquire	es ed (A) or ed of (D)	Expiration Date (Month/Day/Year)) or (D)		7. Title and Amo Underlying Secur (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Nun of Shar
Director Stock Option (right to buy)	\$ 2.58 (2)	03/31/2008		M		3,695 (2)	03/31/2009	03/31/2018	Class A Common Stock	3,6
Director Stock	\$ 2.48 (3)	12/07/2009		M		1,384 (3)	12/07/2010	12/07/2019	Class A Common	1,3

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Stock Class A Class	0 4						0. 1	
Stock Option (right to buy) Director Stock Option (righ to buy) Director Stoc							Stock	
Stock Option (right to buy)	Stock Option (right to	\$ 6.05 (4)	12/07/2012	A	12/07/2013	12/07/2022	Common	1,:
Stock Option (right to buy) Stoc	Stock Option (right to	\$ 4.16 (5)	12/06/2013	A	12/06/2014	12/06/2023	Common	5,0
Stock Option (right to buy)	Stock Option (right to	\$ 4.16 <u>(6)</u>	12/07/2013	A	12/07/2014	12/07/2023	Common	1,
Stock	Stock Option (right to	\$ 4.53 <u>(7)</u>	12/05/2014	A	12/05/2015	12/05/2024	Common	5,
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Stock Option (right to	\$ 4.53 <u>(8)</u>	12/07/2014	A	12/07/2015	12/07/2024	Common	1,
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Stock Option (right to	\$ 6.38 (9)	12/04/2015	A	12/04/2016 <u>(9)</u>	12/04/2025	Common	5,:
	Stock Option (right to	\$ 6.38 (10)	12/07/2015	A	12/07/2016(10)	12/07/2025	Common	1,0

Reporting Owners

Reporting Owner Name / Address	Relationships						
1 0	Director	10% Owner	Officer	Other			
Wilbur Norman G	X						
2637 MUSTANG DRIVE							

Reporting Owners 3

MEAD, CO 80542

Signatures

/s/Norman Gilbert Wilbur

02/18/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Received pursuant to a stock dividend paid on February 5, 2016.
- This option was granted on March 31, 2008 as an option to purchase 2,500 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$3.85 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option Plan to reflect 5% stock dividends paid on February 6, 2009, February 5, 2010, February 4, 2011, February 3, 2012, February 1, 2013, February 7, 2014, February 6, 2015, and February 5, 2016.
 - This option was granted on December 7, 2009 as an option to purchase 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$3.52 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock
- (3) Option Plan to reflect 5% stock dividends paid on February 5, 2010, February 4, 2011, February 3, 2012, February 1, 2013, February 7, 2014, February 6, 2015, and February 5, 2016. On April 13, 2015, the reporting person exercised part of this option to purchase 23 shares of Class A Common Stock.
- This option was granted on December 7, 2012 as an option to purchase 1,000 shares of Class A Common Stock under the 2006 Director (4) Stock Option Plan at an exercise price of \$7.42 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Stock Option Plan to reflect 5% stock dividends paid on February 1, 2013, February 7, 2014, February 6, 2015, and February 5, 2016.
- This option was granted on December 6, 2013 as an option to purchase 4,842 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$4.85 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option Plan to reflect 5% stock dividends paid on February 7, 2014, February 6, 2015, and February 5, 2016.
- This option was granted on December 7, 2013 as an option to purchase 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$4.85 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option Plan to reflect 5% stock dividends paid on February 7, 2014, February 6, 2015, and February 5, 2016.
- This option was granted on December 5, 2014 as an option to purchase 5,000 shares of Class A Common Stock under the 2014 Director Stock Option Plan at an exercise price of \$5.02 per share but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect 5% stock dividends paid on February 6, 2015 and February 5, 2016.
- This option was granted on December 7, 2014 as an option to purchase 1,000 shares of Class A Common Stock under the 2014 Director Stock Option Plan at an exercise price of \$5.02 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect 5% stock dividends paid on February 6, 2015 and February 5, 2016.
- This option was granted on December 4, 2015 as an option to purchase 5,000 shares of Class A Common Stock under the 2014 Director Stock Option Plan at an exercise price of \$6.72 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect a 5% stock dividend paid on February 5, 2016. This option vests on December 4, 2016.
- This option was granted on December 7, 2015 as an option to purchase 1,000 shares of Class A Common Stock under the 2014 Director (10) Stock Option Plan at an exercise price of \$6.72 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect a 5% stock dividend paid on February 5, 2016. This option vests on December 7, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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