

APPLIED INDUSTRIAL TECHNOLOGIES INC

Form 4

August 13, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BAUER FRED D

(Last) (First) (Middle)  
ONE APPLIED PLAZA  
(Street)

CLEVELAND, OH 44115

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
APPLIED INDUSTRIAL TECHNOLOGIES INC [AIT]

3. Date of Earliest Transaction (Month/Day/Year)  
08/11/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
VP-General Counsel & Secretary

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                 |                                      |                                                    | Code                           | V                                                                 | Amount or Price                                                                               |                                                          |                                                       |
| Common Stock                    | 08/11/2015                           |                                                    | A                              |                                                                   | 1,924<br>(1) \$ 0                                                                             | D                                                        |                                                       |
| Common Stock                    | 08/11/2015                           |                                                    | A                              |                                                                   | 2,200<br>(2) \$ 0                                                                             | D                                                        |                                                       |
| Common Stock                    | 08/11/2015                           |                                                    | F                              |                                                                   | 439 \$ 38.36                                                                                  | D                                                        |                                                       |
| Common Stock                    |                                      |                                                    |                                |                                                                   | 4,050.09                                                                                      | I                                                        | Retirement Savings Plan                               |
| Common Stock                    |                                      |                                                    |                                |                                                                   | 83.39                                                                                         | I                                                        | Supplemental Defined Contribution                     |

Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of Shares |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------|----------------------------|
| Stock Appreciation Rights                  | \$ 38.36                                               | 08/11/2015                           |                                                    | A                              | 12,000<br>(3)                                                                           | 08/11/2016 08/11/2025                                    | Common Stock                                                | 12,000                     |

## Reporting Owners

| Reporting Owner Name / Address                           | Relationships |           |                                |       |
|----------------------------------------------------------|---------------|-----------|--------------------------------|-------|
|                                                          | Director      | 10% Owner | Officer                        | Other |
| BAUER FRED D<br>ONE APPLIED PLAZA<br>CLEVELAND, OH 44115 |               |           | VP-General Counsel & Secretary |       |

## Signatures

Dianne Misenko/POA for Fred D. Bauer 08/12/2015  
 \*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Performance shares "banked" as a result of 2015 performance achievement under performance shares programs. Performance shares vest at end of three-year program and are settled in shares of Applied common stock.
- (2) Restricted Stock Units that vest three years from the date of grant and are settled in shares of Applied common stock.
- (3) Stock-only stock appreciation rights which become exercisable in annual increments of 25% commencing one year after the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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